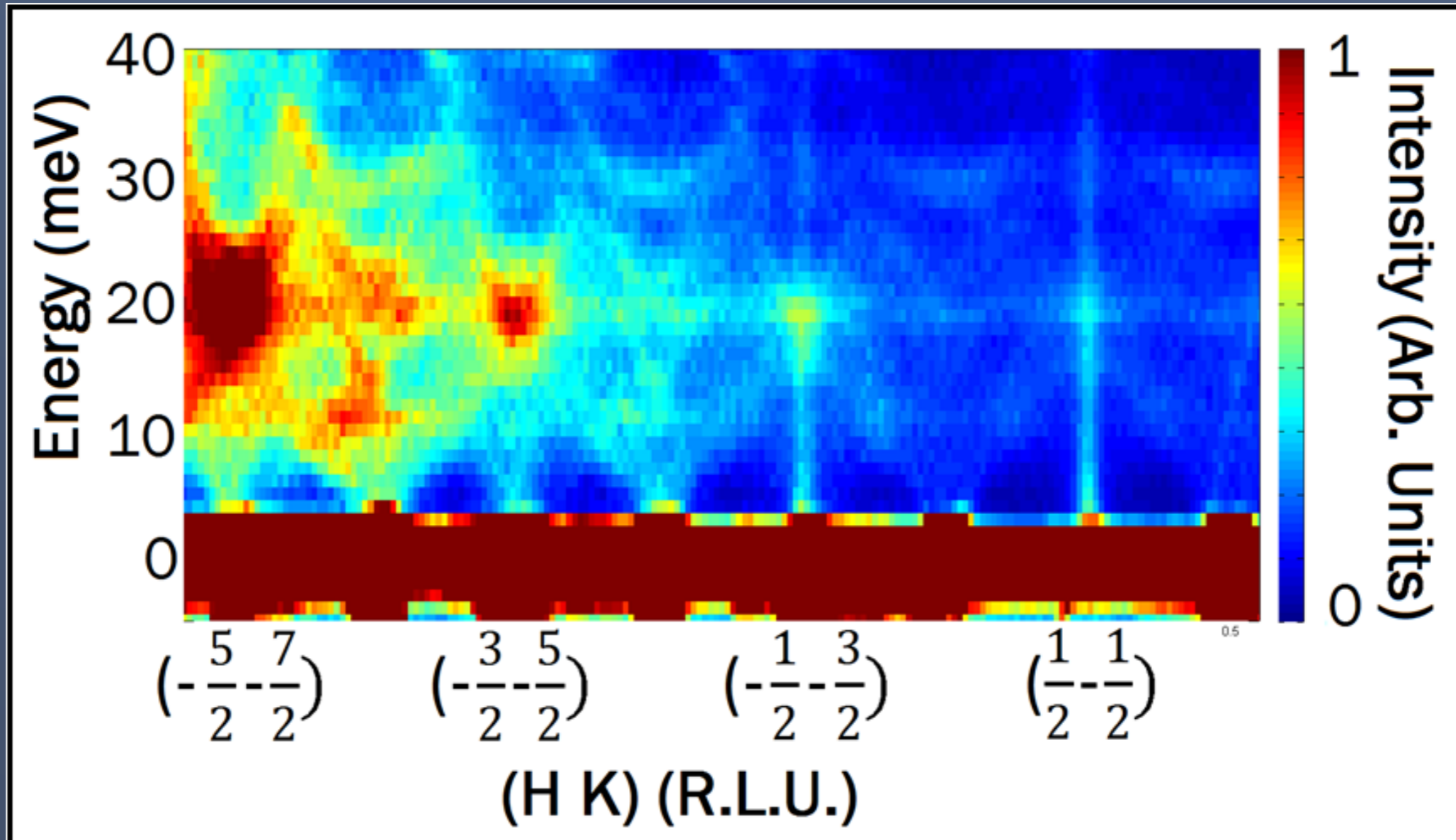
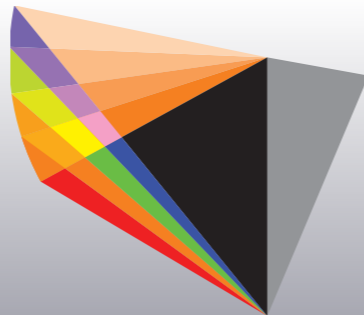


# A Survey of Inelastic Neutron Scattering

- Properties of the neutron
- The neutron scattering cross section
- The triple axis spectrometer
- Phonons
- Time-of-flight spectrometry
- Experimental details



**Bruce D. Gaulin**  
McMaster University



**Brockhouse Institute**  
for **Materials Research**



**Neutrons:**  
**no charge**  
**spin = 1/2**  
**massive:**  
 **$mc^2 \sim 1 \text{ GeV}$**



**daughter nuclei**  
**+**  
**2-3 n +  $\gamma$ s**

# The Neutron as a Wave

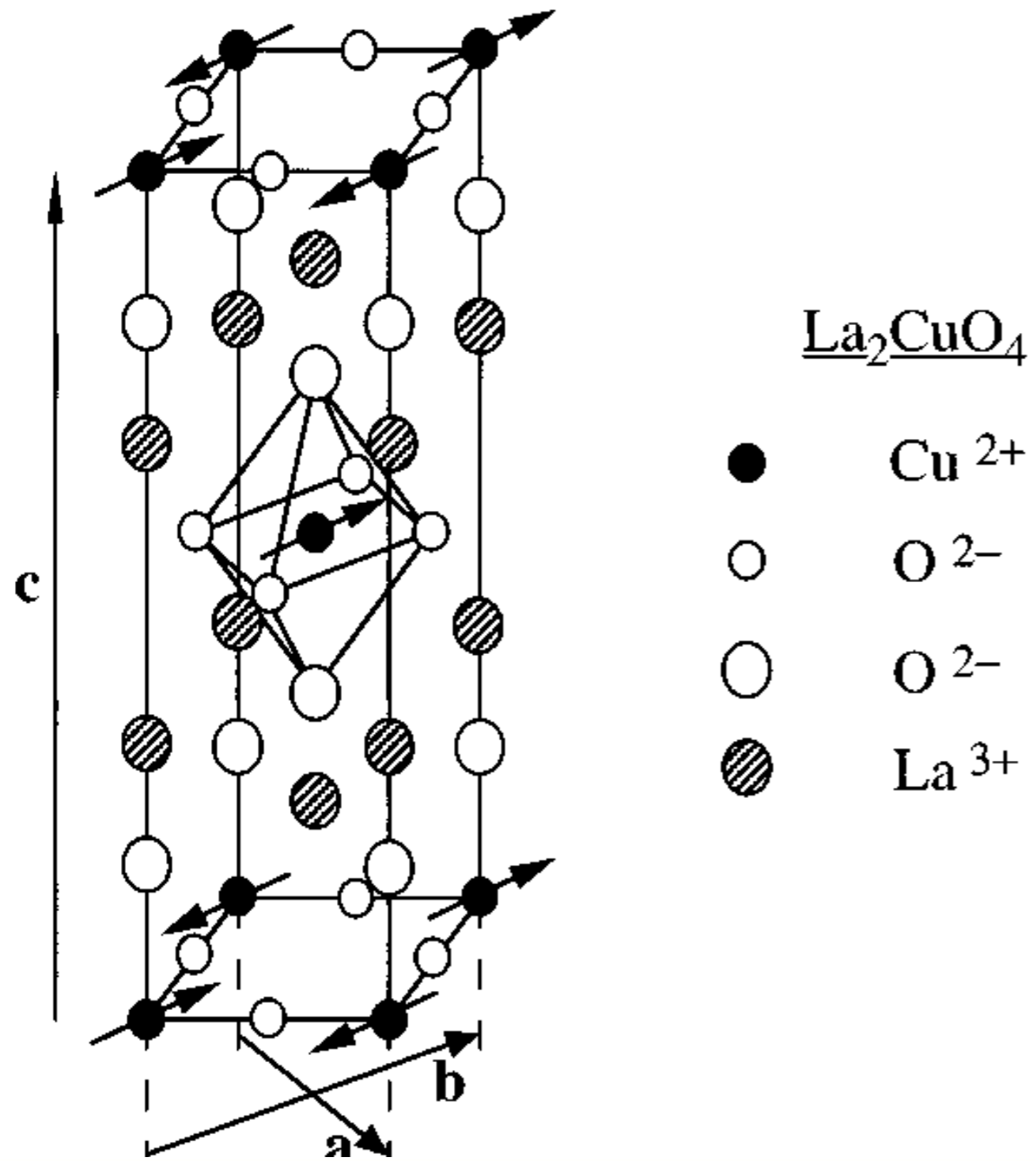
**Energy, wave vector, wavelength, velocity :**

$$k = \frac{m_n v}{\hbar} = \frac{2\pi}{\lambda} \quad E = k_B T = 0.08617 \text{ meV} \cdot K^{-1} \times T$$

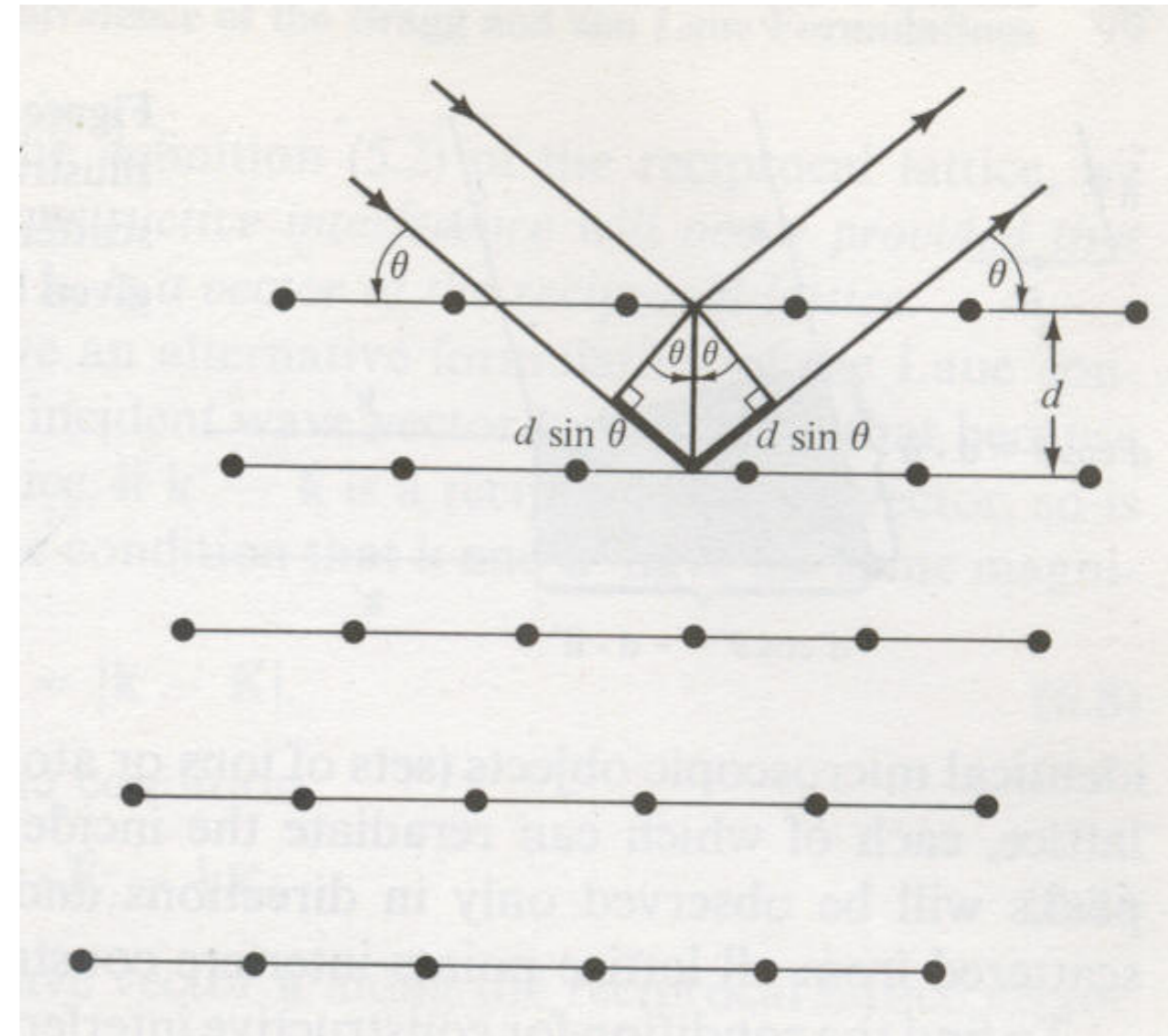
$$E = \frac{\hbar^2 k^2}{2m_n} = \frac{\hbar^2}{2m_n} \left(\frac{2\pi}{\lambda}\right)^2 = \frac{81.81 \text{ meV} \cdot \text{\AA}^2}{\lambda^2}$$

**Neutrons with  $\lambda$  typical of interatomic spacings ( $\sim 2 \text{ \AA}$ ) have energies typical of elementary excitations in solids ( $\sim 20 \text{ meV}$ )**

# What are we typically trying to understand?



**Bragg's law:  $n\lambda = 2d \sin(\theta)$**



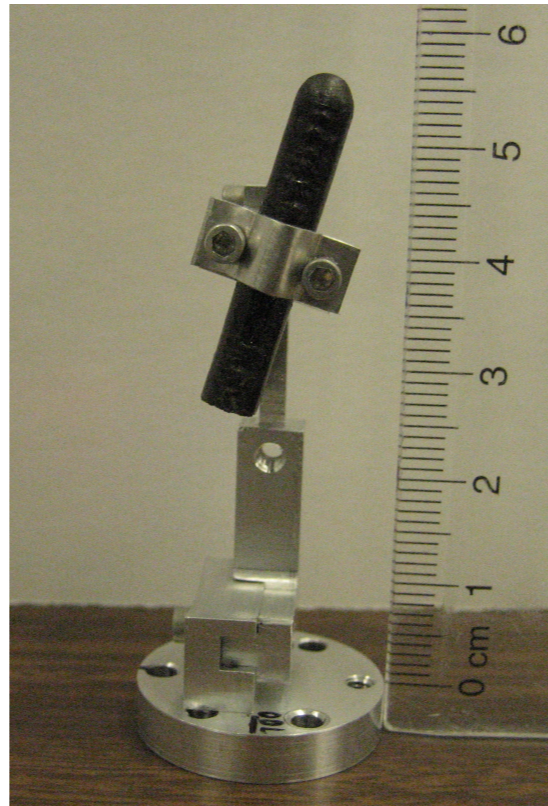
- **What is the atomic and magnetic structure of new materials?**
- **What are the dynamic properties of the atoms and the magnetic moments?**
- **How are structure and dynamics related to physical properties?**

# The Basic Neutron Scattering Experiment



**Incident Beam**

- **Monochromatic**
- **“White”**
- **“Pink”**



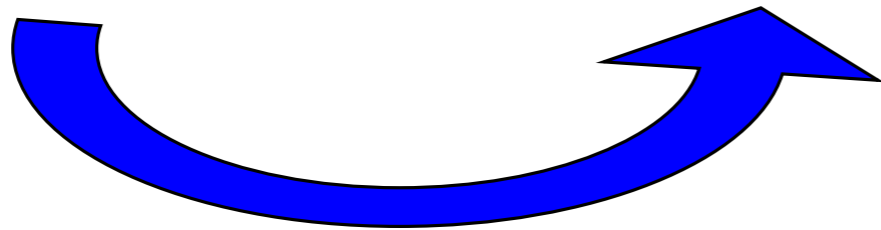
**Scattered Beam**

- **Resolve its energy**
- **Don't resolve its energy**
- **Filter its energy**

# Fermi's Golden Rule within the 1st Born approximation

$$W = \frac{2\pi}{\hbar} |\langle f | V | i \rangle|^2 \rho(E_f)$$

$$\partial\sigma = \frac{W}{\Phi} = \frac{m}{(2\pi\hbar^2)^2} \frac{k_f}{k_i} |\langle f | V | i \rangle|^2 \partial\Omega$$



$$\frac{\partial^2 \sigma}{\partial \Omega \partial E_f} = \frac{k_f}{k_i} \frac{\sigma_{coherent}}{4\pi} N S_{coherent}(\vec{Q}, \hbar\omega)$$

# Correlation Functions

Pair correlation function

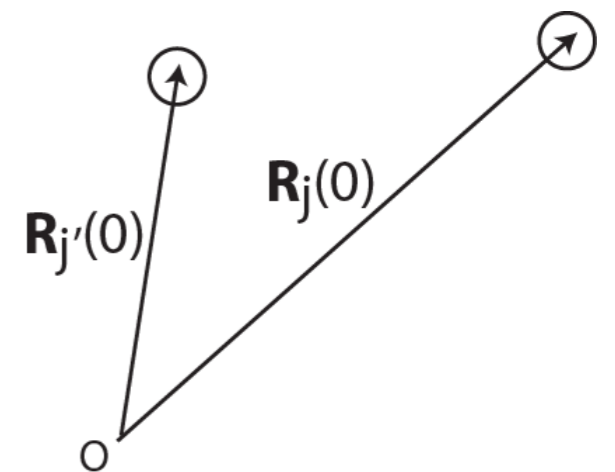
$$G(\vec{r}, t) = \frac{1}{N} \int \sum_{j, j'} \delta(\vec{r}' - \vec{R}_{j'}(0)) \delta(\vec{r}' + \vec{r} - \vec{R}_j(t)) d\vec{r}'$$

Intermediate function

$$I(\vec{Q}, t) = \int G(\vec{r}, t) e^{i\vec{Q} \cdot \vec{r}} d\vec{r} = \frac{1}{N} \sum_{j, j'} e^{-i\vec{Q} \cdot \vec{R}_{j'}(0)} e^{i\vec{Q} \cdot \vec{R}_j(t)}$$

Scattering function

$$S(\vec{Q}, \hbar\omega) = \frac{1}{2\pi\hbar} \int I(\vec{Q}, t) e^{-i\omega t} dt$$



# Correlation Functions

Pair correlation function

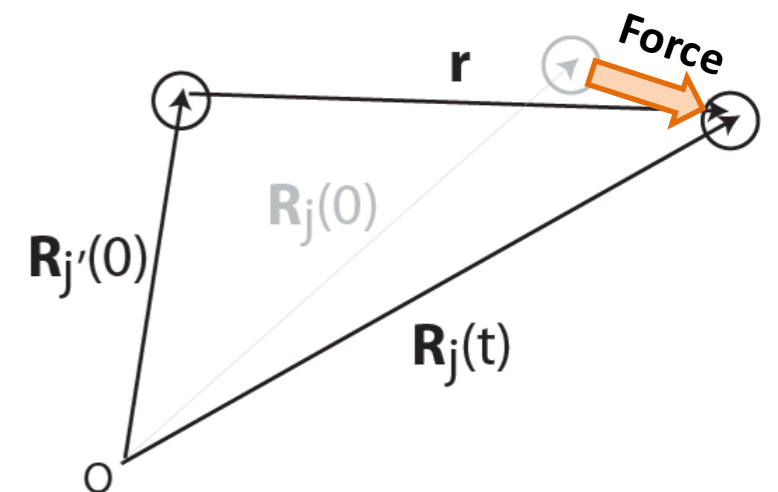
$$G(\vec{r}, t) = \frac{1}{N} \int \sum_{j, j'} \delta(\vec{r}' - \vec{R}_{j'}(0)) \delta(\vec{r}' + \vec{r} - \vec{R}_j(t)) d\vec{r}'$$

Intermediate function

$$I(\vec{Q}, t) = \int G(\vec{r}, t) e^{i\vec{Q} \cdot \vec{r}} d\vec{r} = \frac{1}{N} \sum_{j, j'} e^{-i\vec{Q} \cdot \vec{R}_{j'}(0)} e^{i\vec{Q} \cdot \vec{R}_j(t)}$$

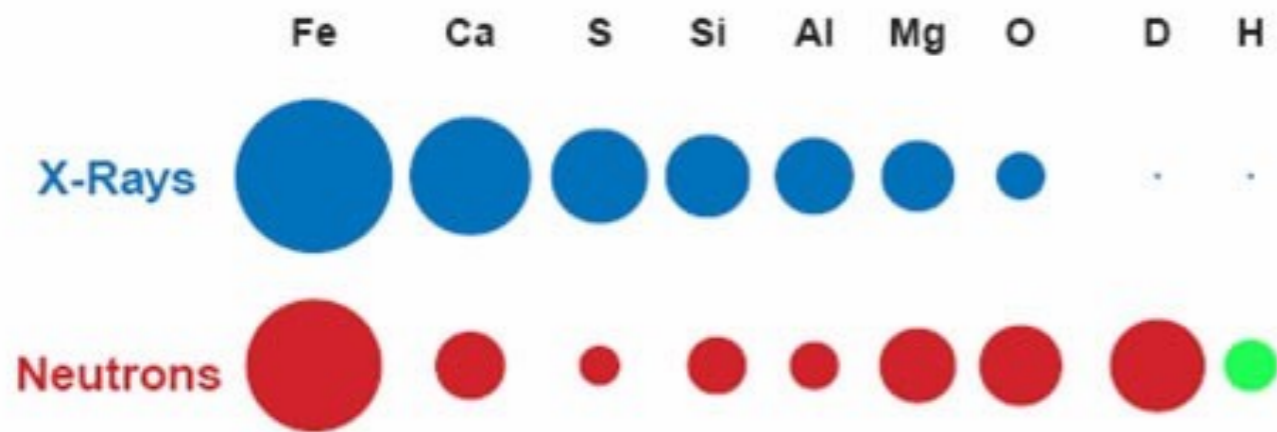
Scattering function

$$S(\vec{Q}, \hbar\omega) = \frac{1}{2\pi\hbar} \int I(\vec{Q}, t) e^{-i\omega t} dt$$



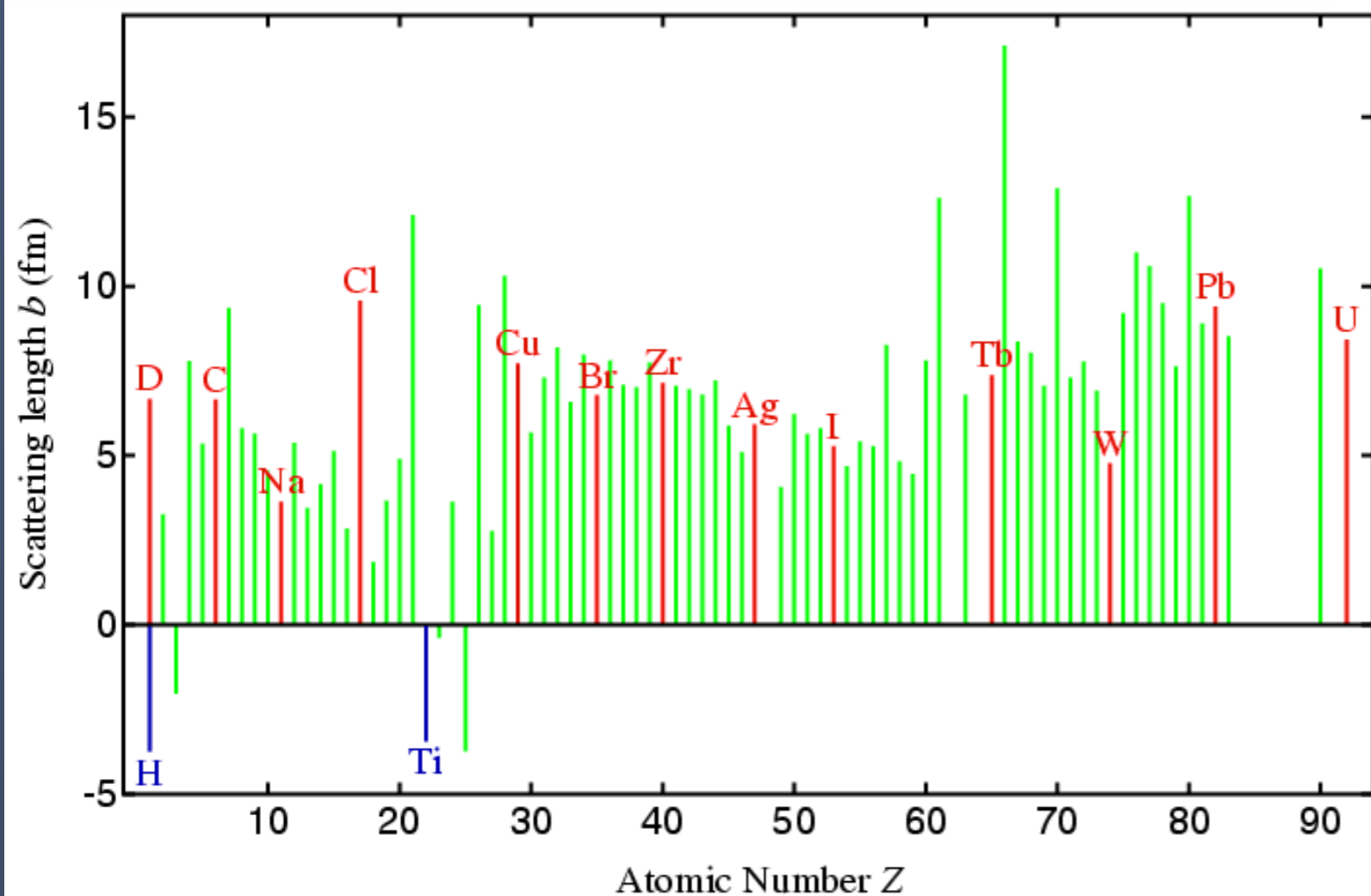


# Neutrons scatter off *nuclei*



**Neutrons “see”  
nuclei and  
magnetism**

**X-rays -  
electromagnetic  
radiation  
“see” electrons**



# Dipole moment of the neutron interacts with the magnetic field generated by the electron

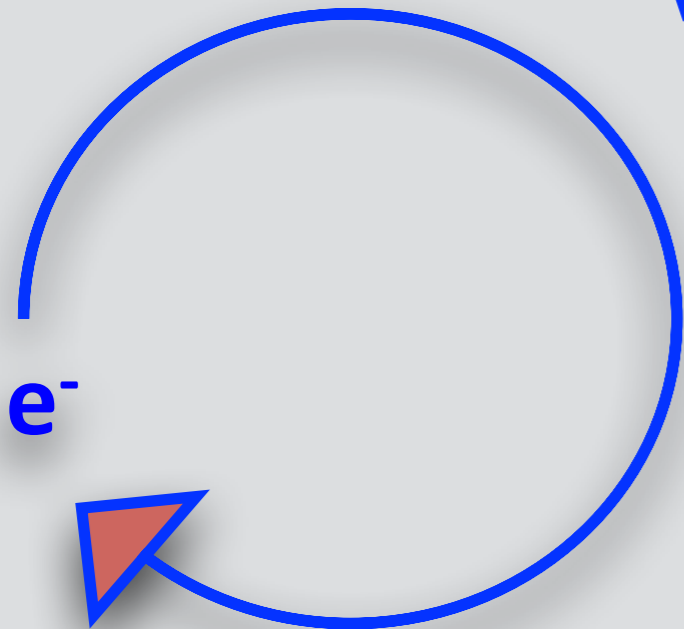
$$\mu_n = -\gamma \mu_N \sigma$$

$\gamma = 1.913$

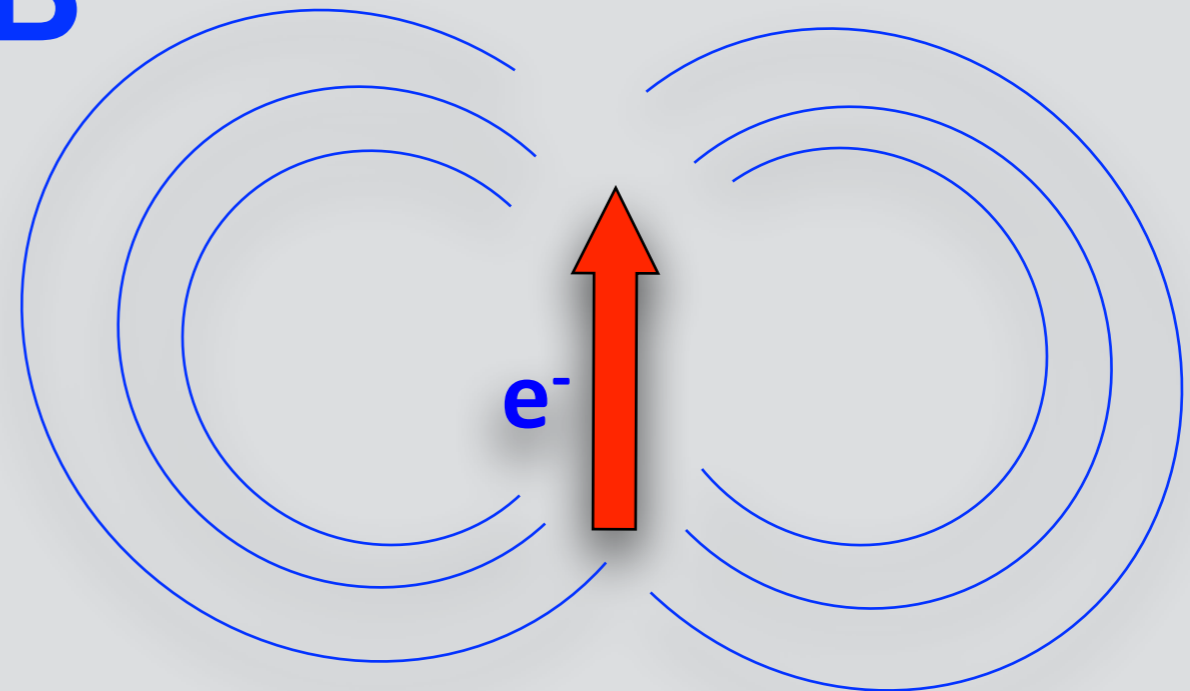
nuclear magneton =  $e \hbar / 2m_n$

Pauli spin operator

$$V_M = -\mu_n \cdot B$$



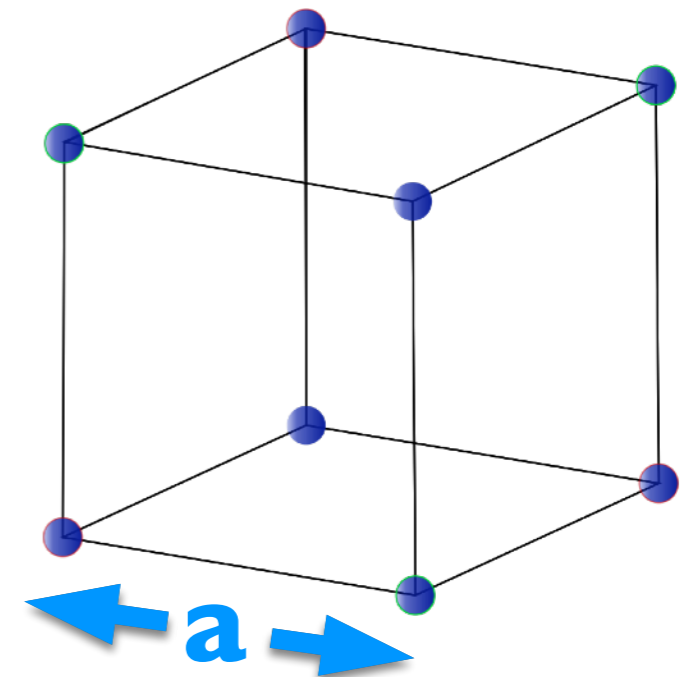
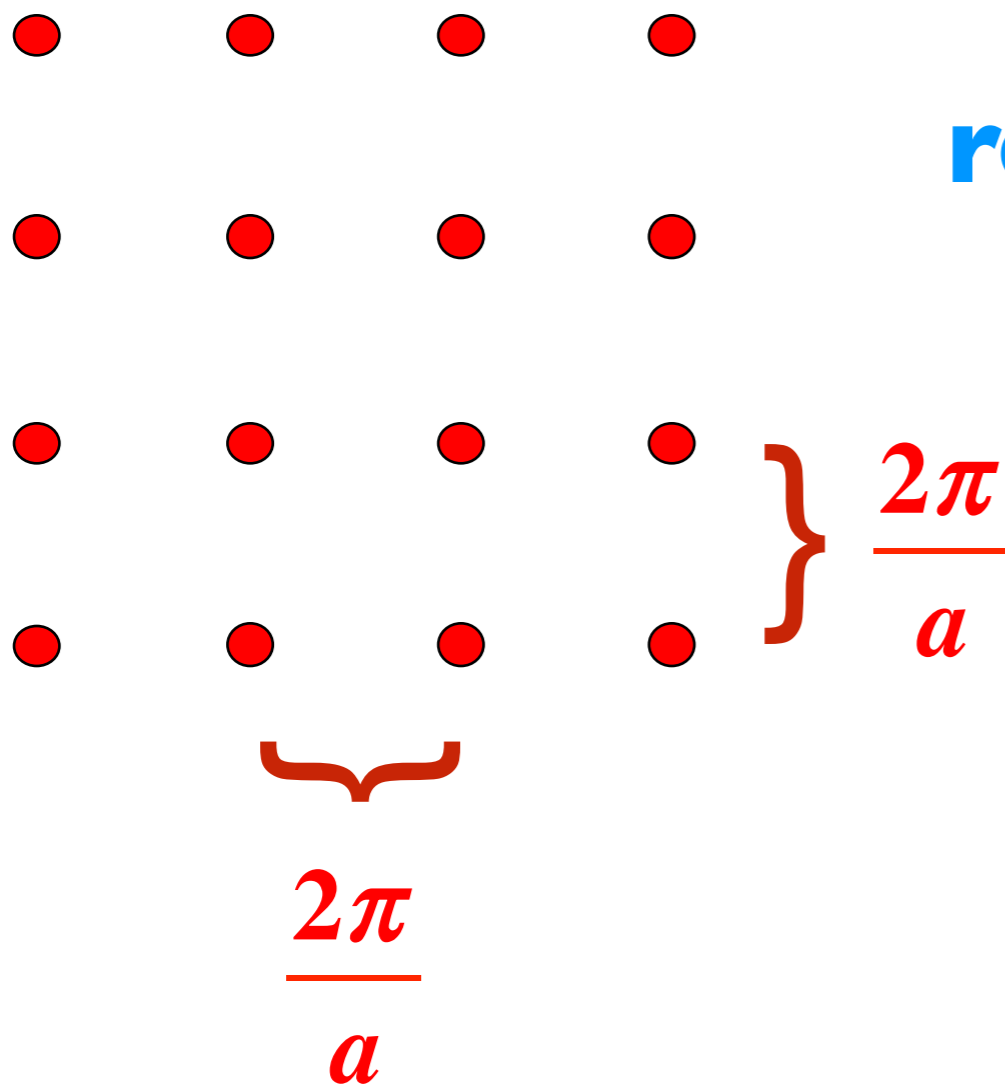
Dipole field due to orbital currents



Dipole field due to Spin of the electron(s)

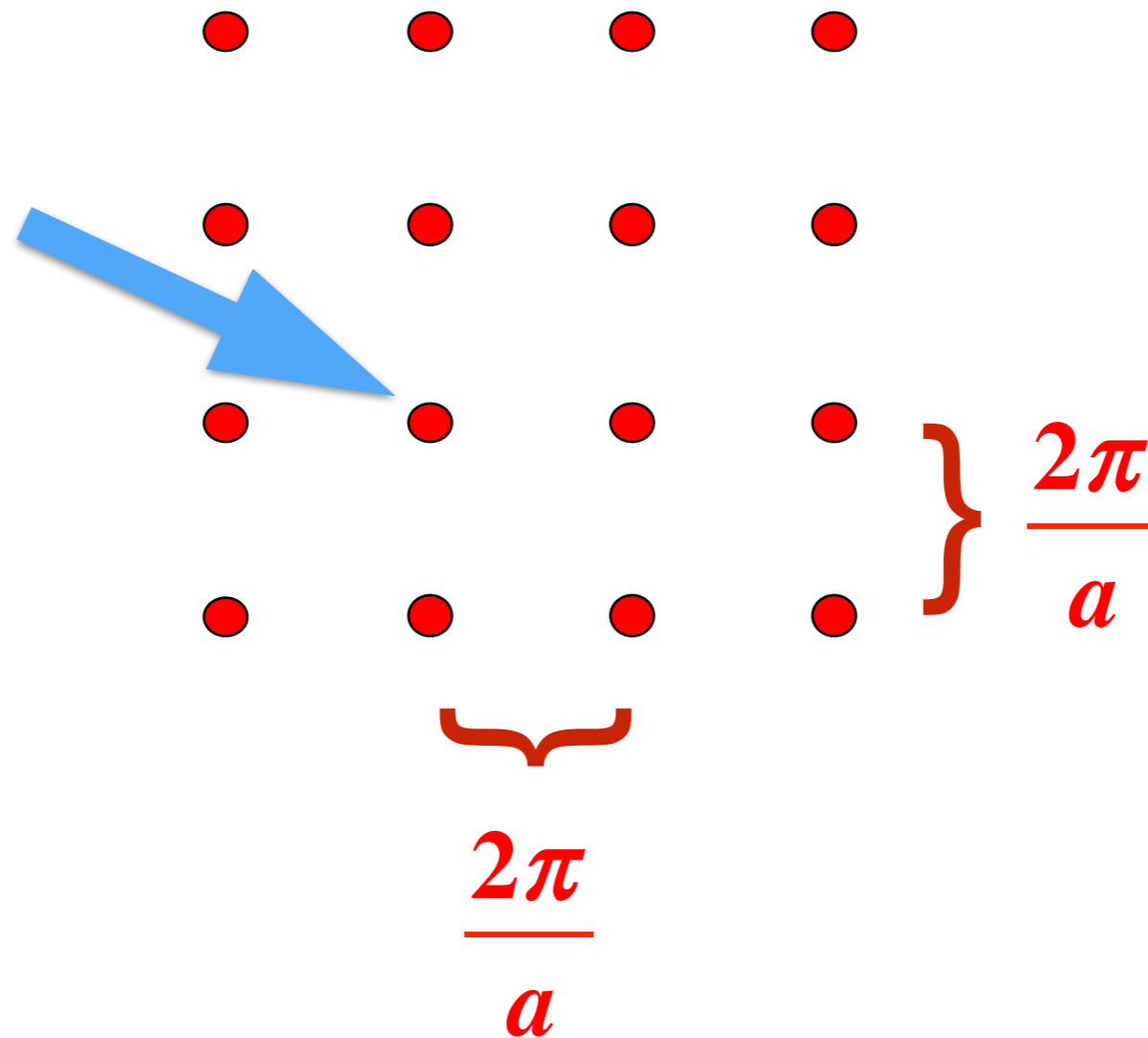
# Diffraction in Momentum ( $Q$ ) space

In momentum space,  
our sample is  
represented  
by its  
reciprocal lattice



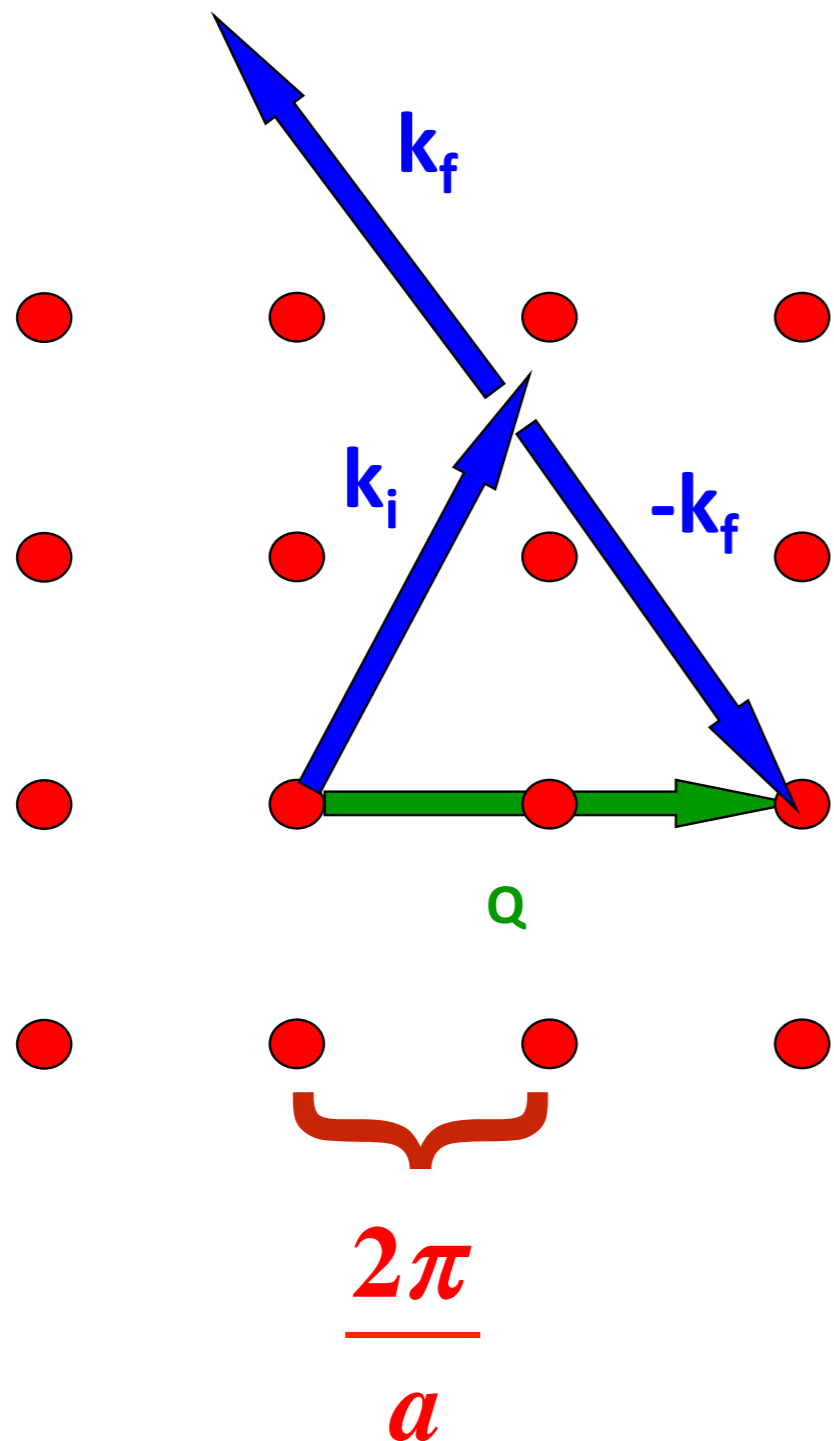
# Diffraction in Momentum ( $Q$ ) space

**Origin of  
reciprocal  
space**



**Remains  
fixed for  
all sample  
orientations**

# Diffraction in Momentum ( $\mathbf{Q}$ ) space



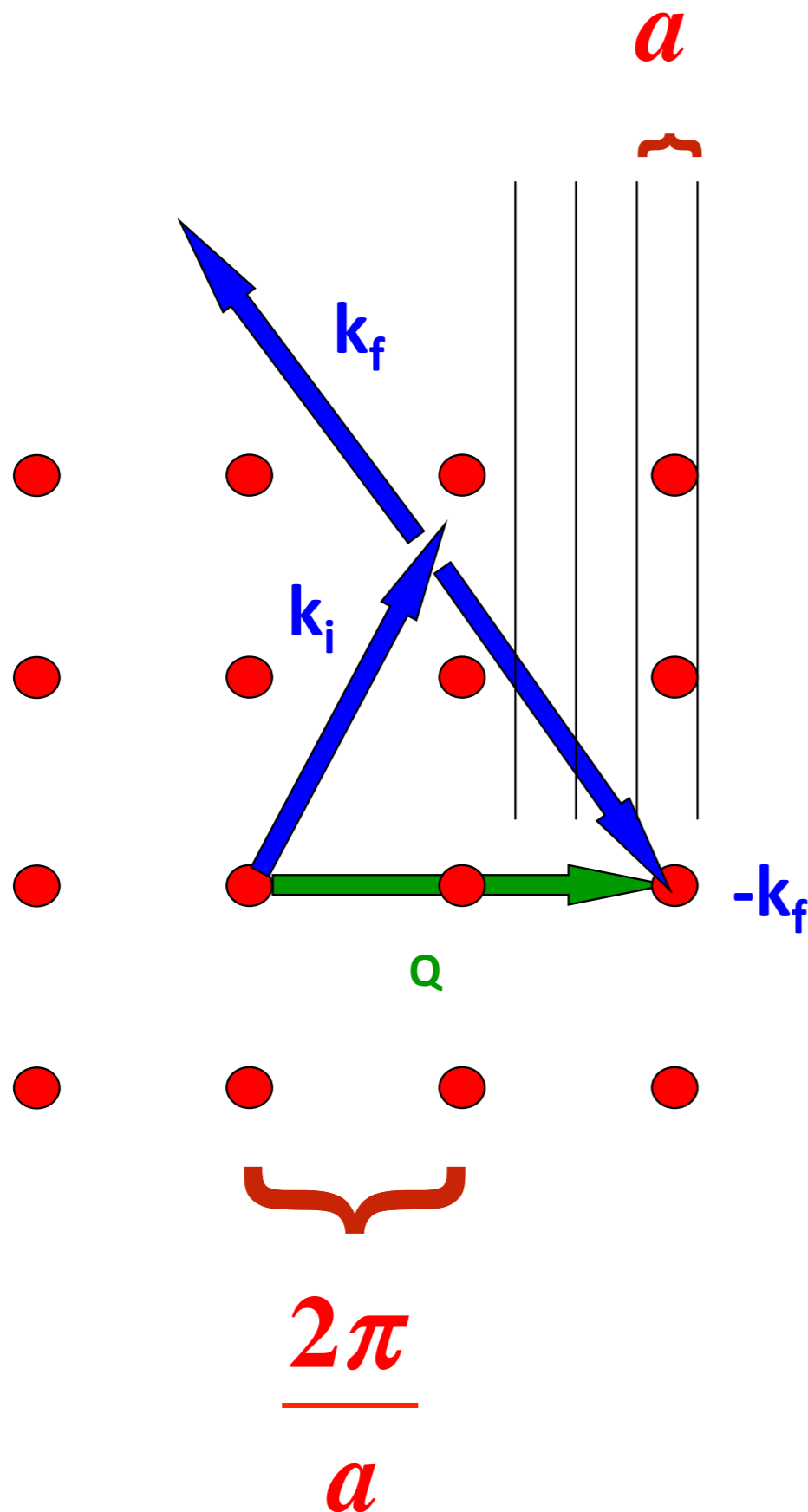
**Bragg diffraction**

**constructive  
interference when**

$$\vec{Q} = \vec{k}_i - \vec{k}_f = \vec{\tau}$$

**= a reciprocal lattice  
vector**

# Diffraction in Momentum ( $Q$ ) space



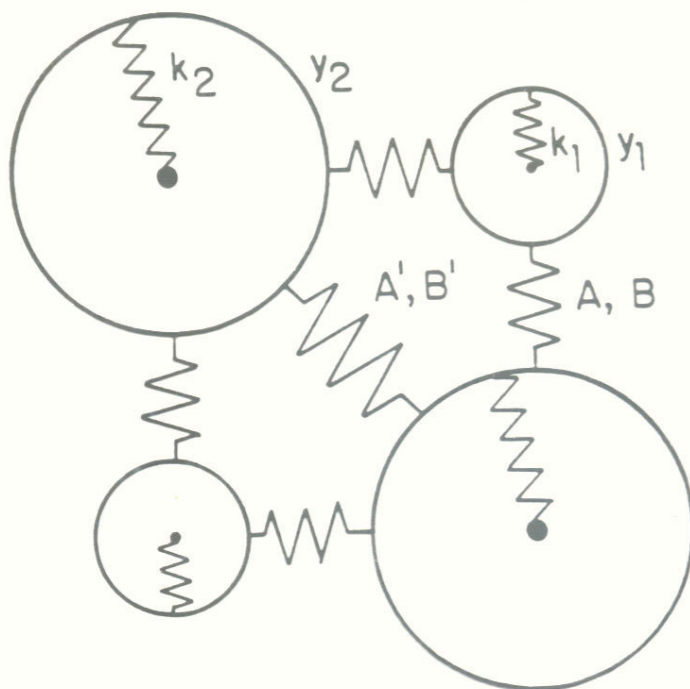
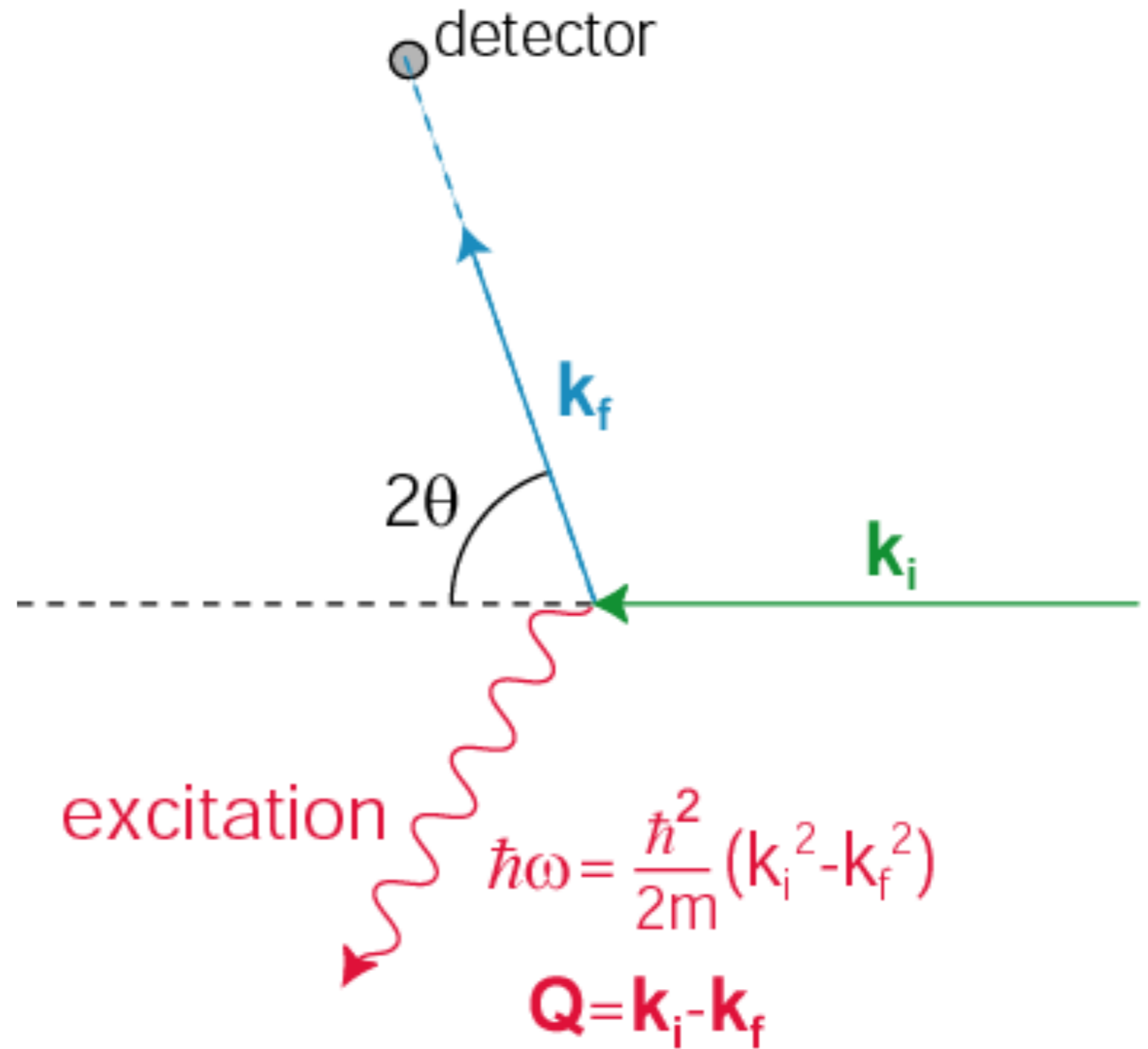
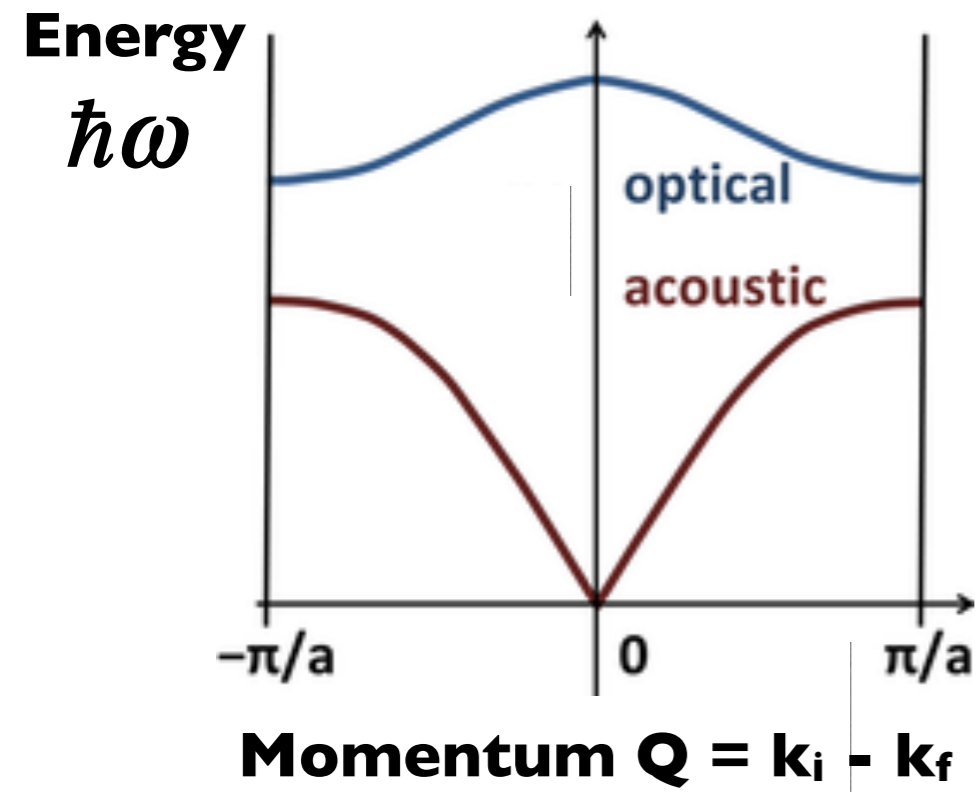
**Bragg diffraction**

**constructive  
interference when**

$$\vec{Q} = \vec{k}_i - \vec{k}_f = \vec{\tau}$$

**= a reciprocal lattice  
vector**

# Elementary Excitations



# Phonon Polarizations



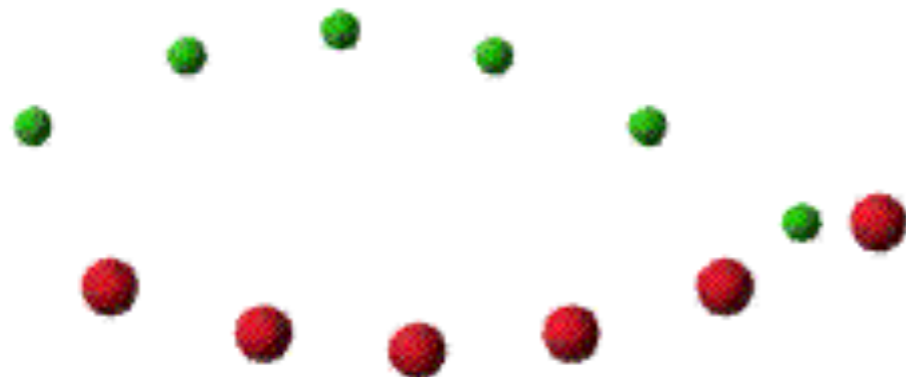
**Longitudinal Acoustic**



**Transverse Acoustic**



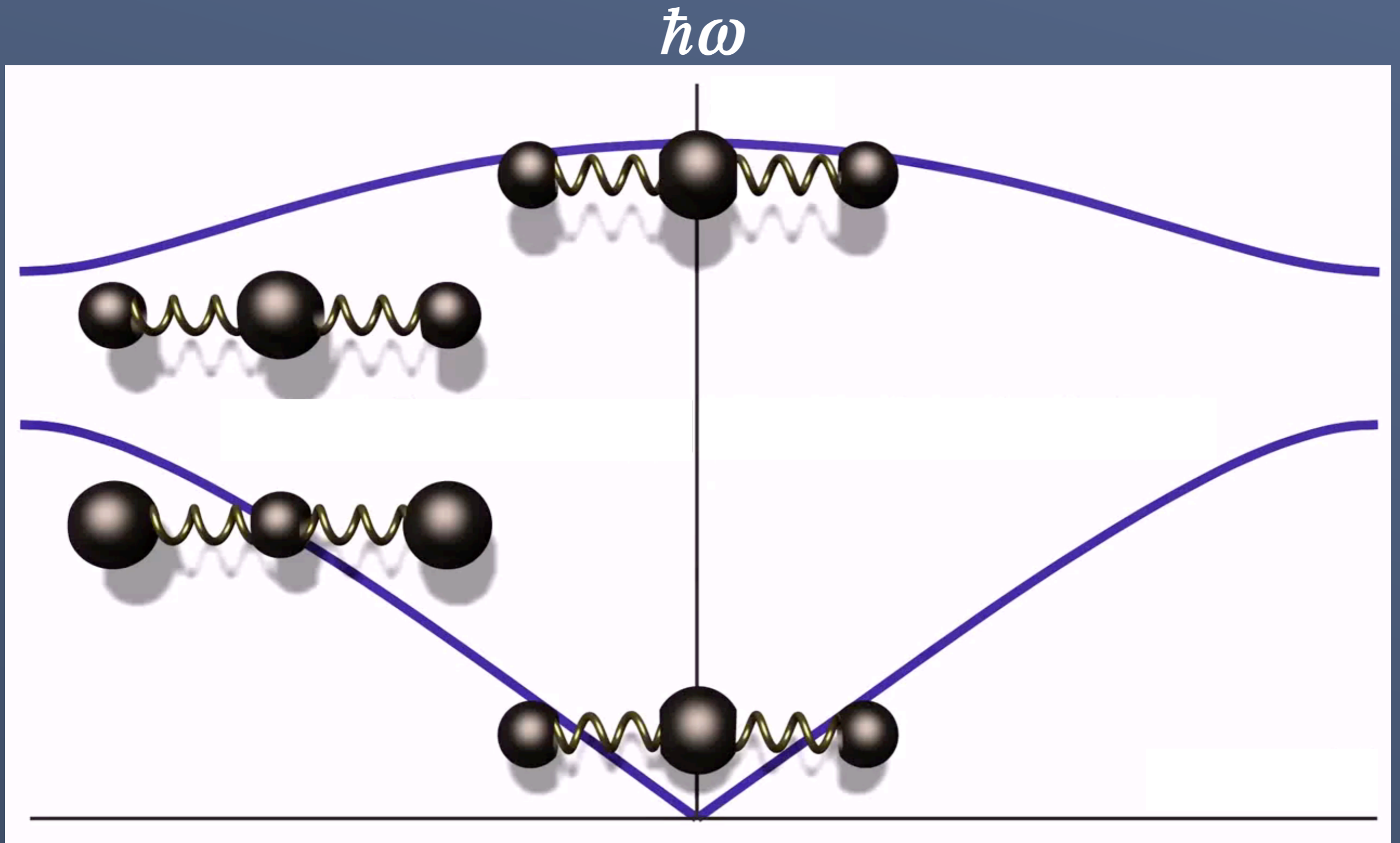
**Transverse Acoustic**



**Transverse Optic**

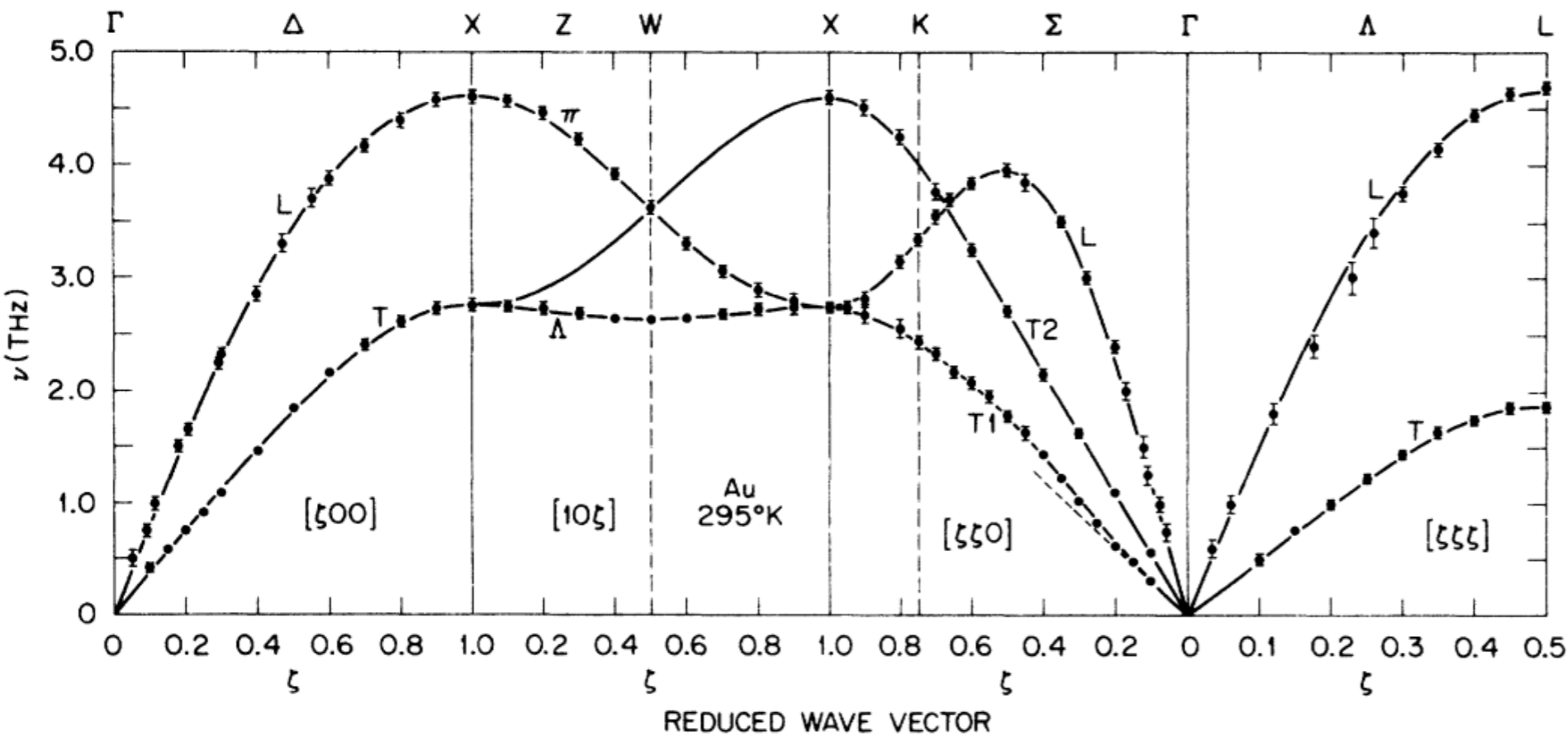


# Phonon eigenvectors and eigenvalues

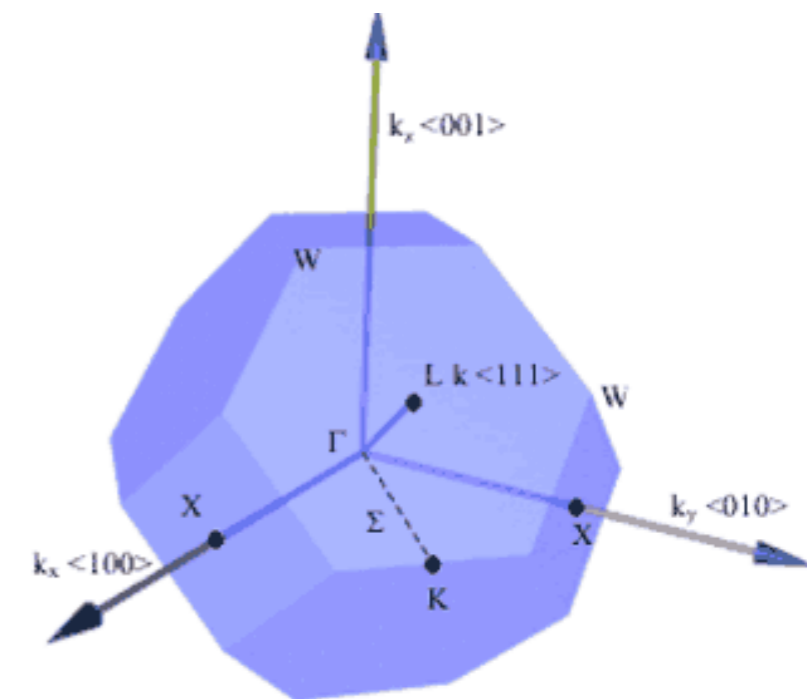
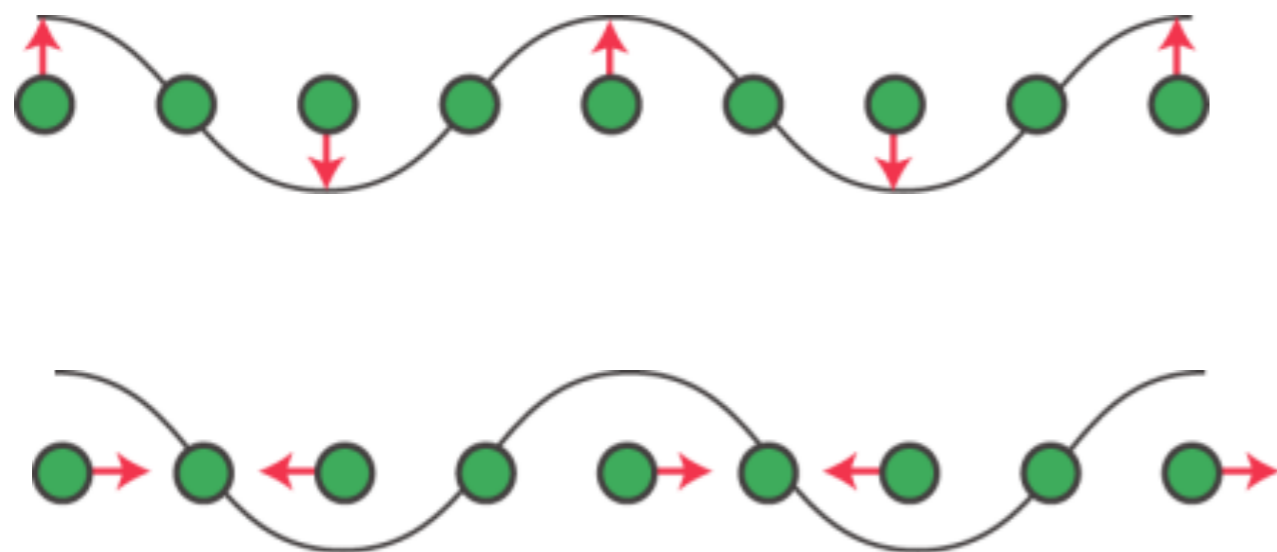
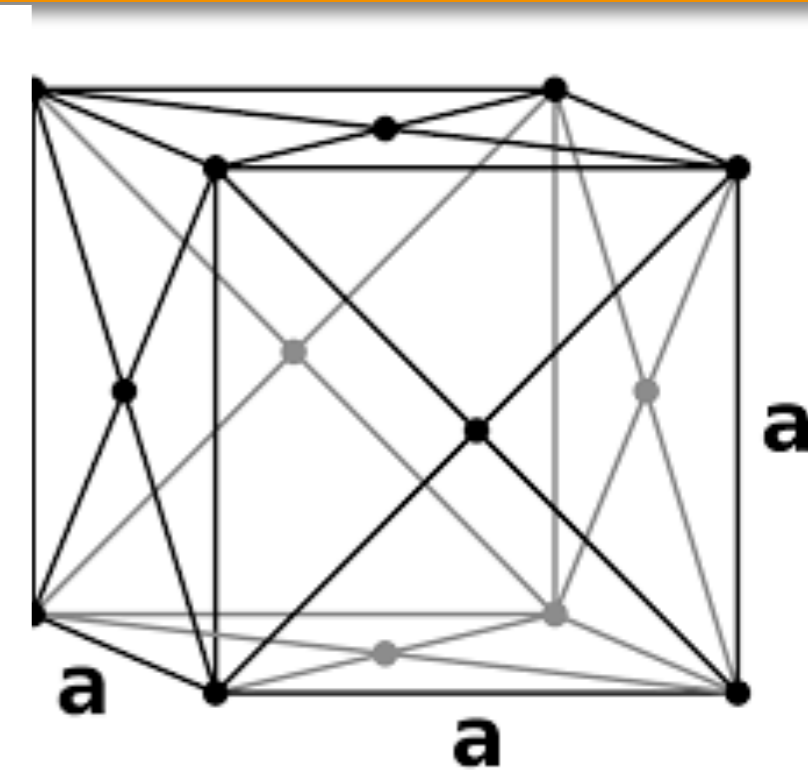


**Momentum  $Q = k_i - k_f$**

# Phonons in 3D

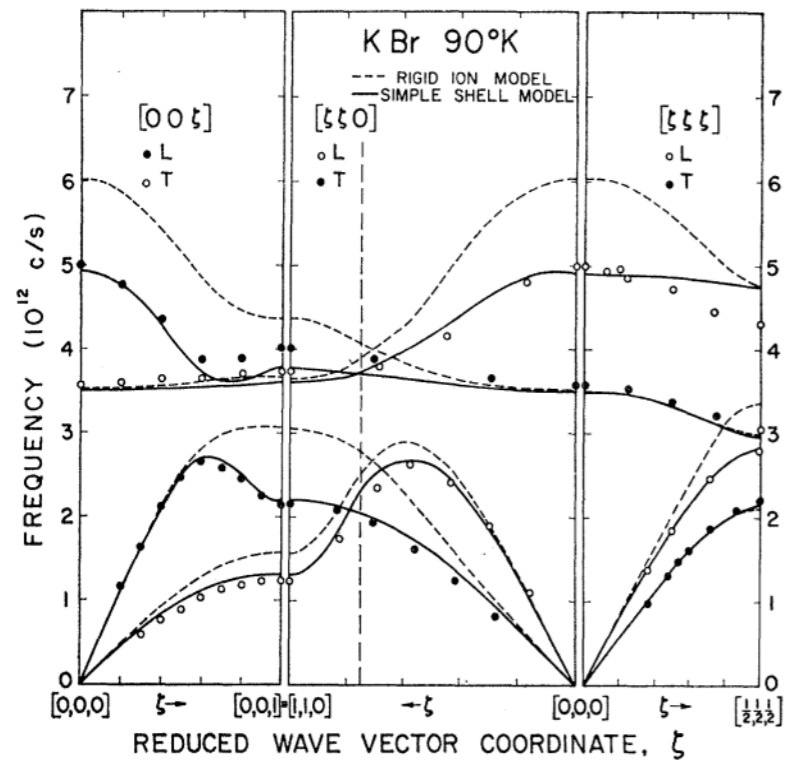


Lynn, et al., *Phys. Rev. B* **8**, 3493 (1973).



FCC Brillouin zone

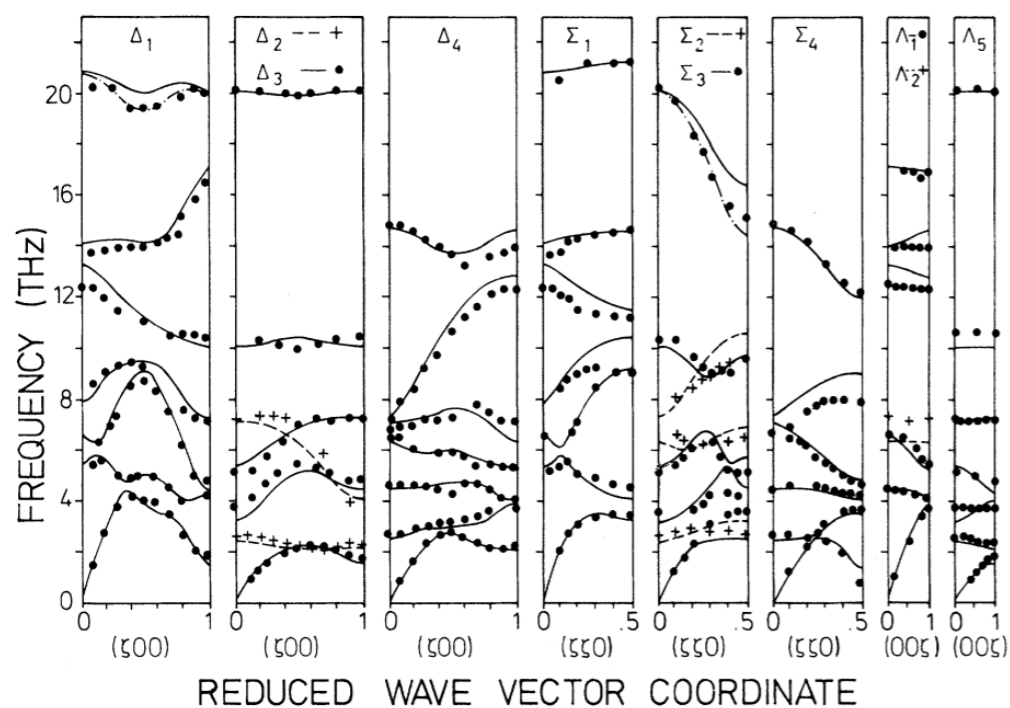
# Phonons in more complicated 3D structures



Woods, *et al.*, *Phys. Rev.* **131**, 1025 (1963).

**KBr - two atoms/unit cell**

**3 acoustic phonon branches**  
**3 optic phonon branches**



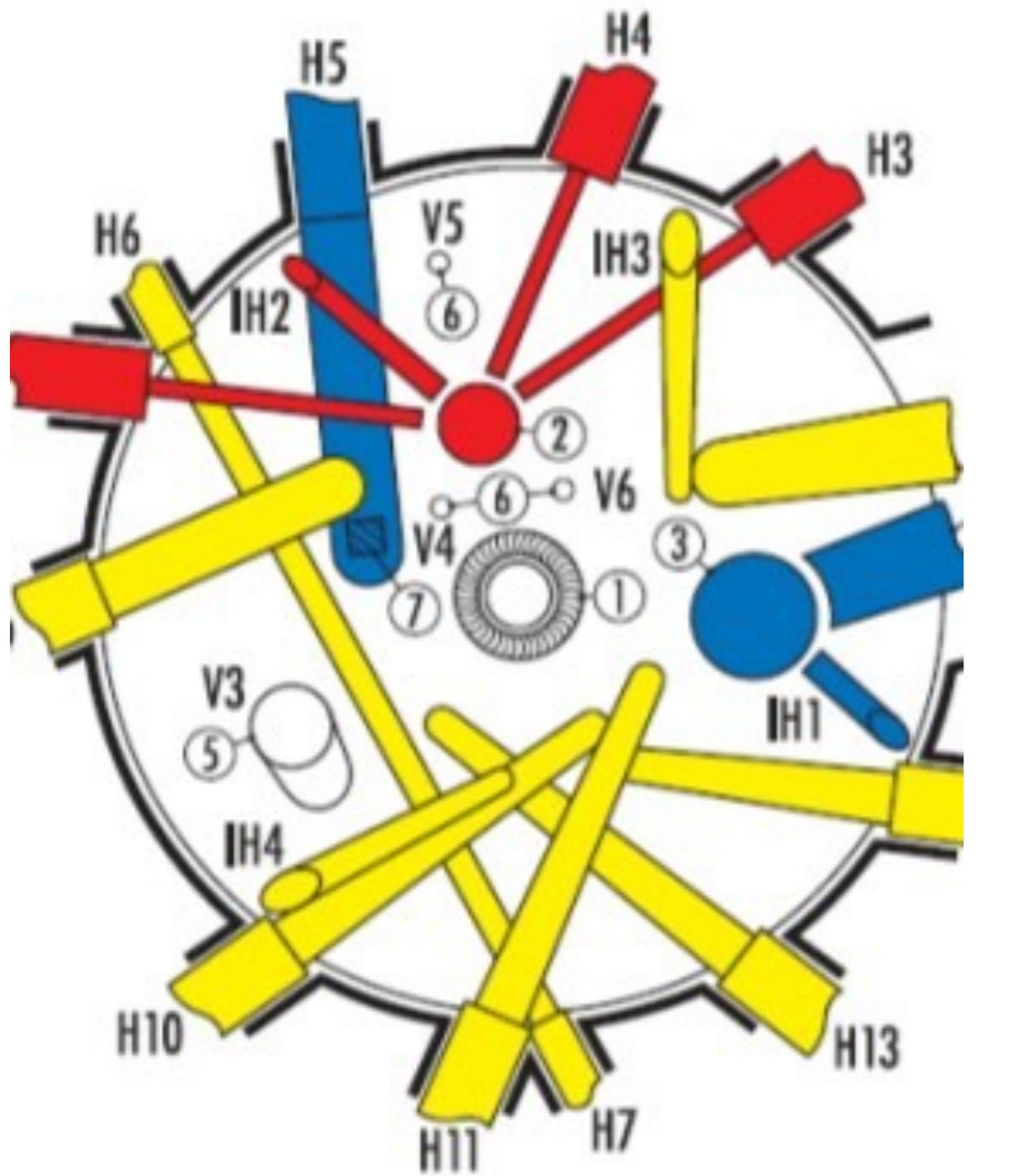
Chaplot, *et al.*, *Phys. Rev. B* **52**, 7230(1995).

**La<sub>2</sub>CuO<sub>4</sub>**

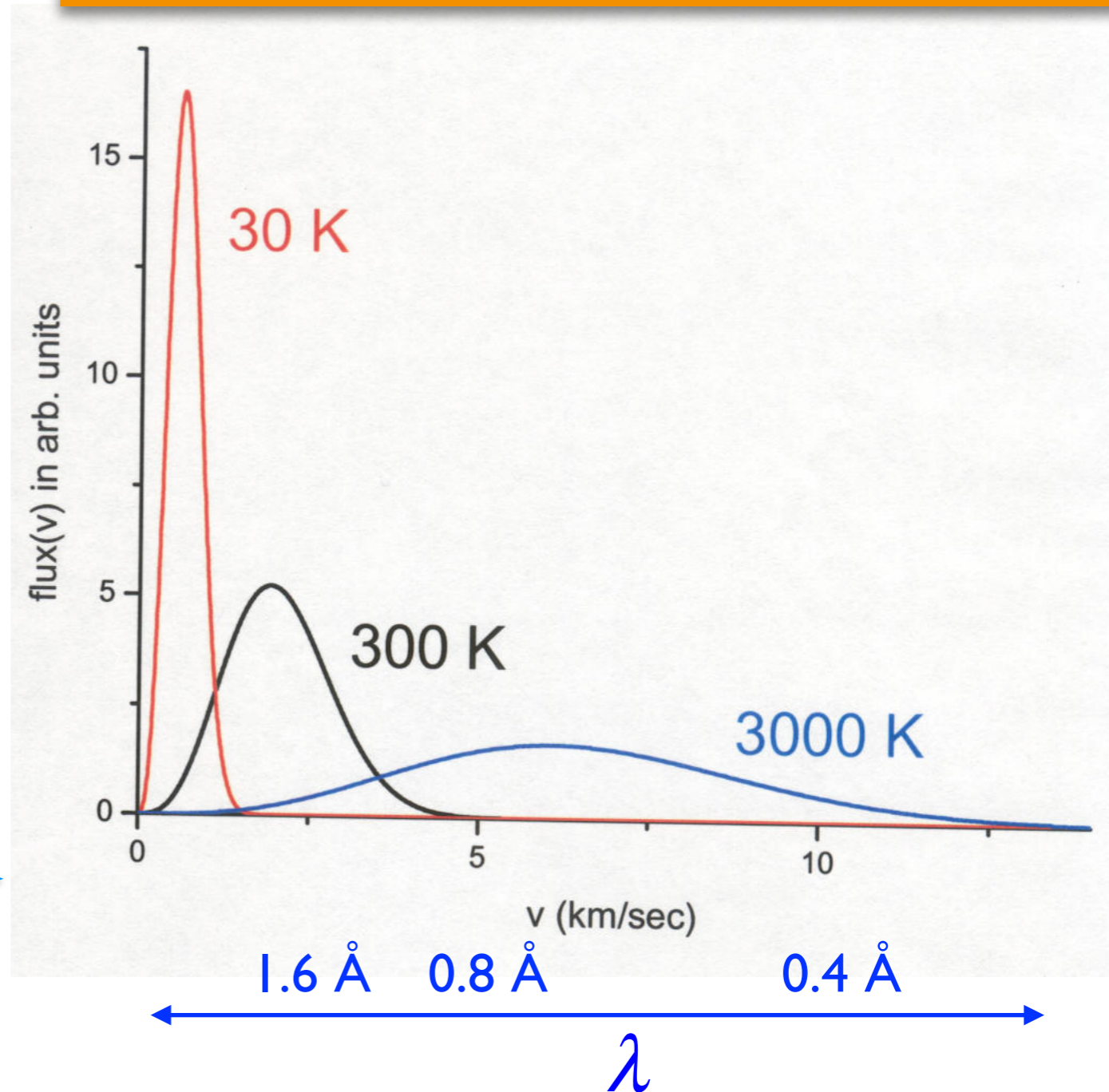
**many atoms/unit cell**

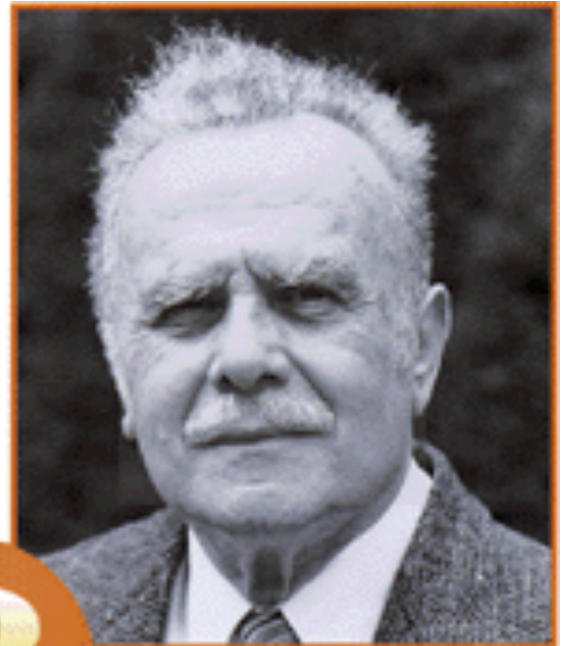
**3 acoustic phonon branches**  
**3n-3 = many optic phonon branches**

# The High Flux Reactor at the ILL and its moderators and beam ports

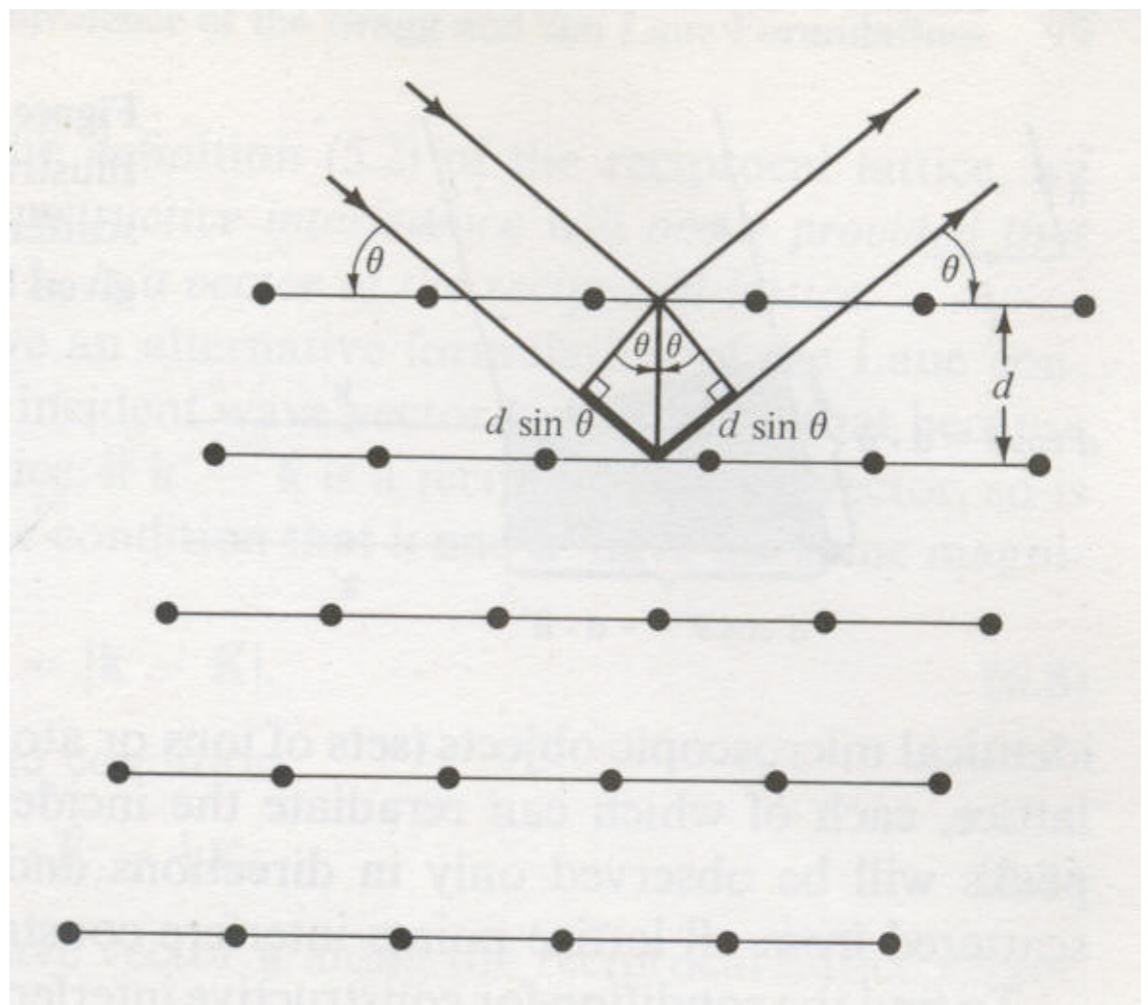
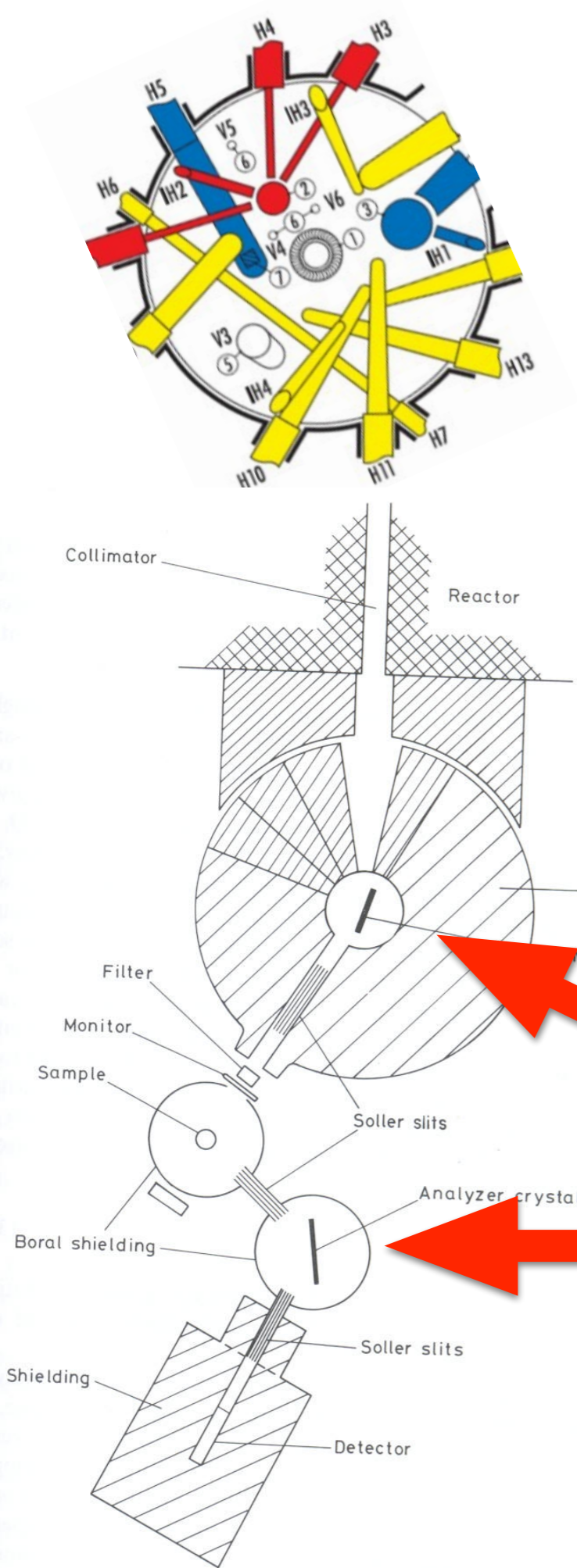
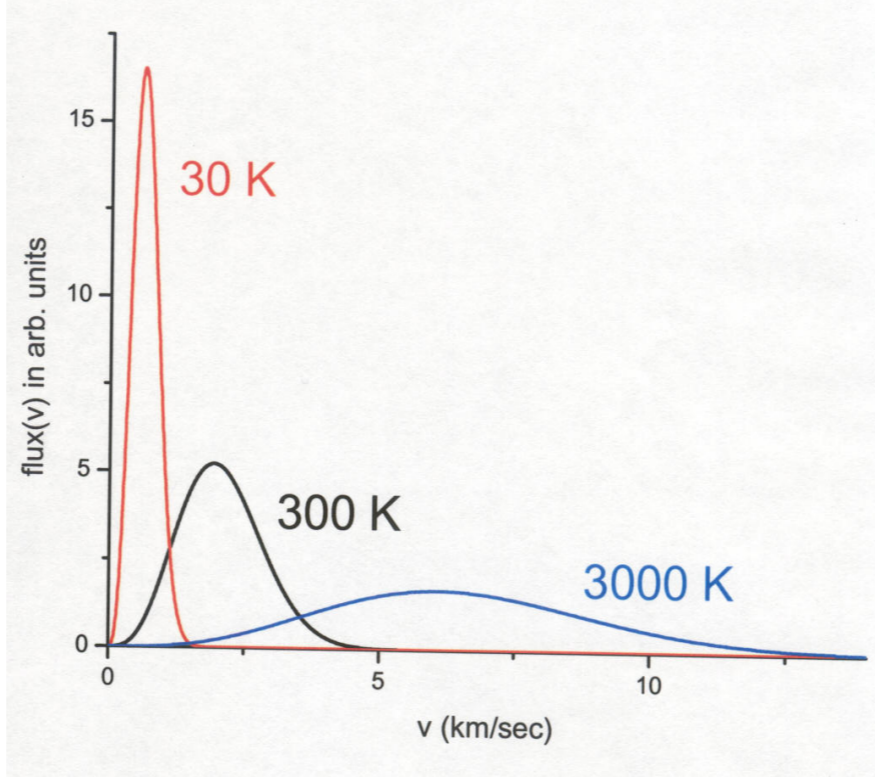


← 2.5 m →

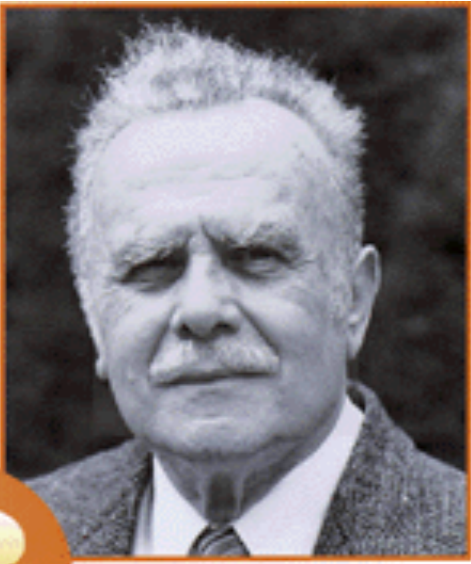




**Betram N. Brockhouse**, McMaster University, Hamilton, Ontario, Canada, receives one half of the 1994 Nobel Prize in Physics for the development of neutron spectroscopy.

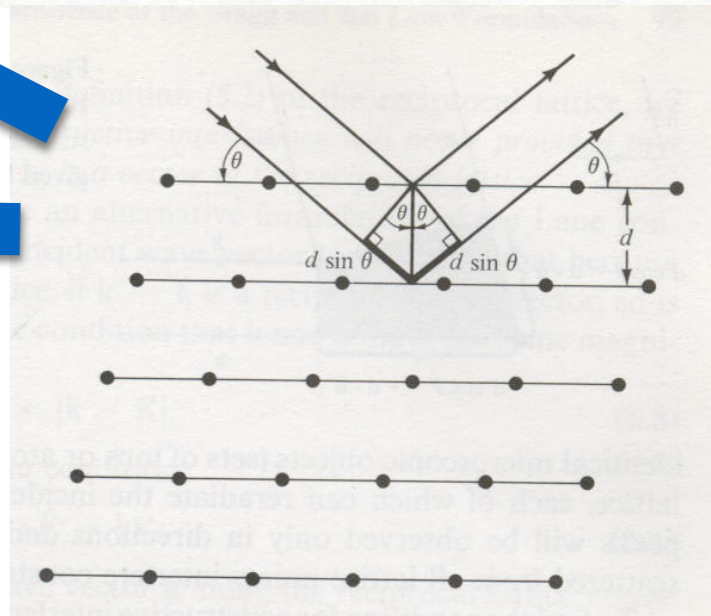
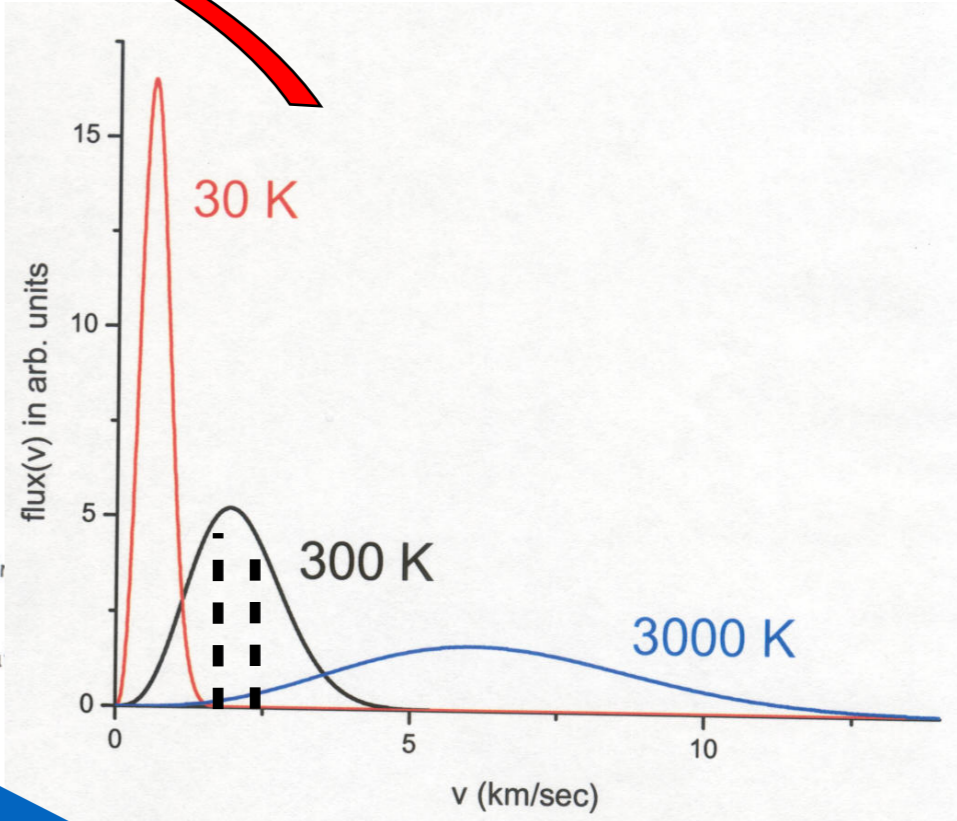
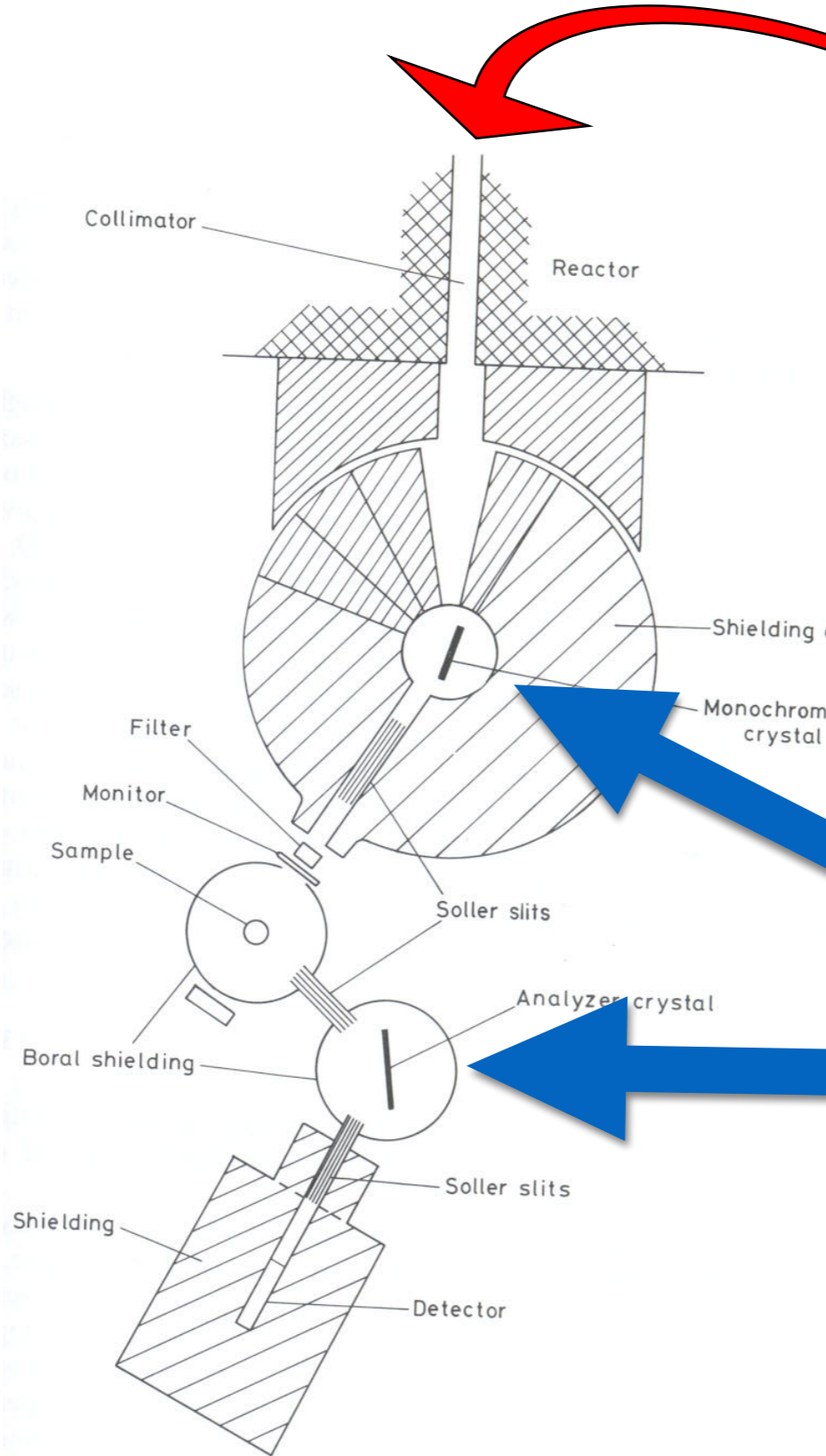


# Brockhouse's Triple Axis Spectrometer



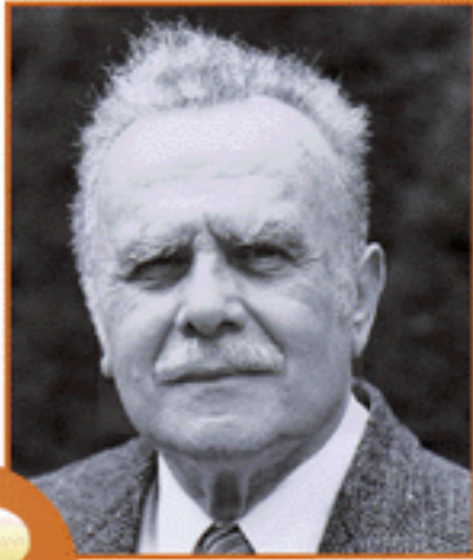
B

**Betram N. Brockhouse**, McMaster University, Hamilton, Ontario, Canada, receives one half of the 1994 Nobel Prize in Physics for the development of neutron spectroscopy.



$$n\lambda = 2d \sin \theta$$

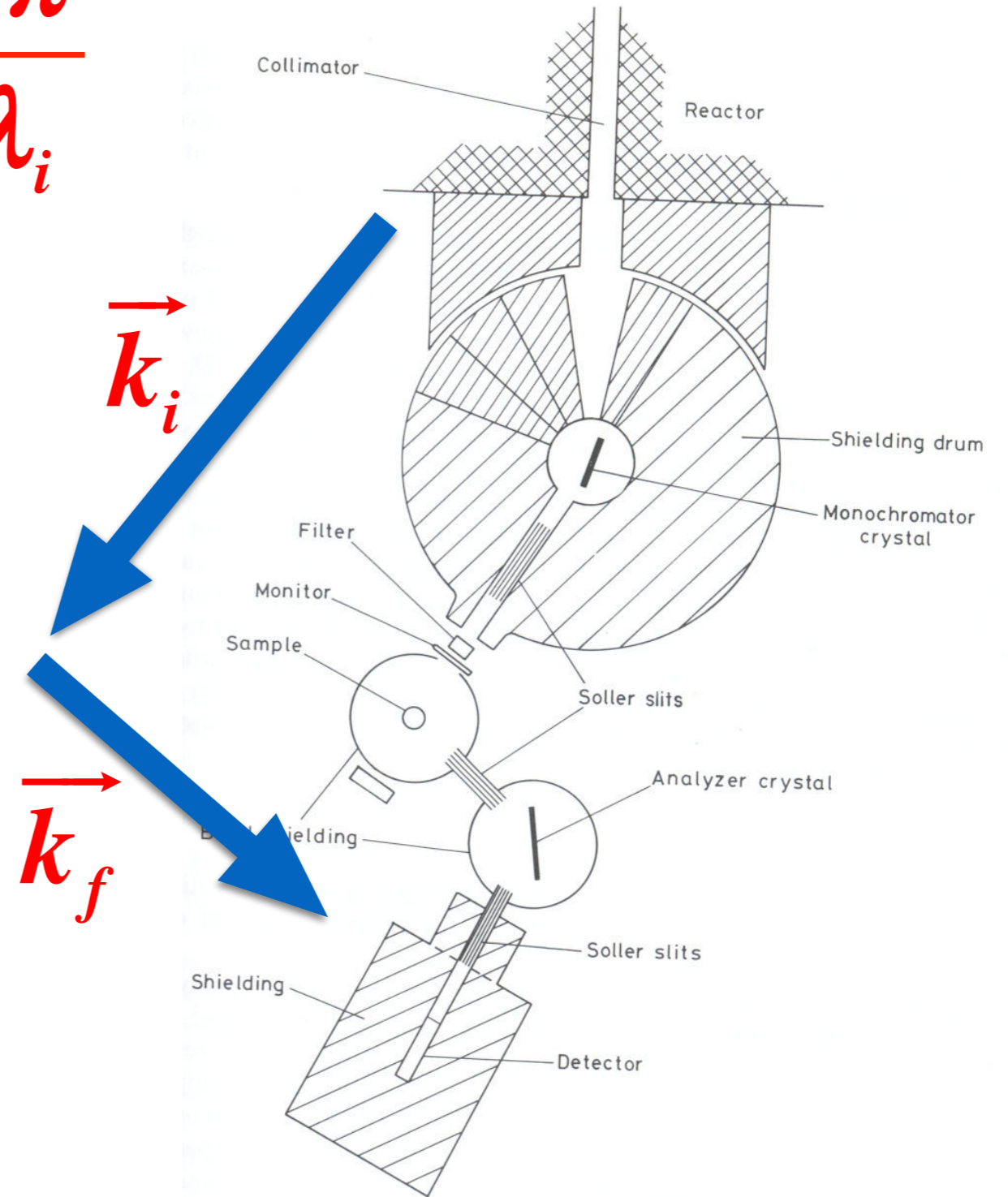
# Brockhouse's Triple Axis Spectrometer



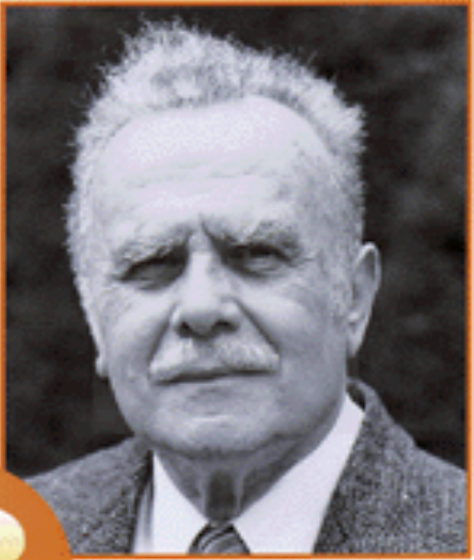
**Betram N. Brockhouse**, McMaster University, Hamilton, Ontario, Canada, receives one half of the 1994 Nobel Prize in Physics for the development of neutron spectroscopy.

$$|\vec{k}_i| = \frac{2\pi}{\lambda_i}$$

$$|\vec{k}_f| = \frac{2\pi}{\lambda_f}$$



# Brockhouse's Triple Axis Spectrometer



**B**

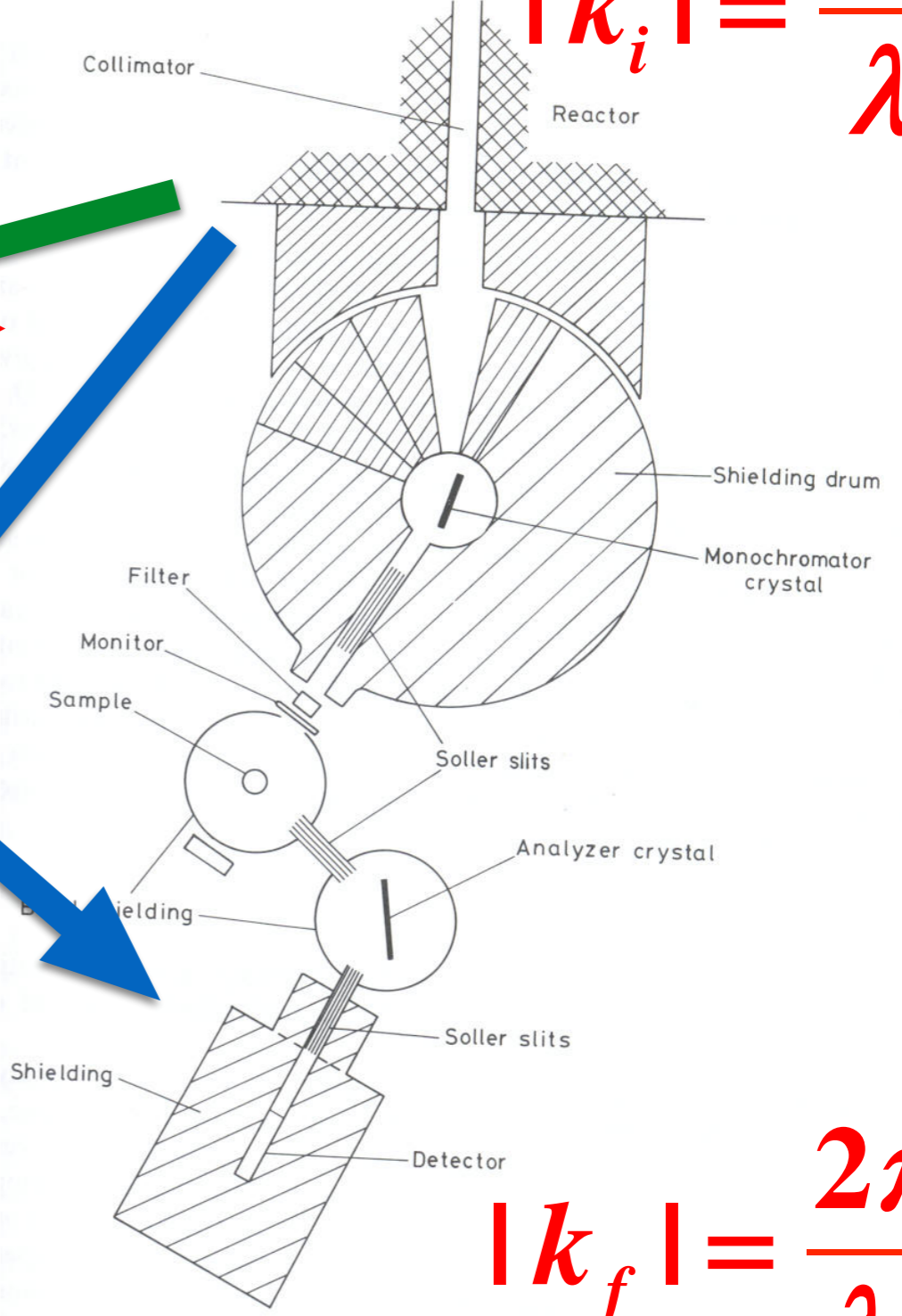
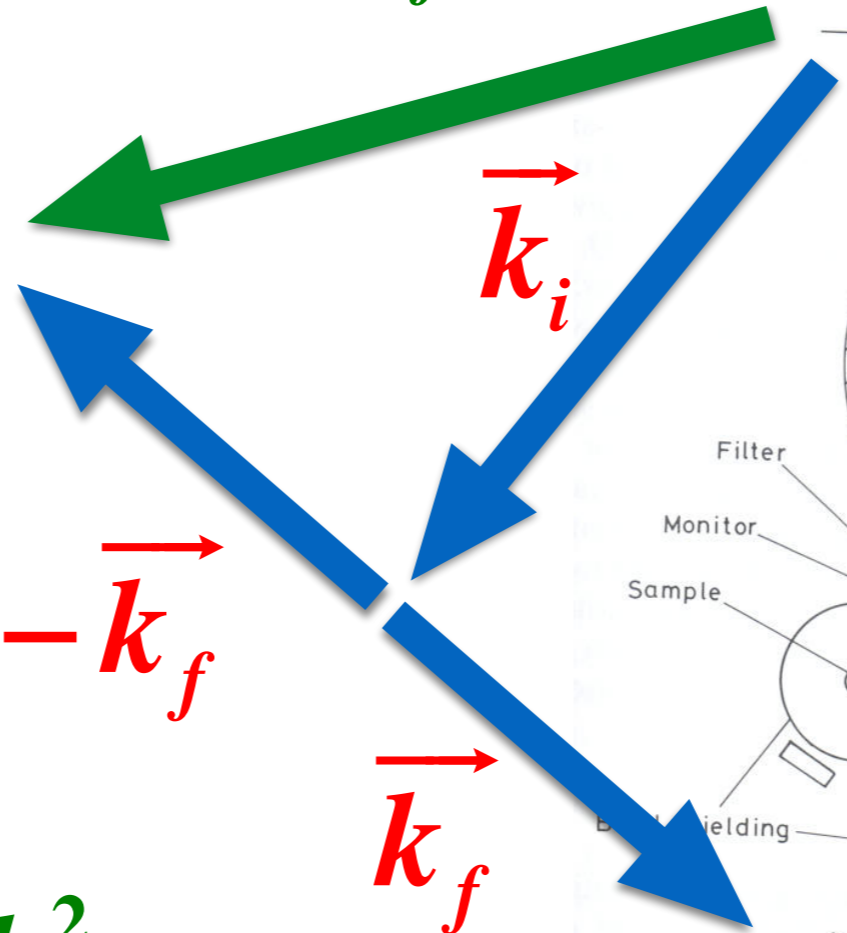
**Betram N. Brockhouse**, McMaster University, Hamilton, Ontario, Canada, receives one half of the 1994 Nobel Prize in Physics for the development of neutron spectroscopy.

$$\vec{Q} = \vec{k}_i - \vec{k}_f$$

$$|k_i| = \frac{2\pi}{\lambda_i}$$

$$\hbar\omega = \frac{\hbar^2 k_i^2}{2m} - \frac{\hbar^2 k_f^2}{2m}$$

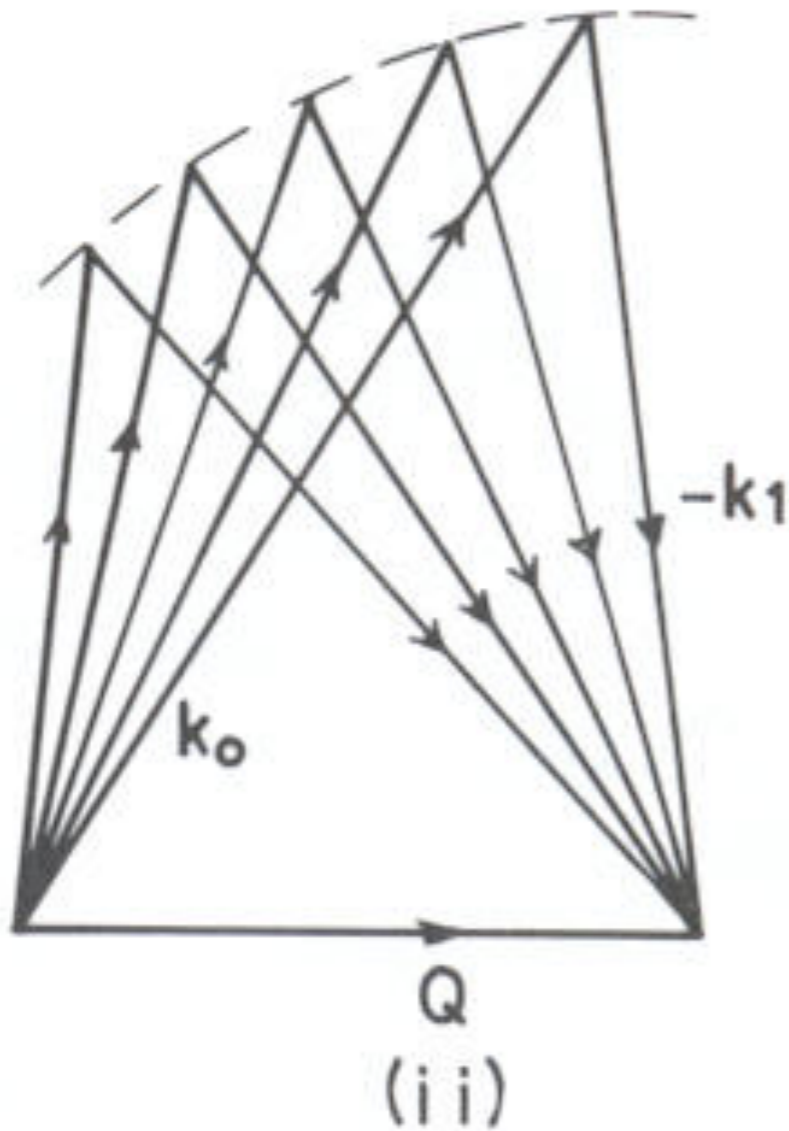
$$|k_f| = \frac{2\pi}{\lambda_f}$$



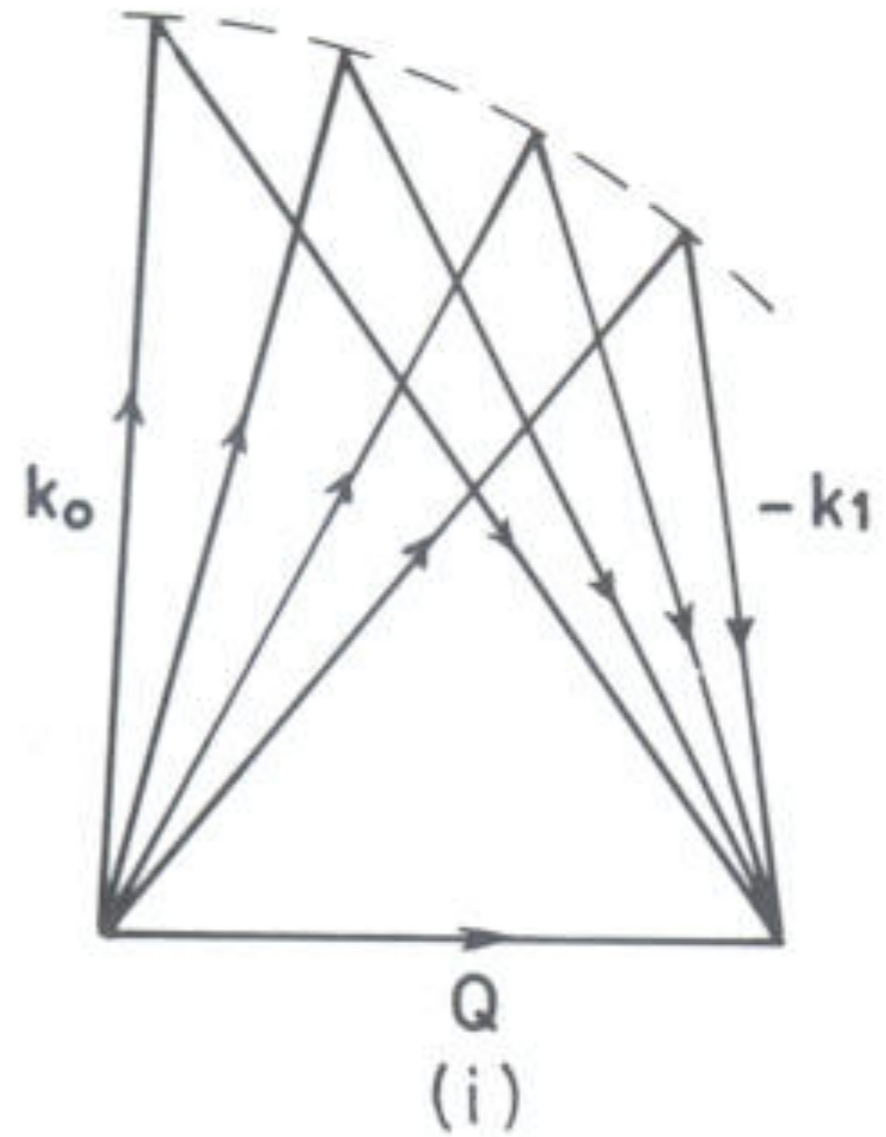


# Two different ways of performing constant-Q scans

$$Q = k_i - k_f$$



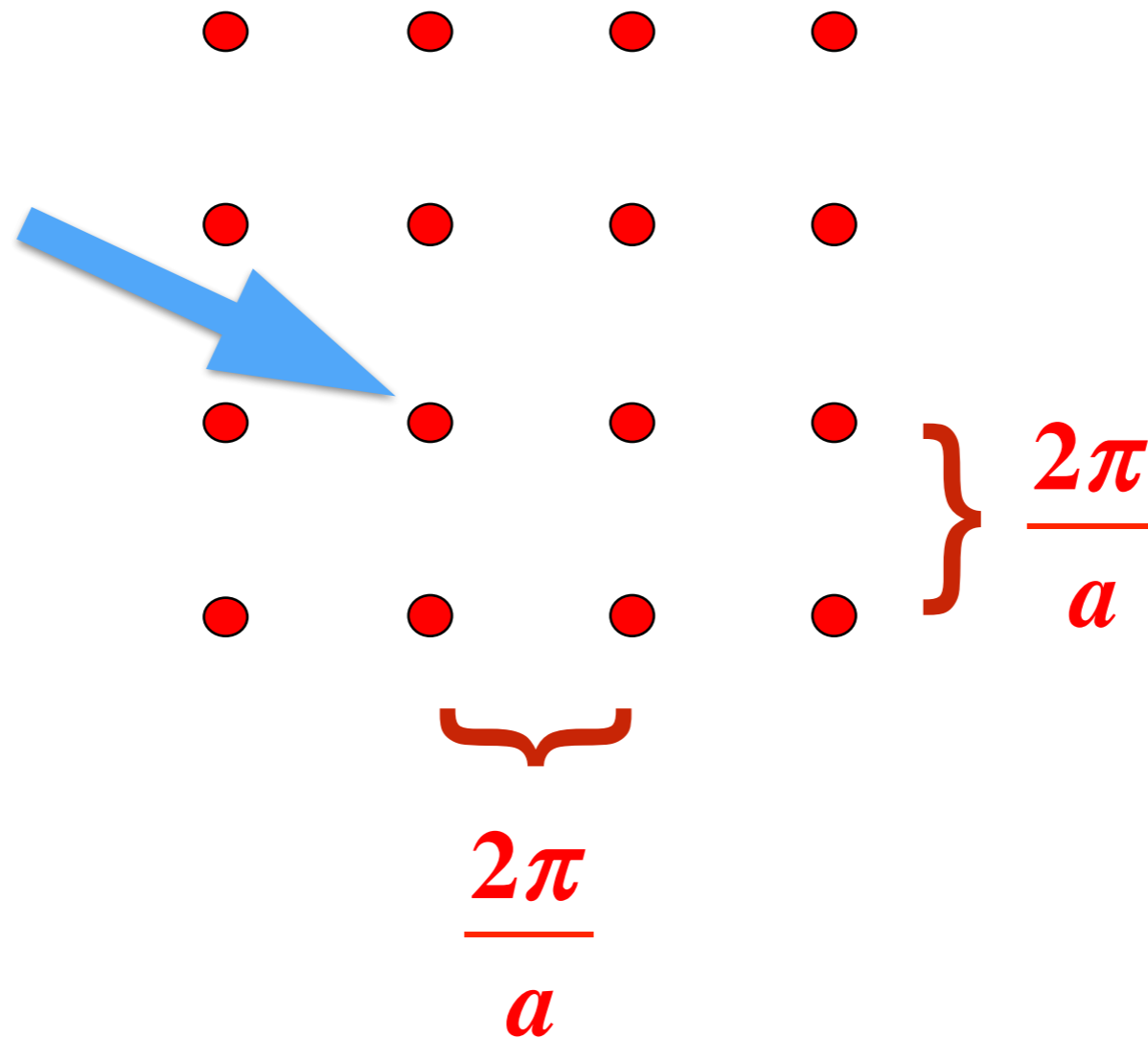
$$Q = \text{Constant } k_f$$



$$Q = \text{Constant } k_i$$

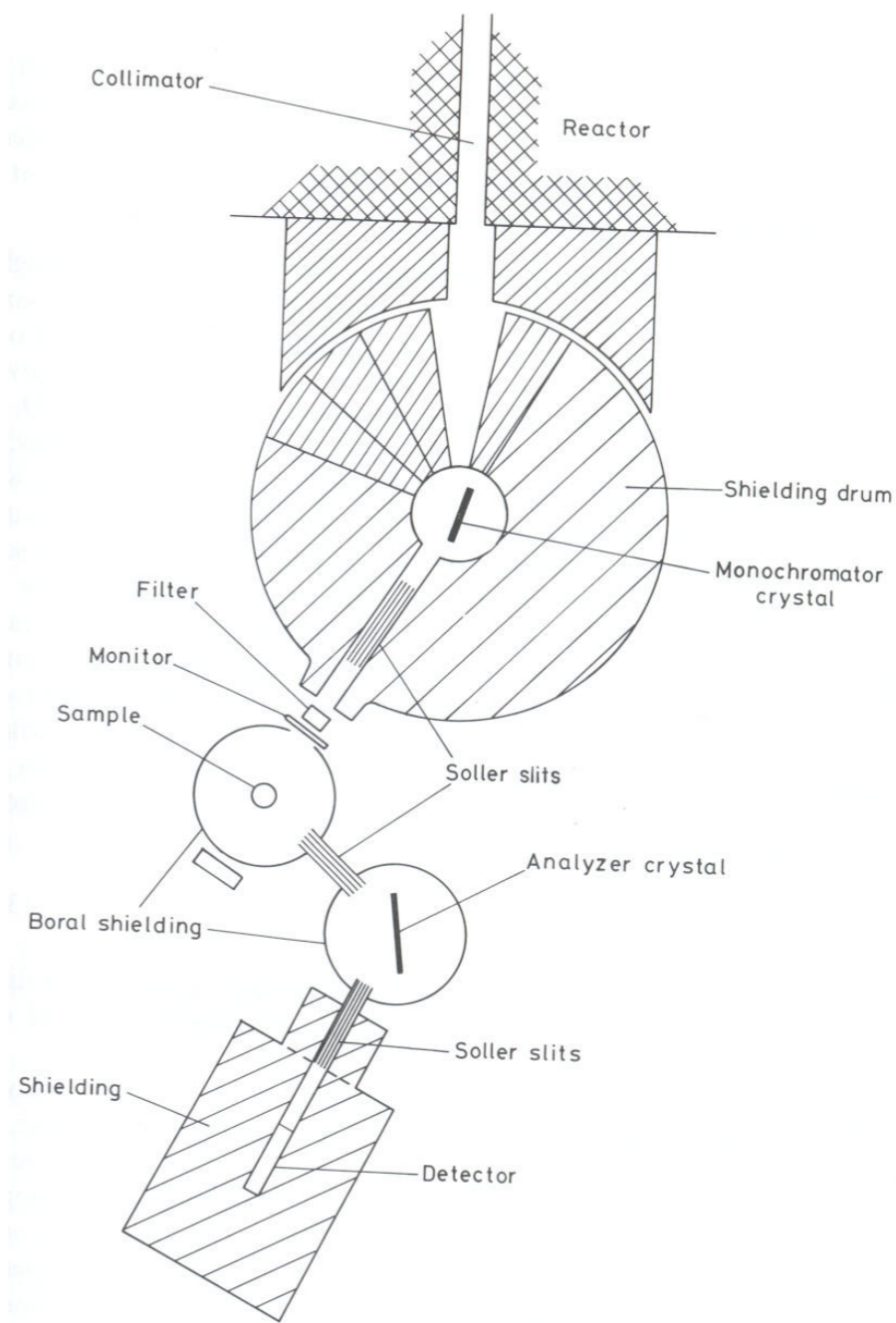
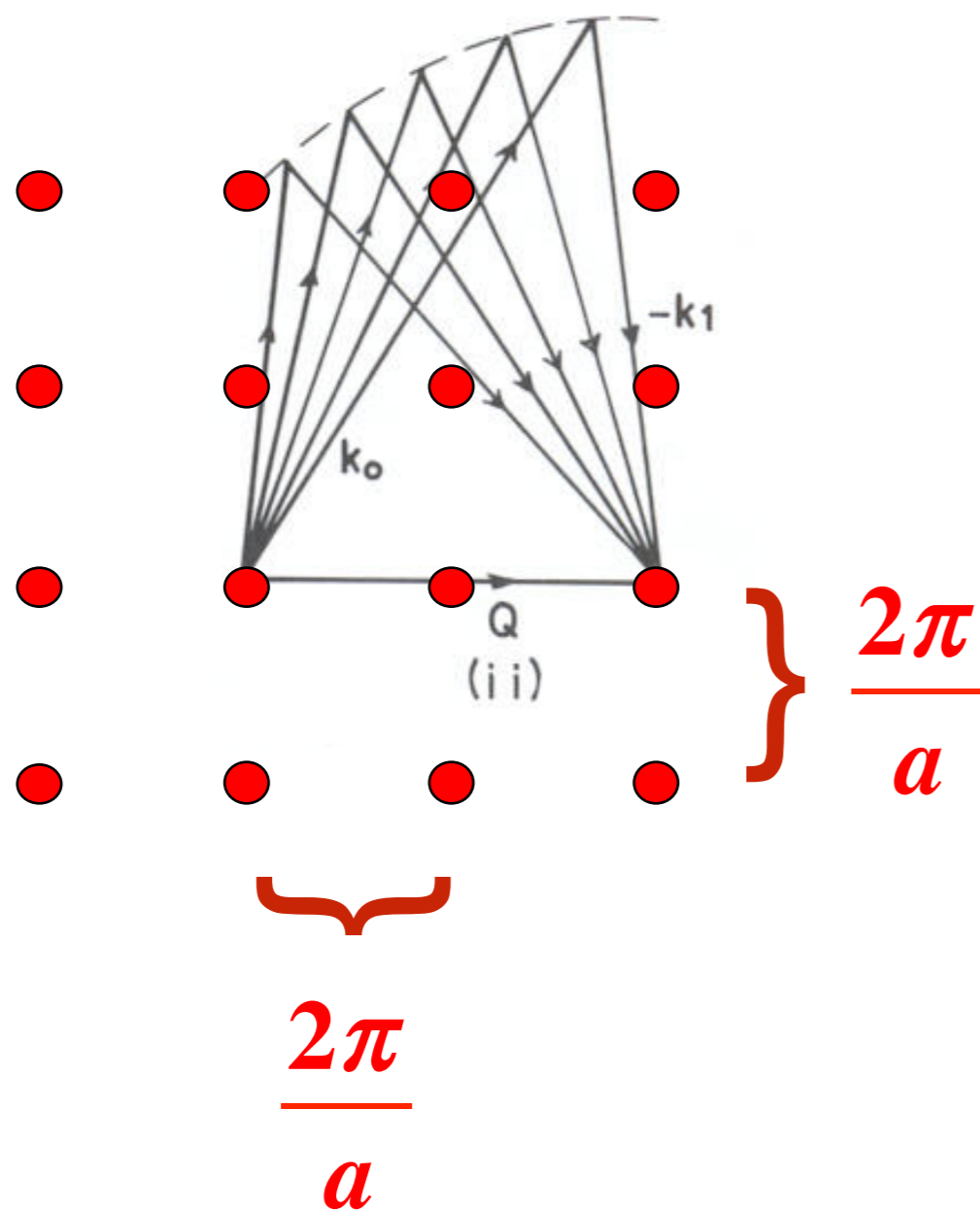
# Mapping Momentum ( $\mathbf{Q}$ ) and Energy ( $\hbar\omega$ ) space

**Origin of  
reciprocal  
space**

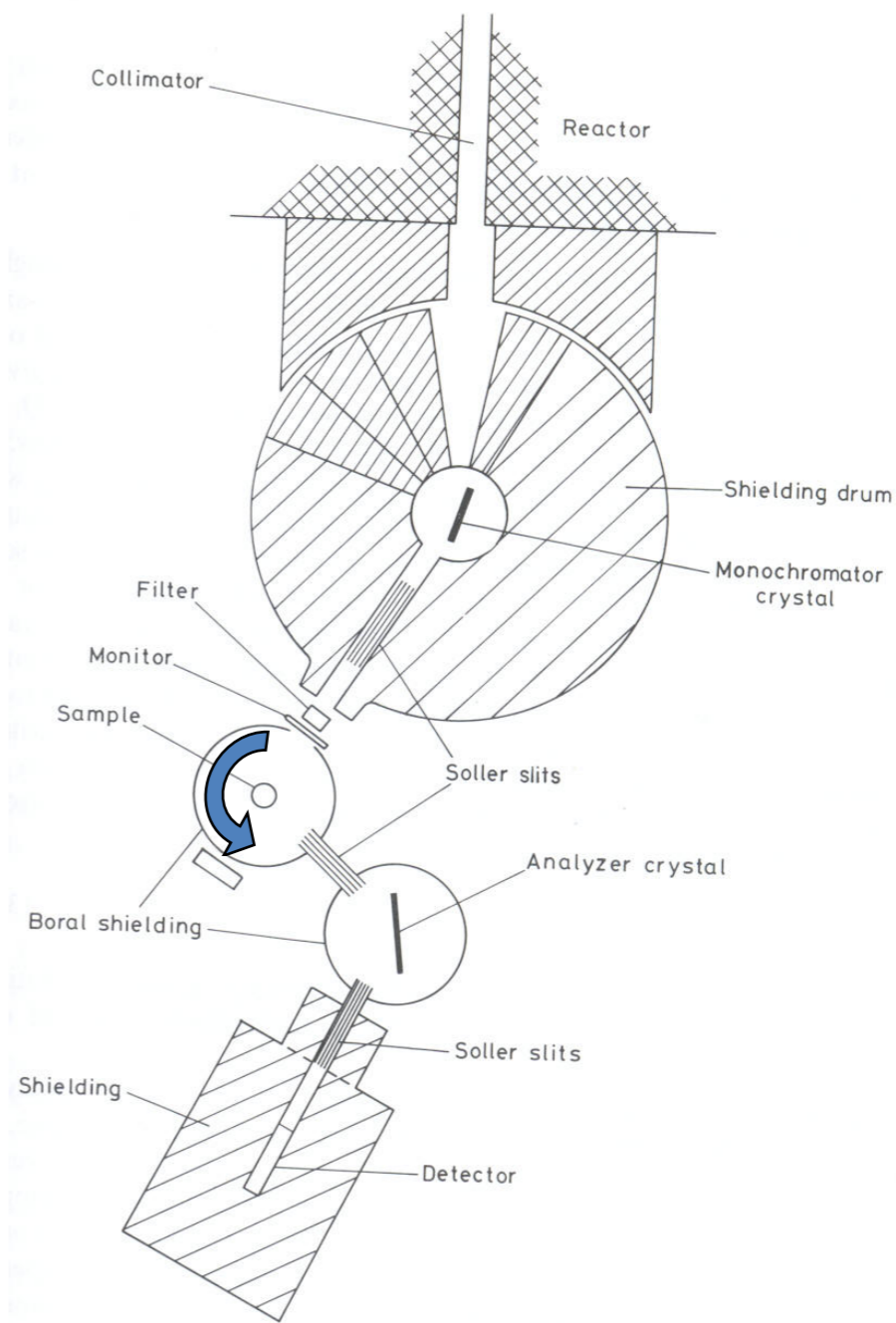
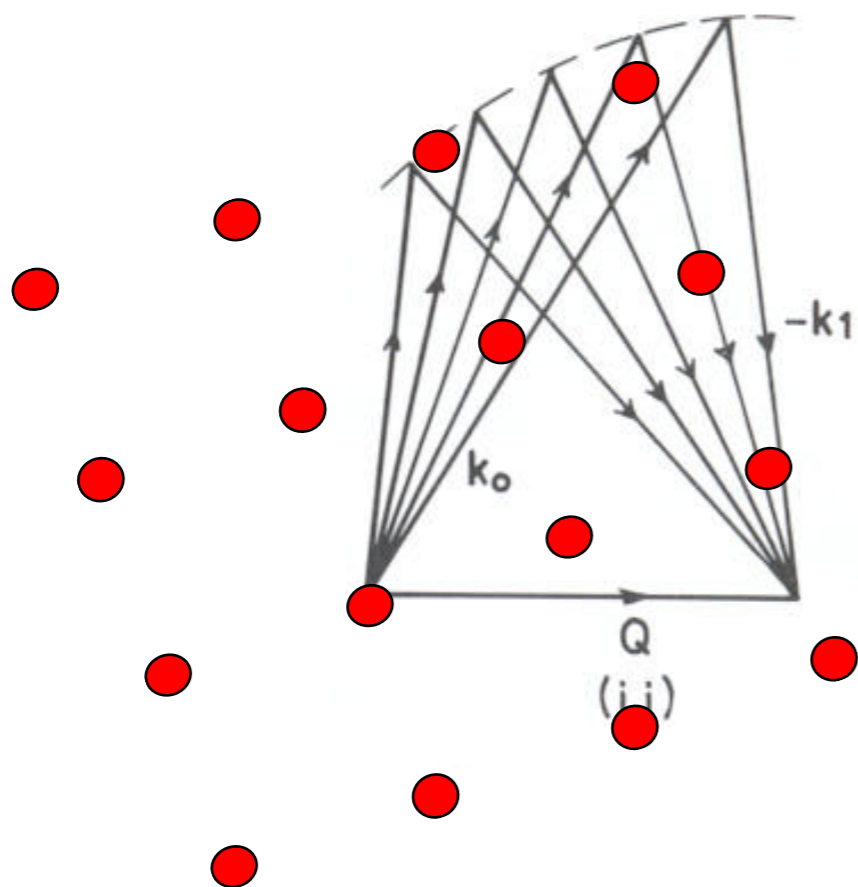


**Remains  
fixed for  
all sample  
orientations**

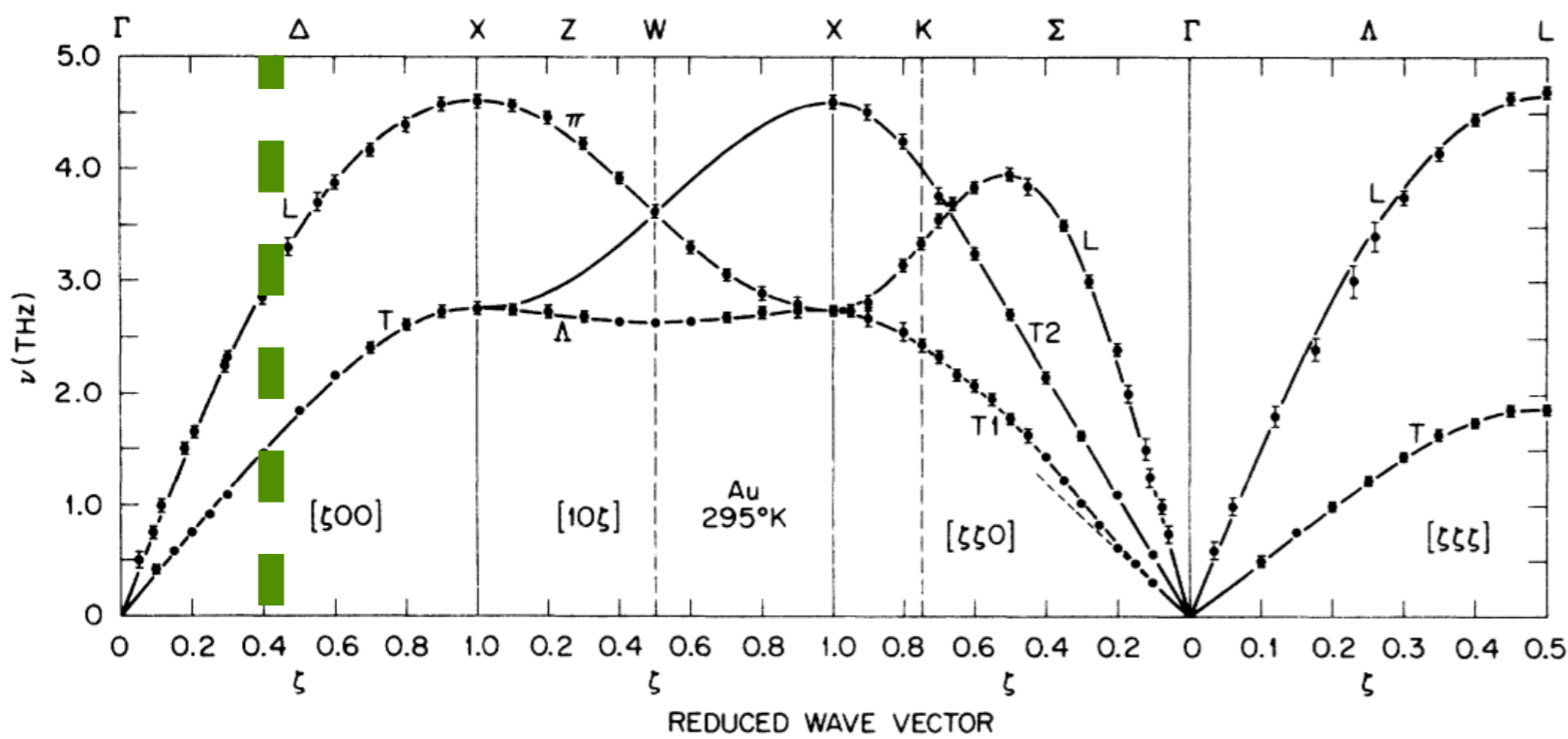
# Putting the Q-map of the scattering with the reciprocal lattice of the crystal



# Putting the Q-map of the scattering with the reciprocal lattice of the crystal

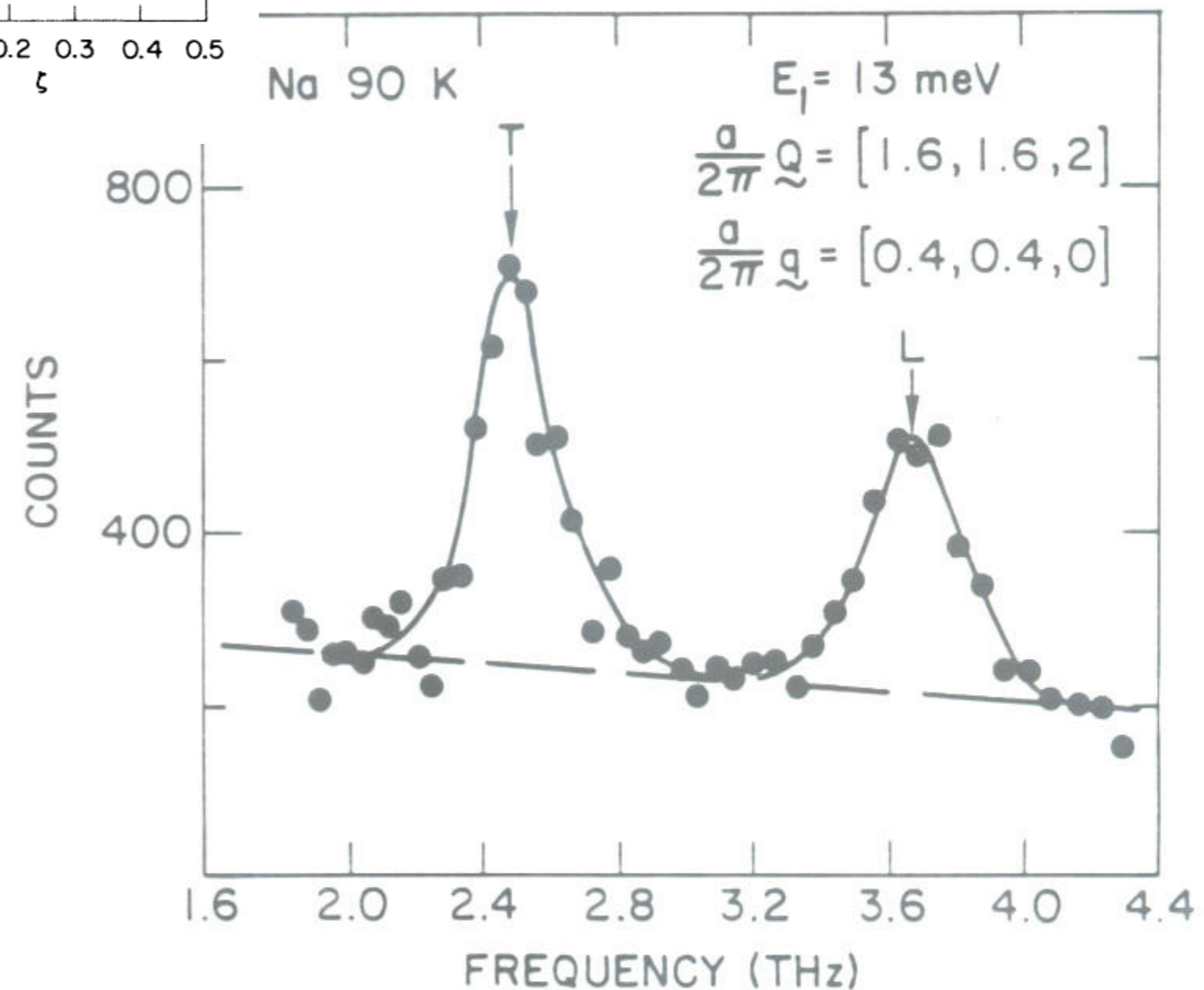


# Constant-Q triple axis data

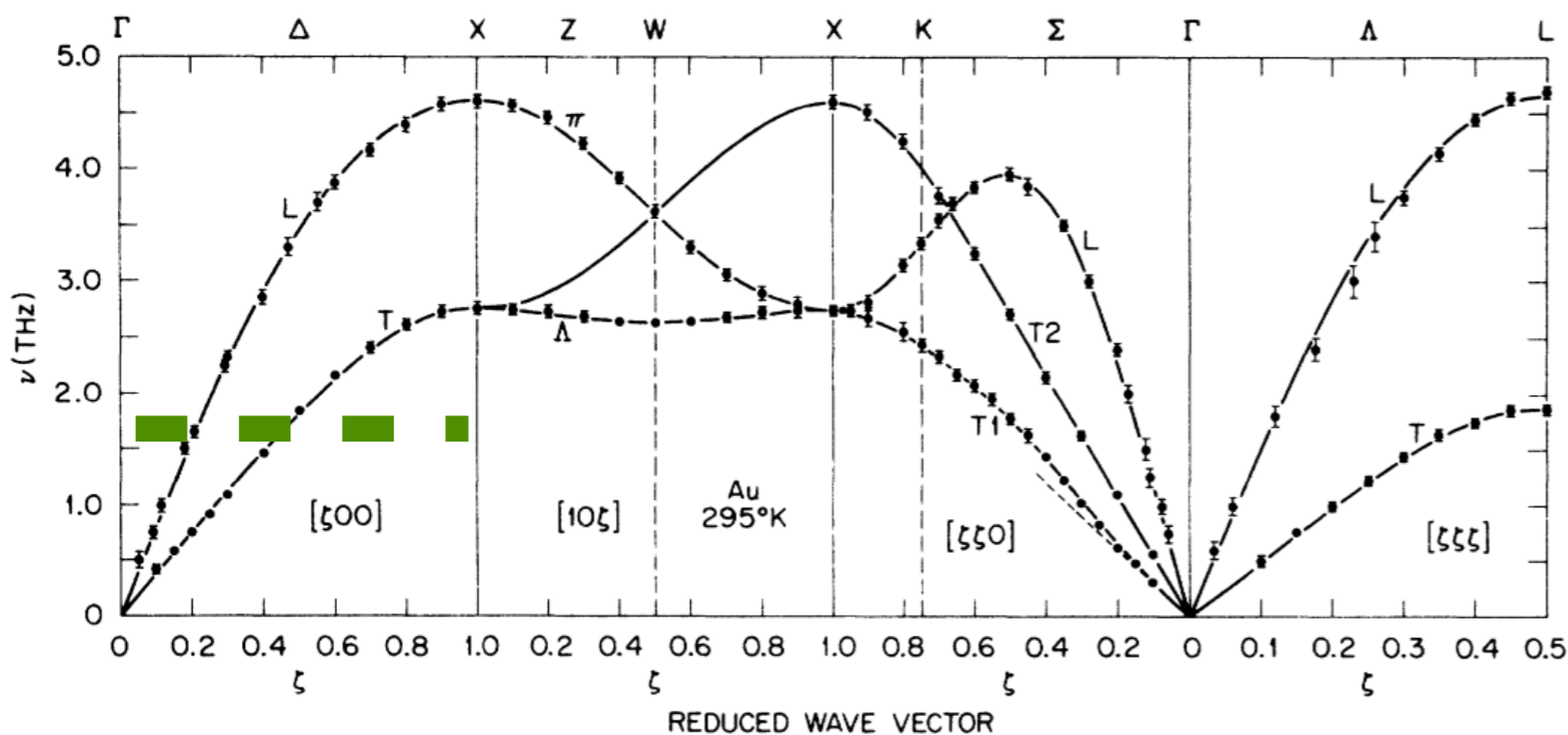


Lynn, et al., *Phys. Rev. B* 8, 3493 (1973).

Constant  $\mathbf{Q}$ , constant  $E$   
triple axis techniques  
allow us to put  $\mathbf{Q}$  and  $E$   
on a grid, and scan through  
as we choose

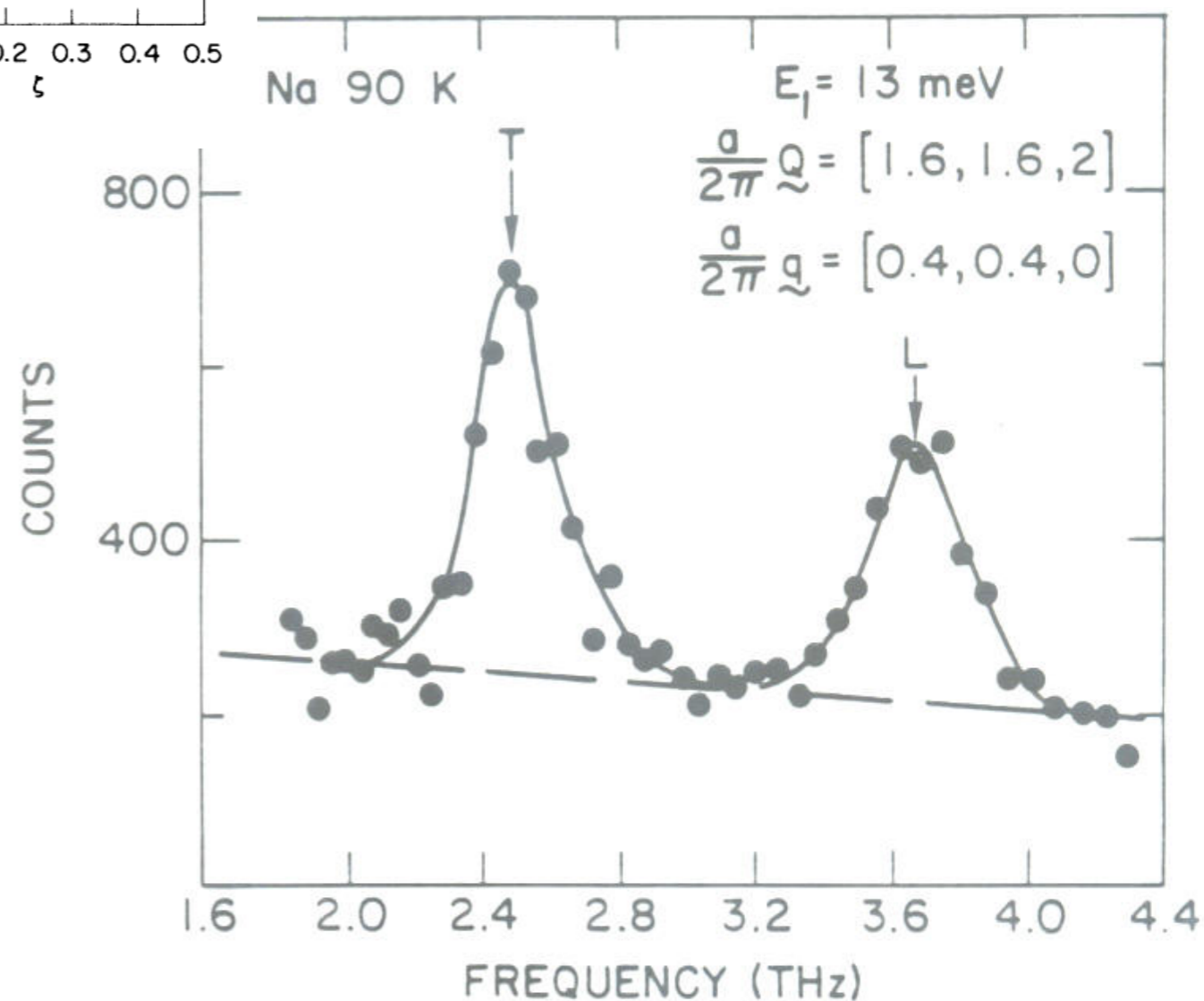


# Constant-E triple axis data

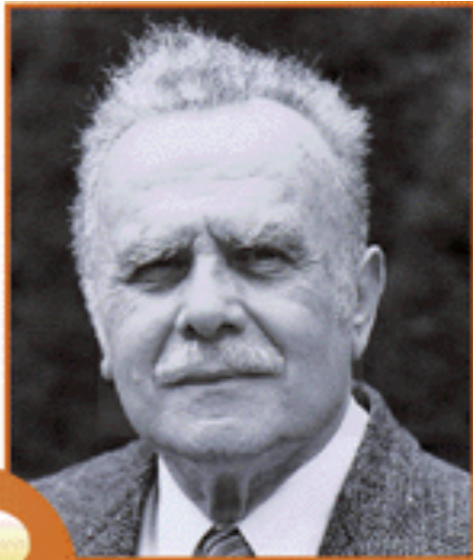


Lynn, et al., *Phys. Rev. B* 8, 3493 (1973).

Constant  $\mathbf{Q}$ , constant E triple axis techniques allow us to put  $\mathbf{Q}$  and E on a grid, and scan through as we choose

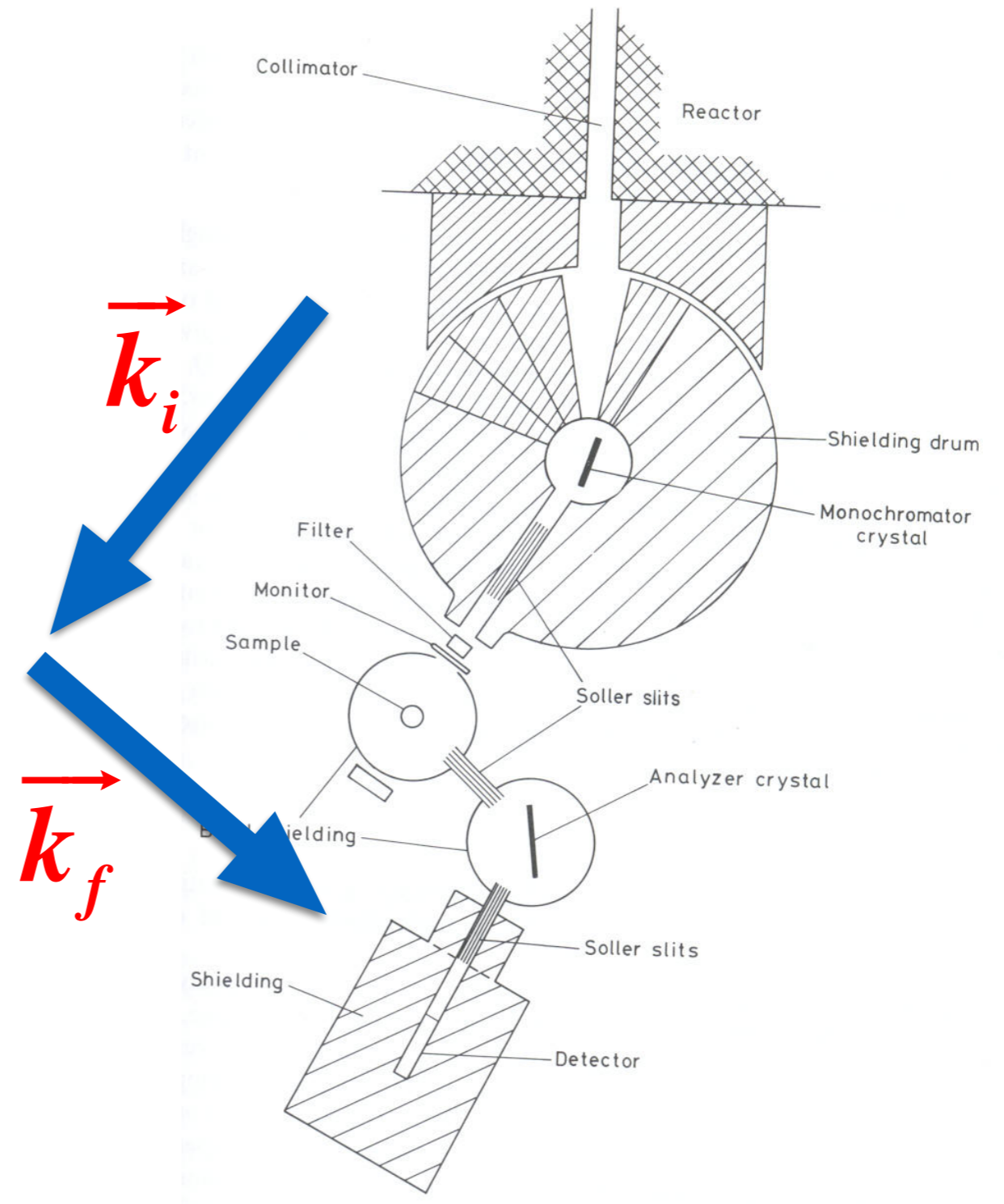


# Elastic scattering with a Triple Axis Spectrometer

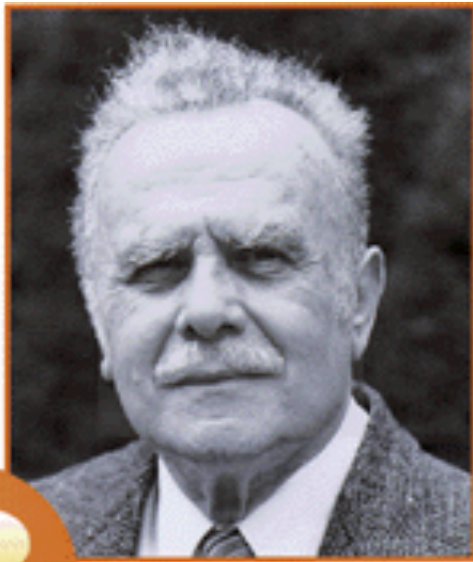


**B** **Betram N. Brockhouse**, McMaster University, Hamilton, Ontario, Canada, receives one half of the 1994 Nobel Prize in Physics for the development of neutron spectroscopy.

$$|\mathbf{k}_f| = |\mathbf{k}_i| = \frac{2\pi}{\lambda_i}$$



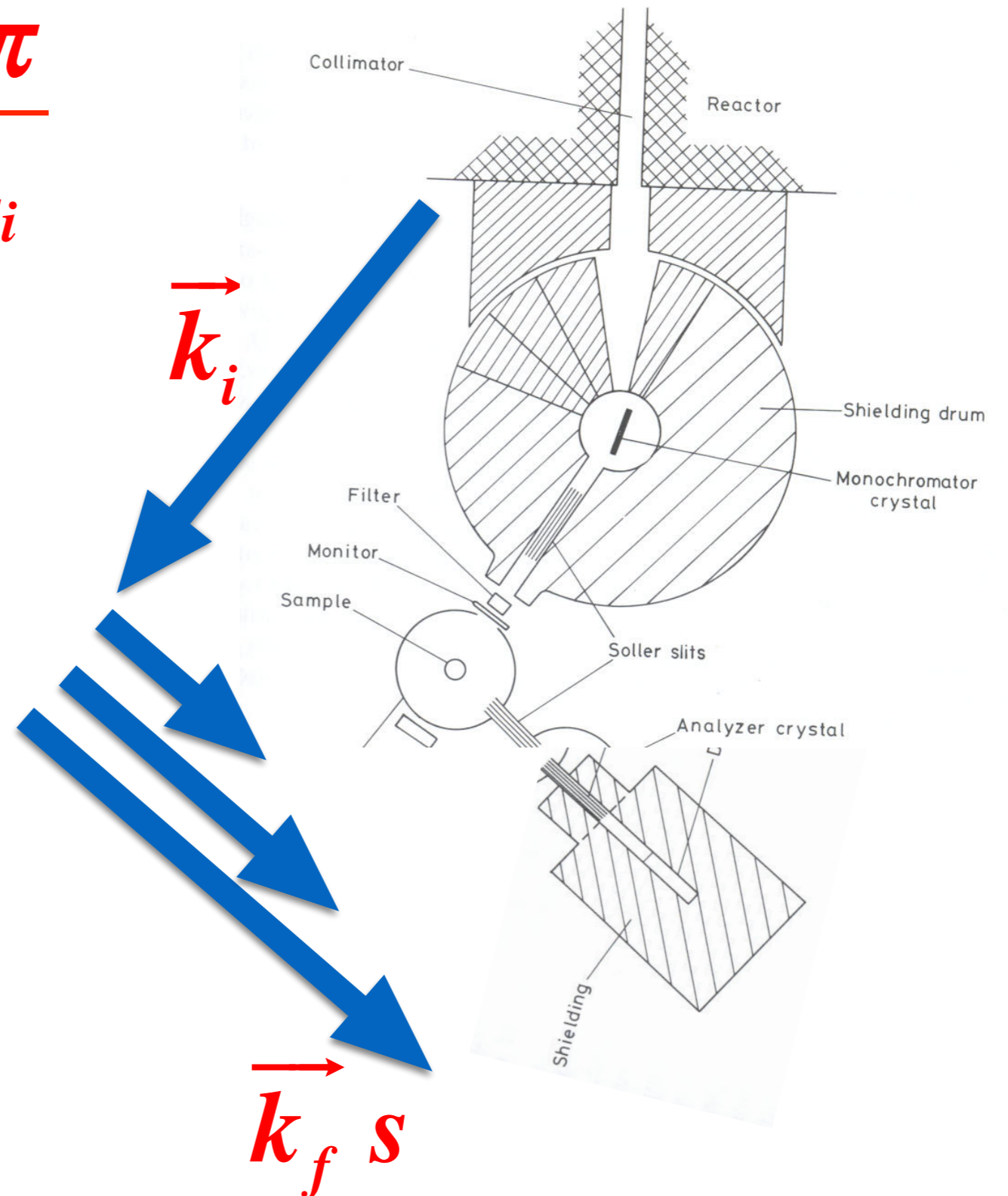
# Two Axis “Spectrometer” integrates over $k_f$ : diffraction



**B** **Betram N. Brockhouse**,  
McMaster University, Hamilton,  
Ontario, Canada, receives one  
half of the 1994 Nobel Prize  
in Physics for the development  
of neutron spectroscopy.

$$|\vec{k}_i| = \frac{2\pi}{\lambda_i}$$

The assumption is often  
made that the  
scattering is elastic -  
but, *this is an assumption!*





# The coherent neutron scattering cross section for phonons

$$S(\vec{Q}, \hbar\omega) = \frac{1}{2NM} e^{-Q^2 \langle u^2 \rangle} \sum_{j, \vec{q}} |\vec{Q} \cdot \vec{\epsilon}_j(\vec{q})|^2 \frac{1}{\omega_j(\vec{q})}$$

The displacement (eigenvectors) of the atoms must be // to the momentum transfer

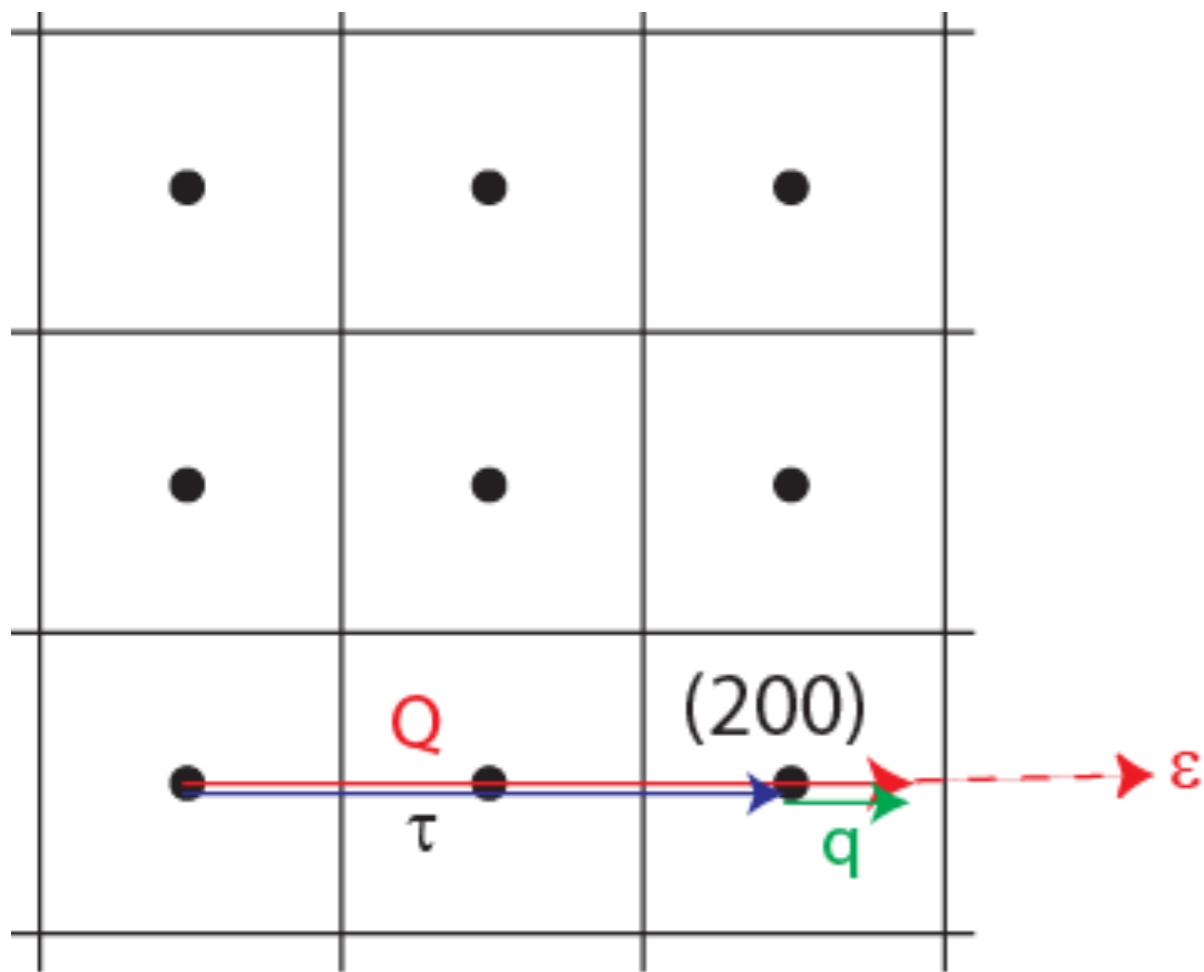
$$\times (1 + n(\hbar\omega)) \delta(\vec{Q} - \vec{q} - \vec{\tau}) \delta(\hbar\omega - \hbar\omega_j(\vec{q}))$$

The neutron can always create a phonon, but it cannot destroy a phonon unless one is already present

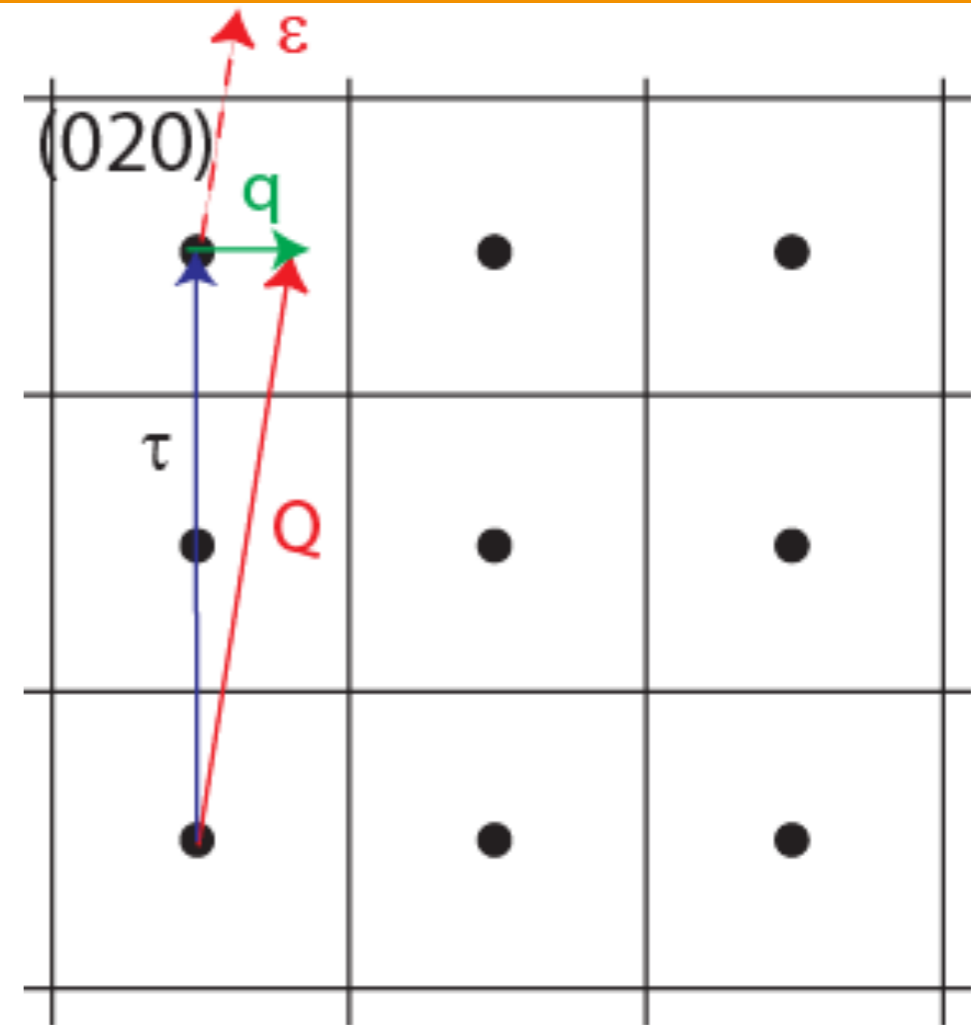
Momentum must be conserved

Energy must be conserved

# The coherent neutron scattering cross section for phonons



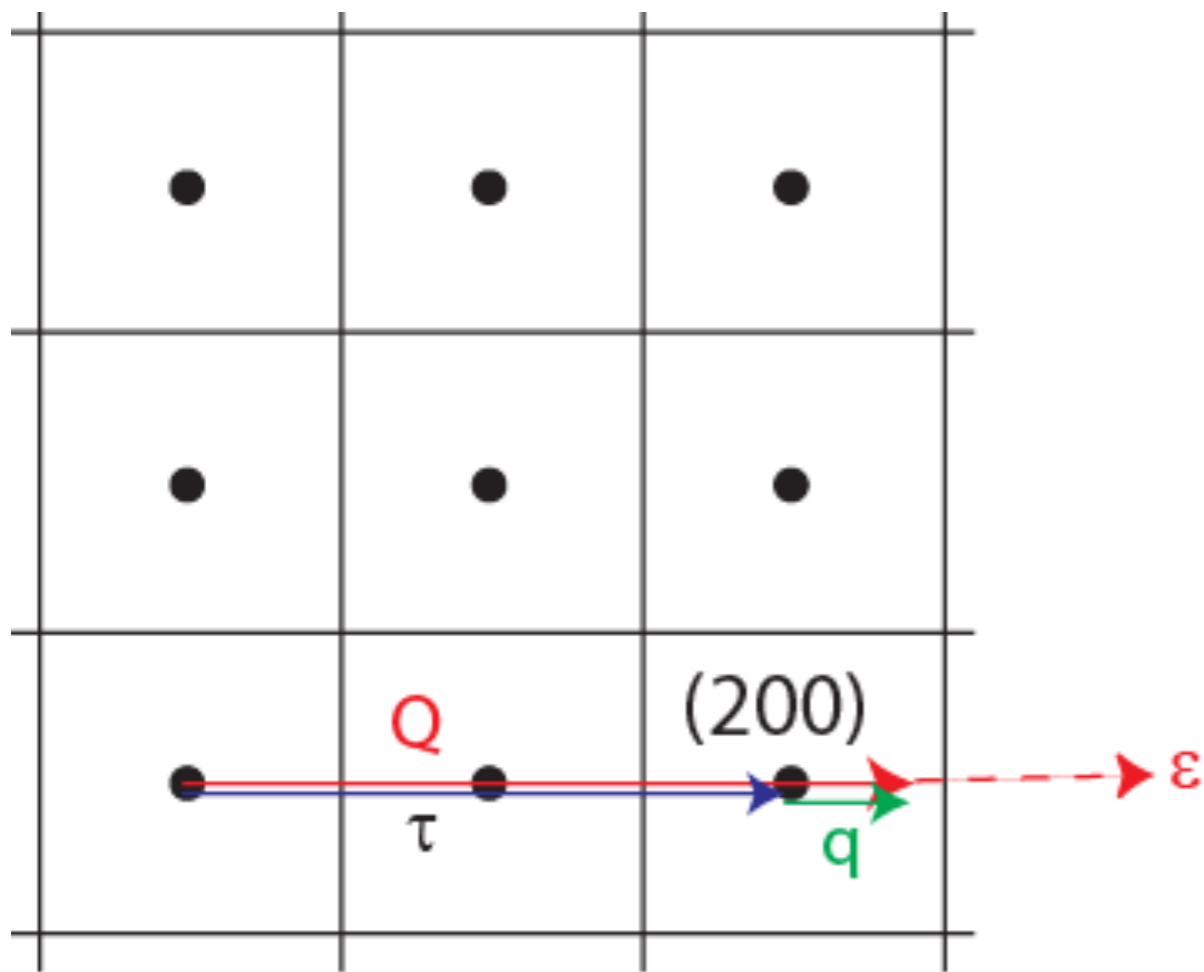
Longitudinal scan,  $\mathbf{q} \parallel \boldsymbol{\varepsilon}$



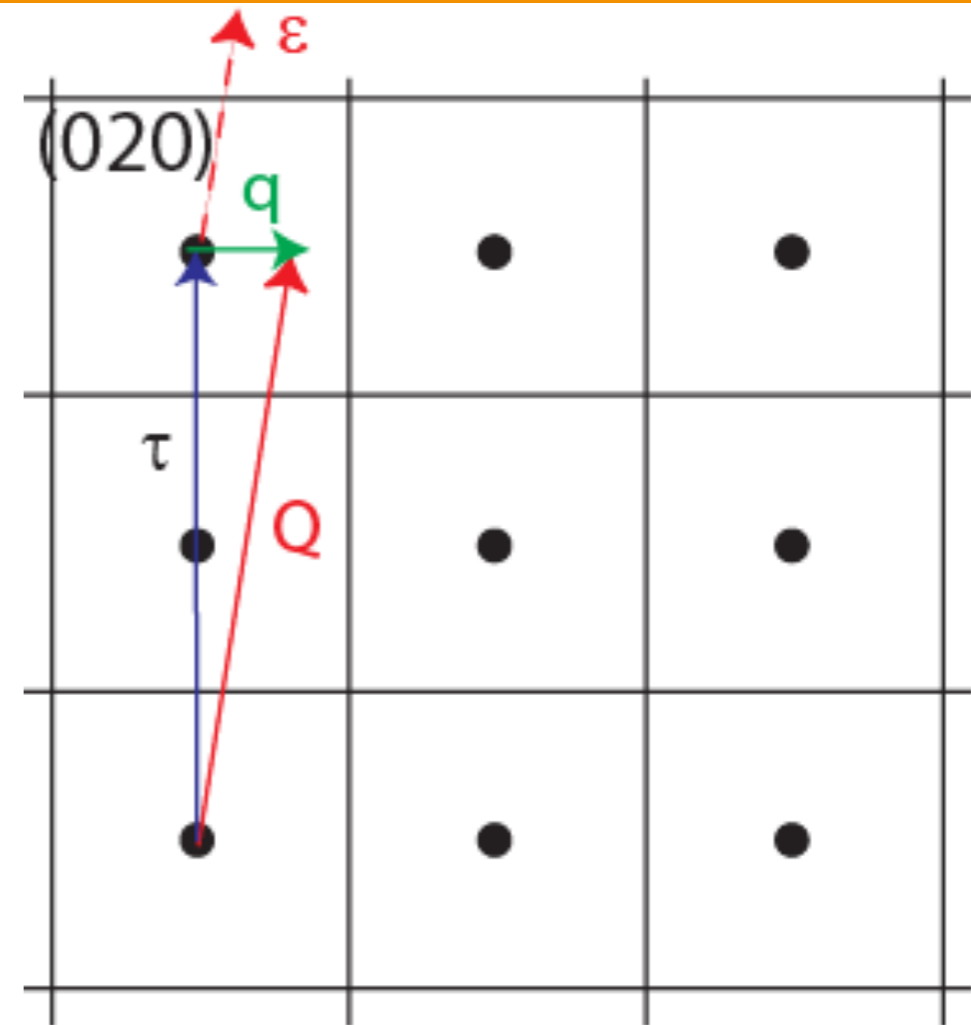
Transverse scan,  $\mathbf{q} \perp \boldsymbol{\varepsilon}$

$$S(\vec{Q}, \hbar\omega) = \frac{1}{2NM} e^{-Q^2 \langle u^2 \rangle} \sum_{j, \vec{q}} |\vec{Q} \cdot \vec{\varepsilon}_j(\vec{q})|^2 \frac{1}{\omega_j(\vec{q})} \\ \times (1 + n(\hbar\omega)) \delta(\vec{Q} - \vec{q} - \vec{\tau}) \delta(\hbar\omega - \hbar\omega_j(\vec{q}))$$

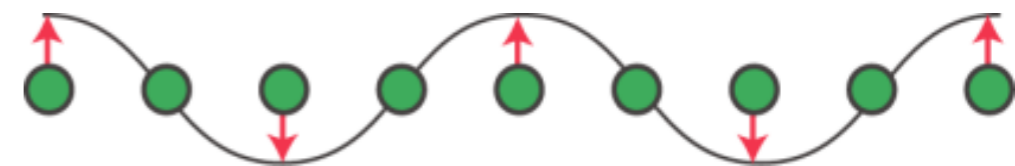
# The coherent neutron scattering cross section for phonons



Longitudinal scan,  $\mathbf{q} \parallel \boldsymbol{\varepsilon}$



Transverse scan,  $\mathbf{q} \perp \boldsymbol{\varepsilon}$



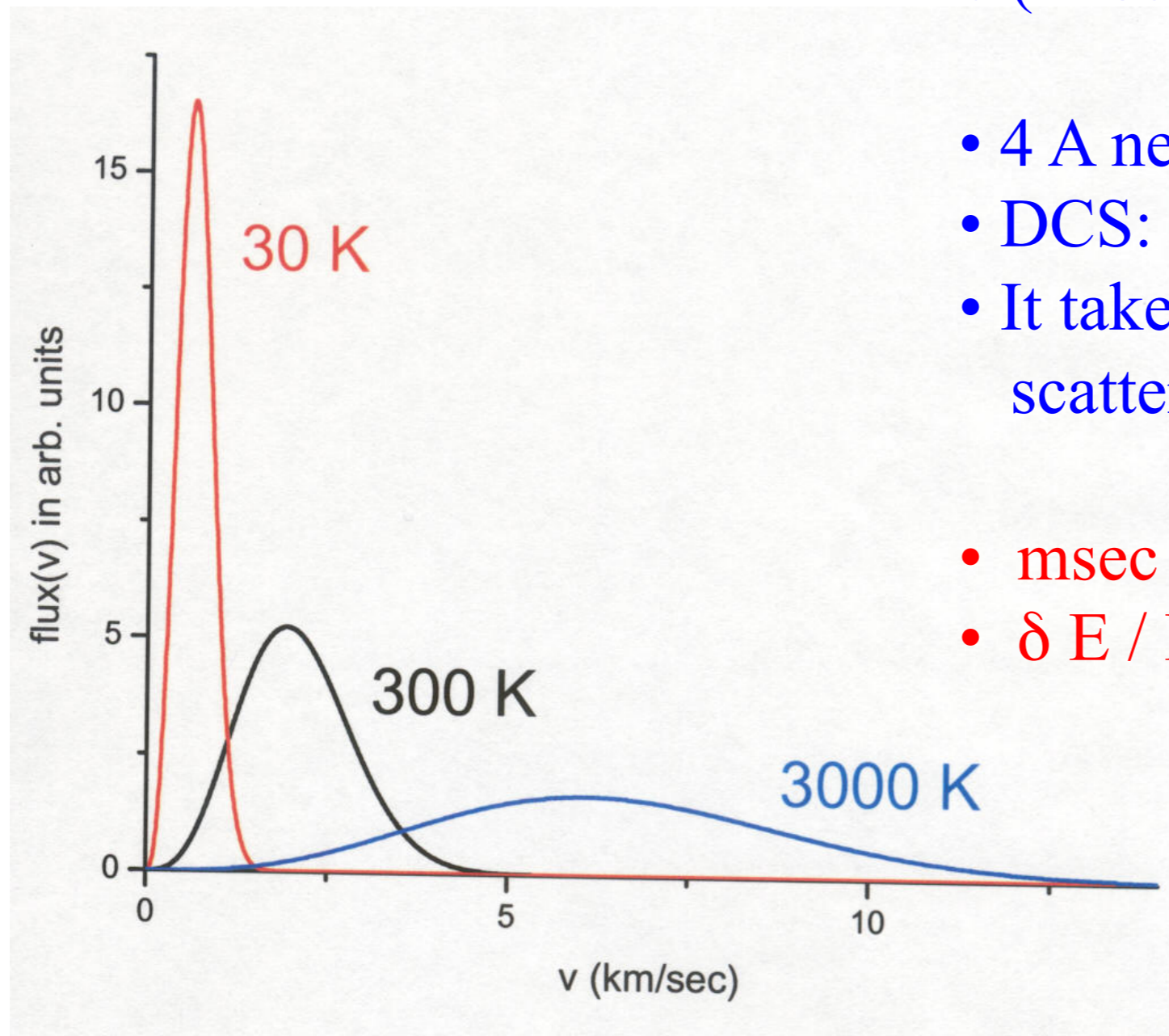
$$S(\vec{Q}, \hbar\omega) = \frac{1}{2NM} e^{-Q^2 \langle u^2 \rangle} \sum_{j, \vec{q}} |\vec{Q} \cdot \vec{\varepsilon}_j(\vec{q})|^2 \frac{1}{\omega_j(\vec{q})}$$

# Time-of-flight Neutron Scattering

Neutrons have *mass*

so higher energy means faster – lower energy means slower

$$v \text{ (km/sec)} = 3.96 / \lambda \text{ (\AA)}$$



- 4 Å neutrons move at  $\sim 1$  km/sec
- DCS: 4 m from sample to detector
- It takes 4 msec for elastically scattered 4 Å neutrons to travel 4 m

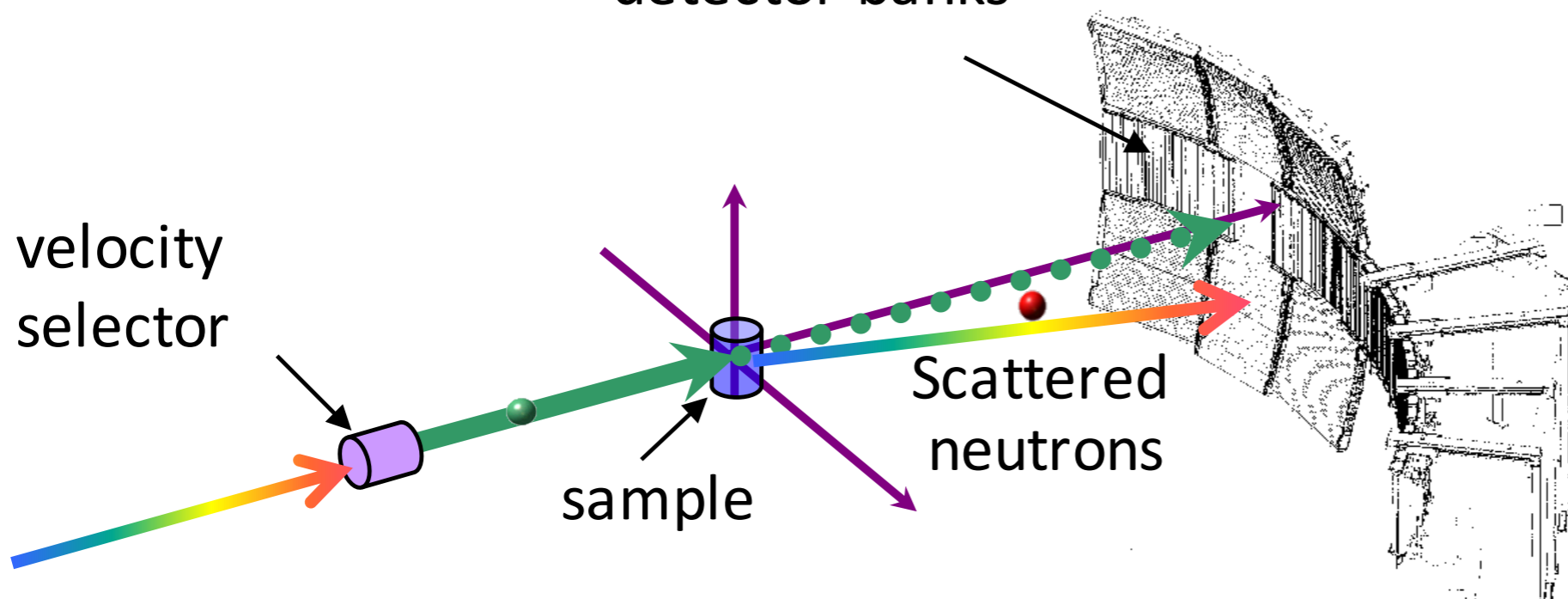
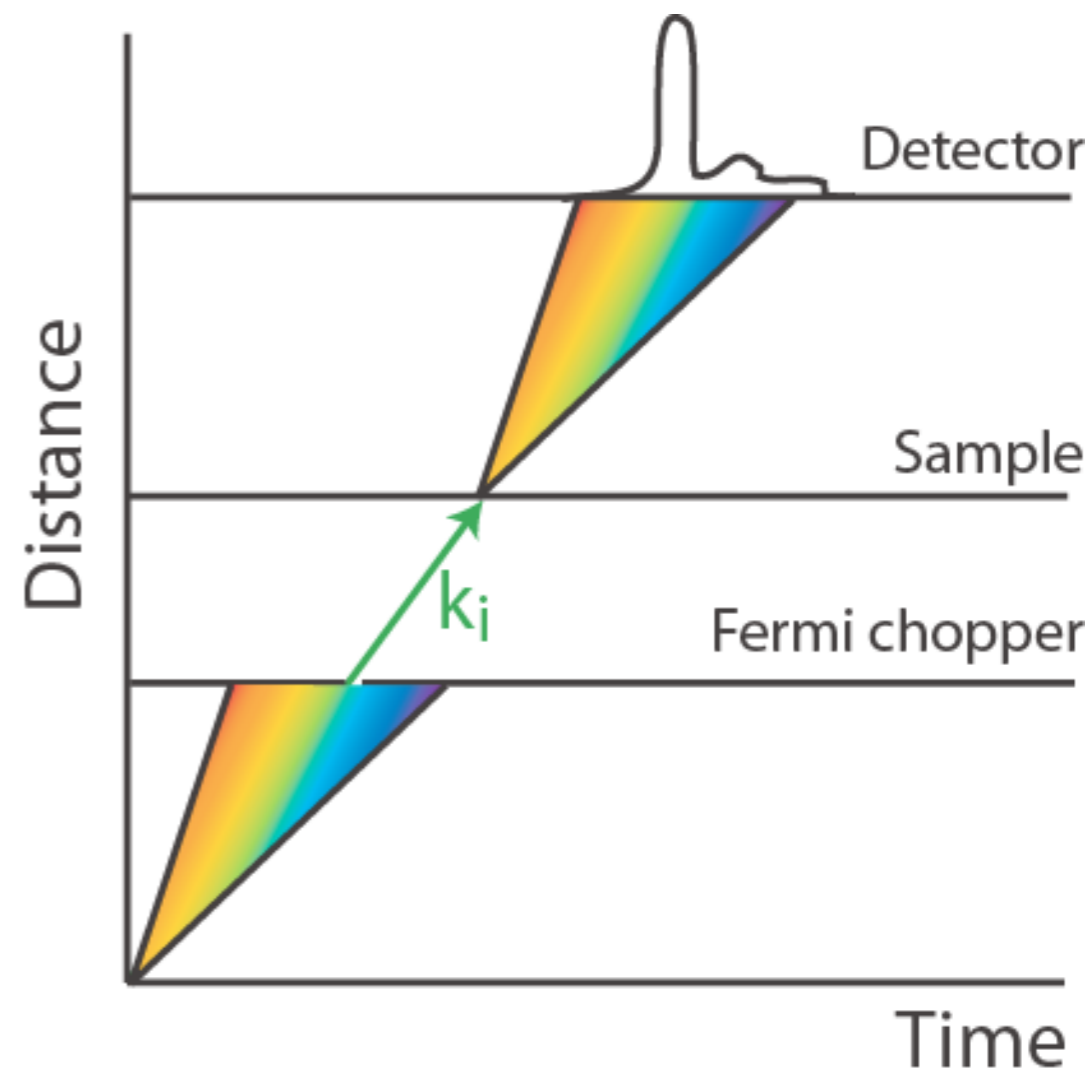
- msec timing of neutrons is easy
- $\delta E / E \sim 1-3\%$  - very good !

We can measure a neutron's energy, wavelength by measuring its *speed*

# Time-of-flight Neutron Scattering

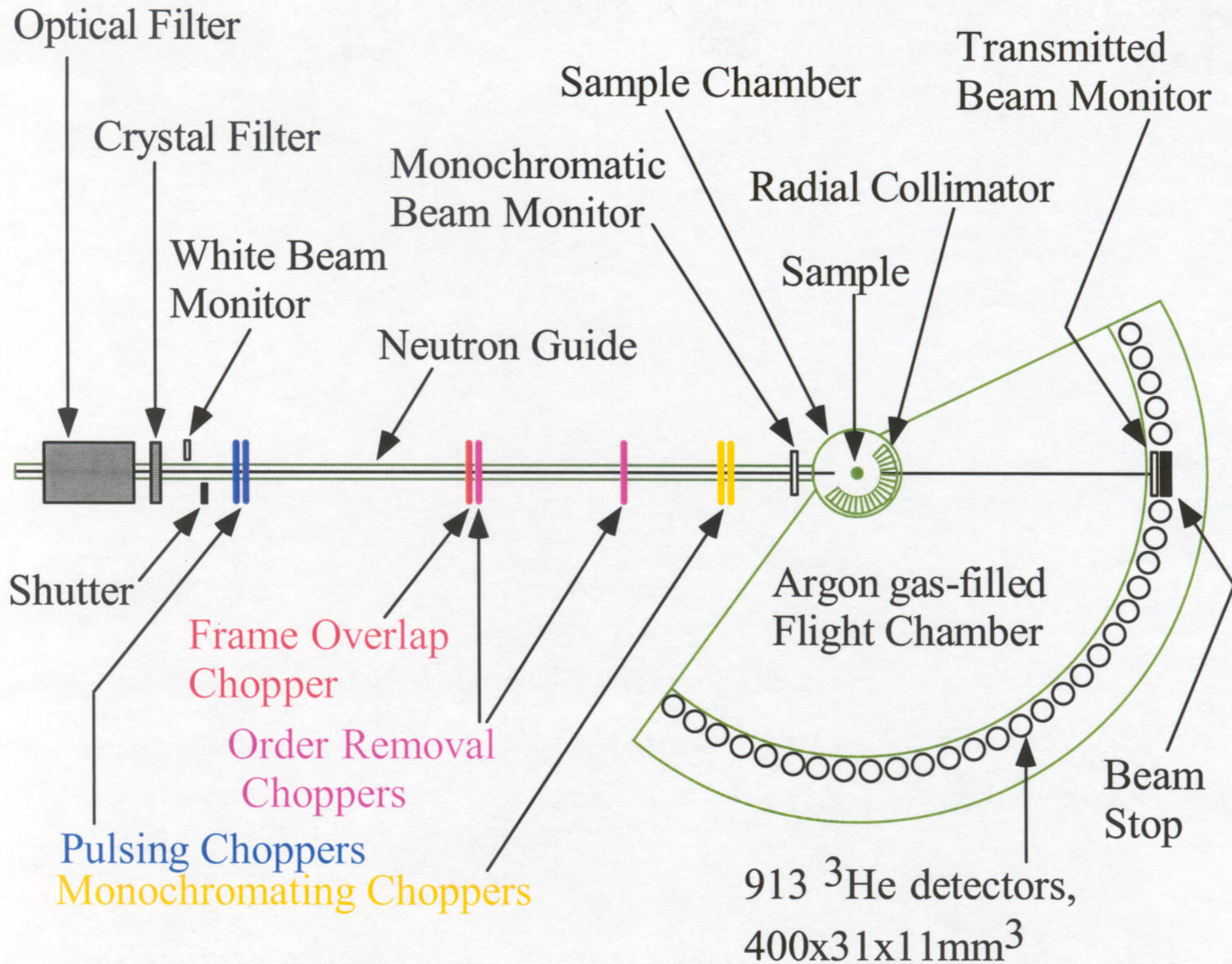


detector banks

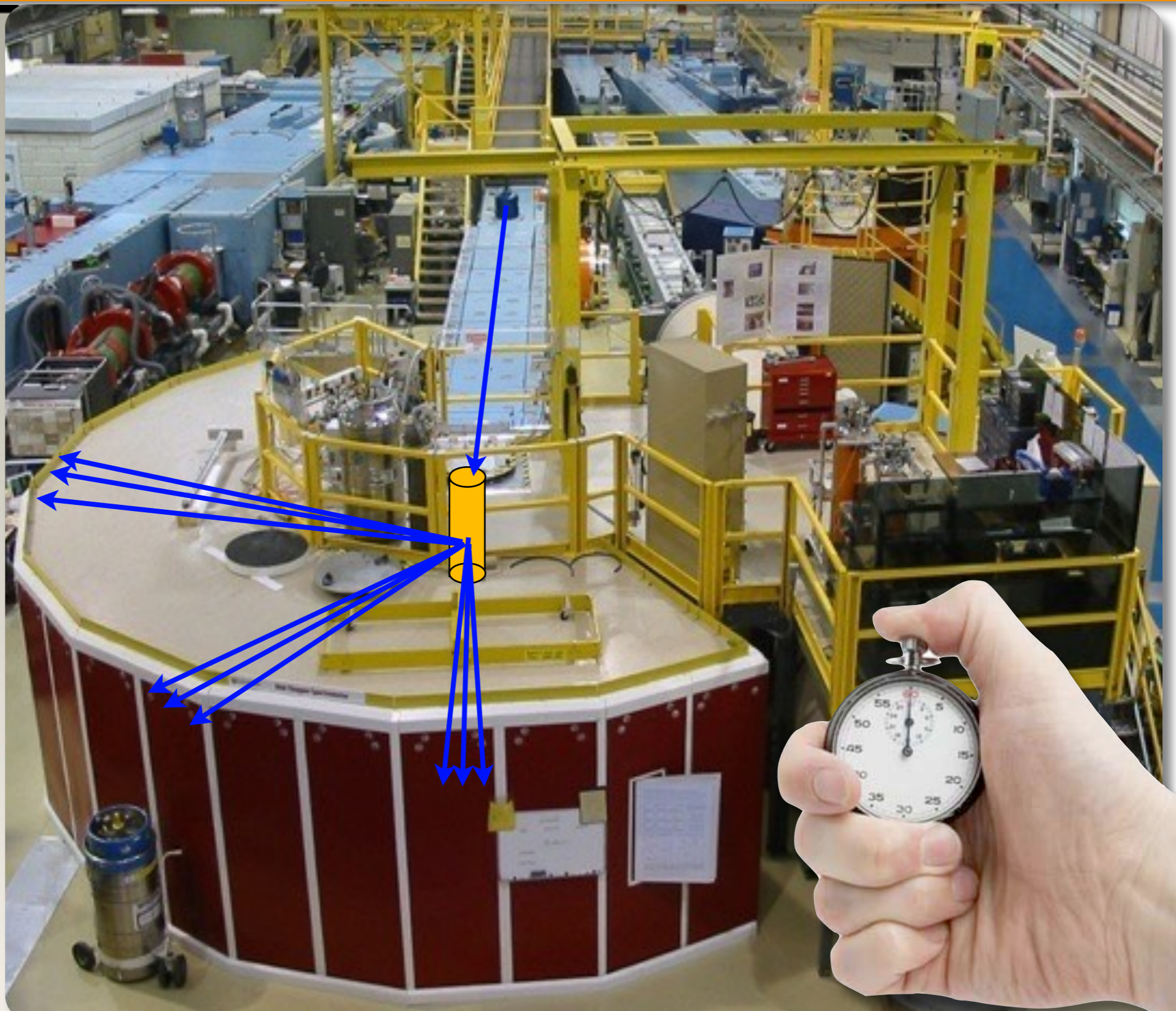
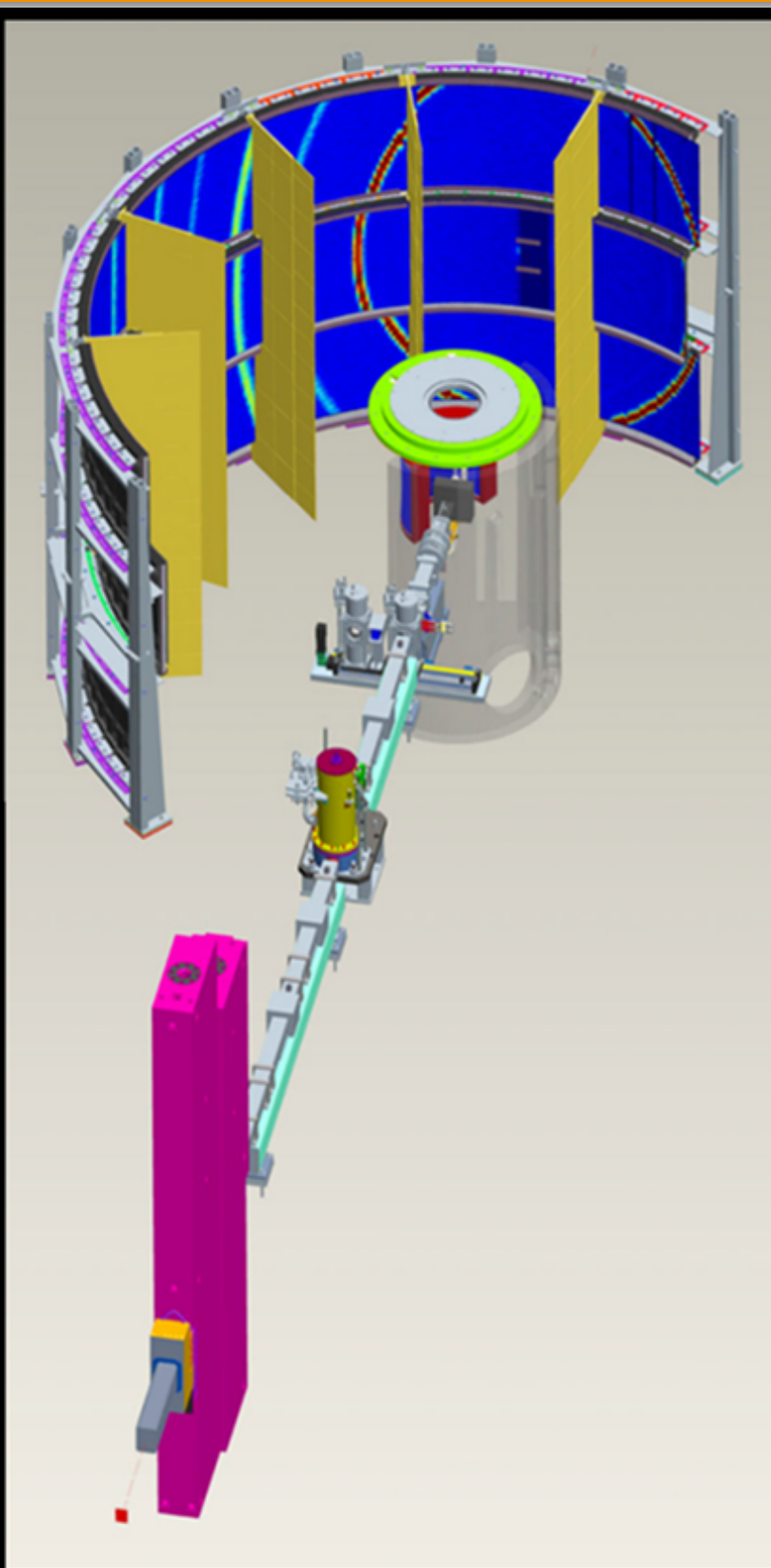


$$t = \frac{d}{v} = \left(\frac{md}{h}\right)\lambda$$

# Time-of-flight Neutron Scattering

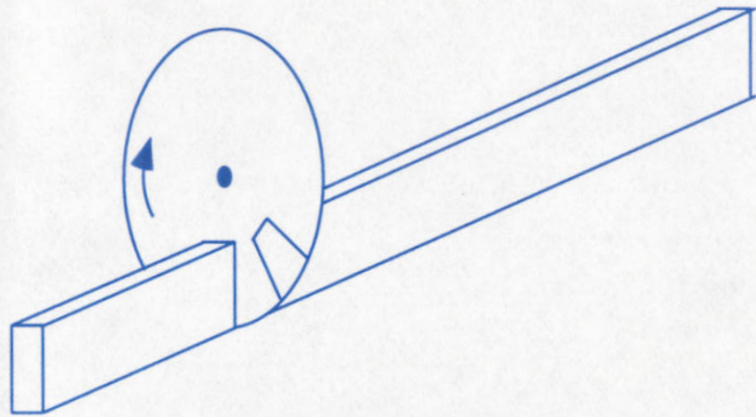


4D data sets for single crystals can be very large ~ 2 Tbyte

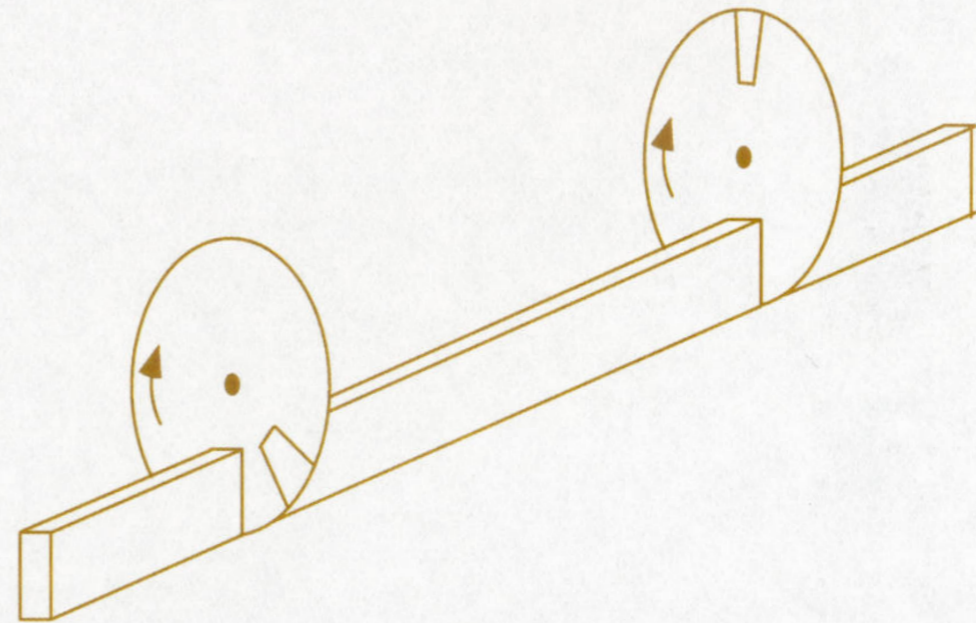


# Time-of-flight Neutron Scattering: Disc Choppers

A single (disk) chopper pulses the neutron beam.



A second chopper selects neutrons within a narrow range of speeds.



Counter-rotating choppers (close together), with speed  $\omega$ , behave like single choppers with speed  $2\omega$ . They can also permit a choice of pulse widths.

Additional choppers remove “contaminant” wavelengths and reduce the pulse frequency at the sample position.

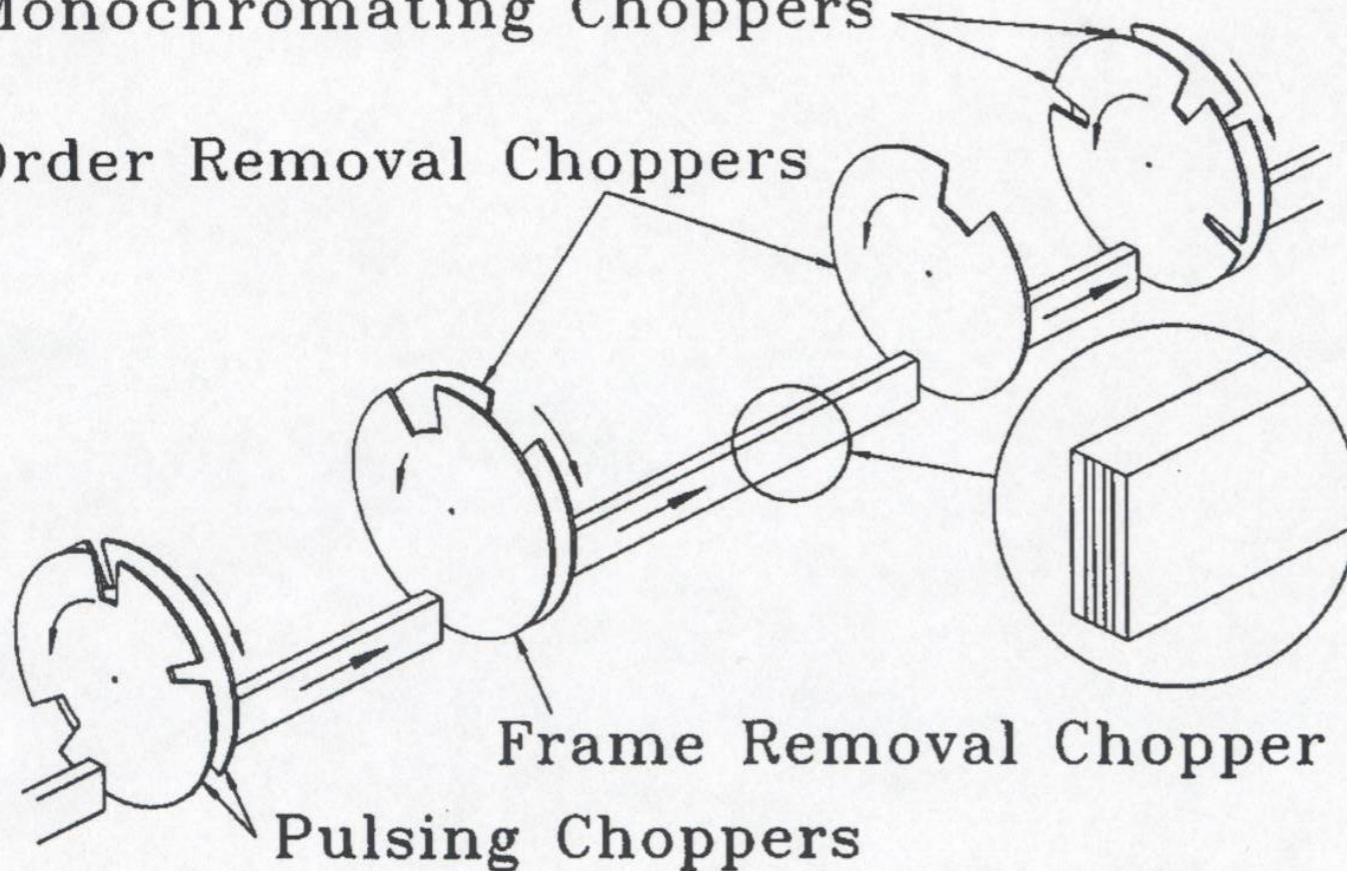


# Time-of-flight Neutron Scattering: Disc Choppers

The DCS has seven choppers, 4 of which have 3 “slots”

Monochromating Choppers

Order Removal Choppers



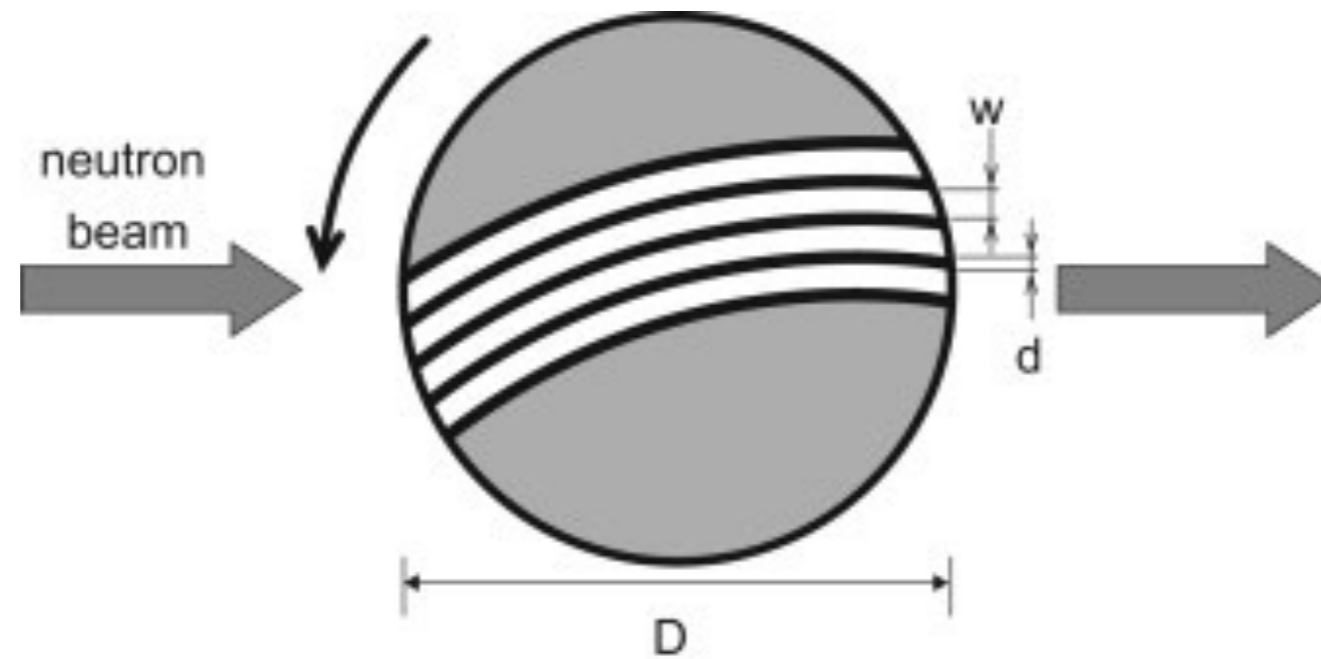
Frame Removal Chopper

Pulsing Choppers

Disk 4B



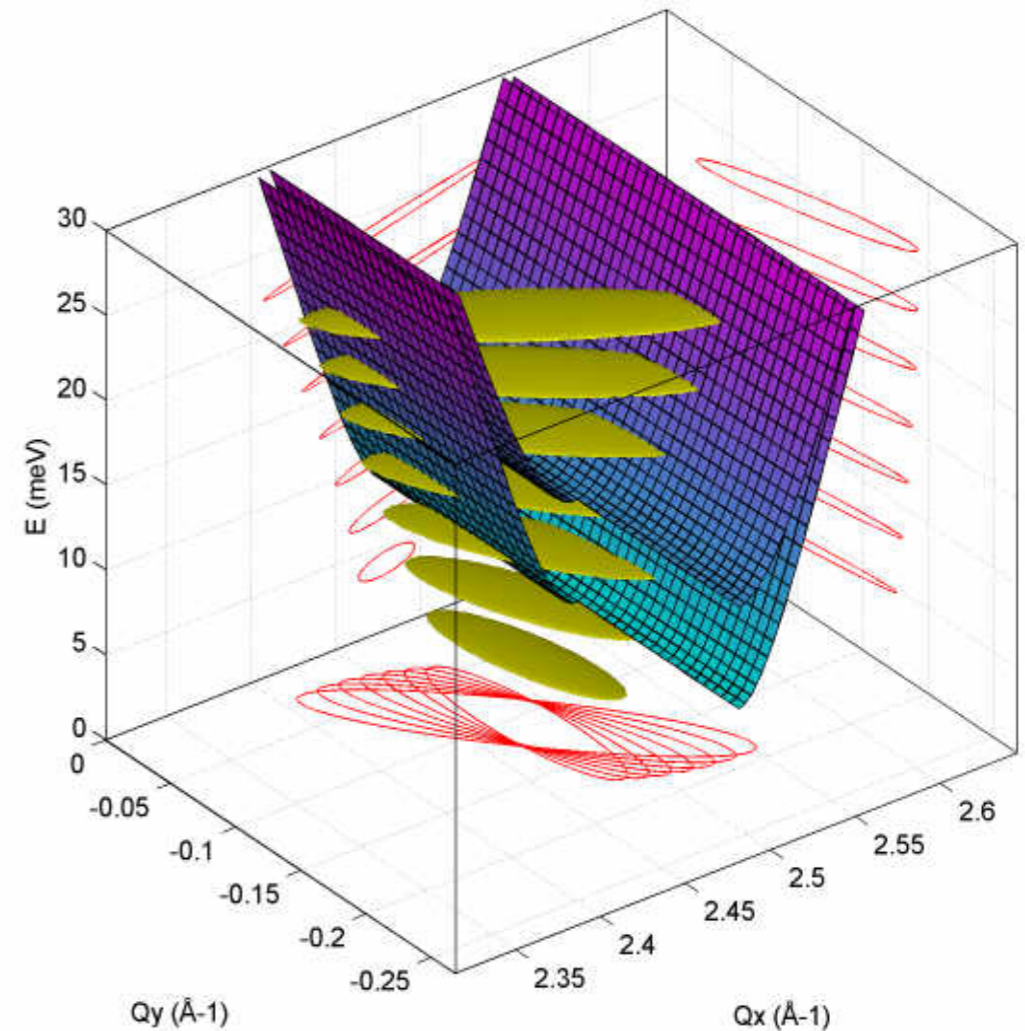
# Time-of-flight Neutron Scattering: Fermi Choppers



# Resolution Considerations

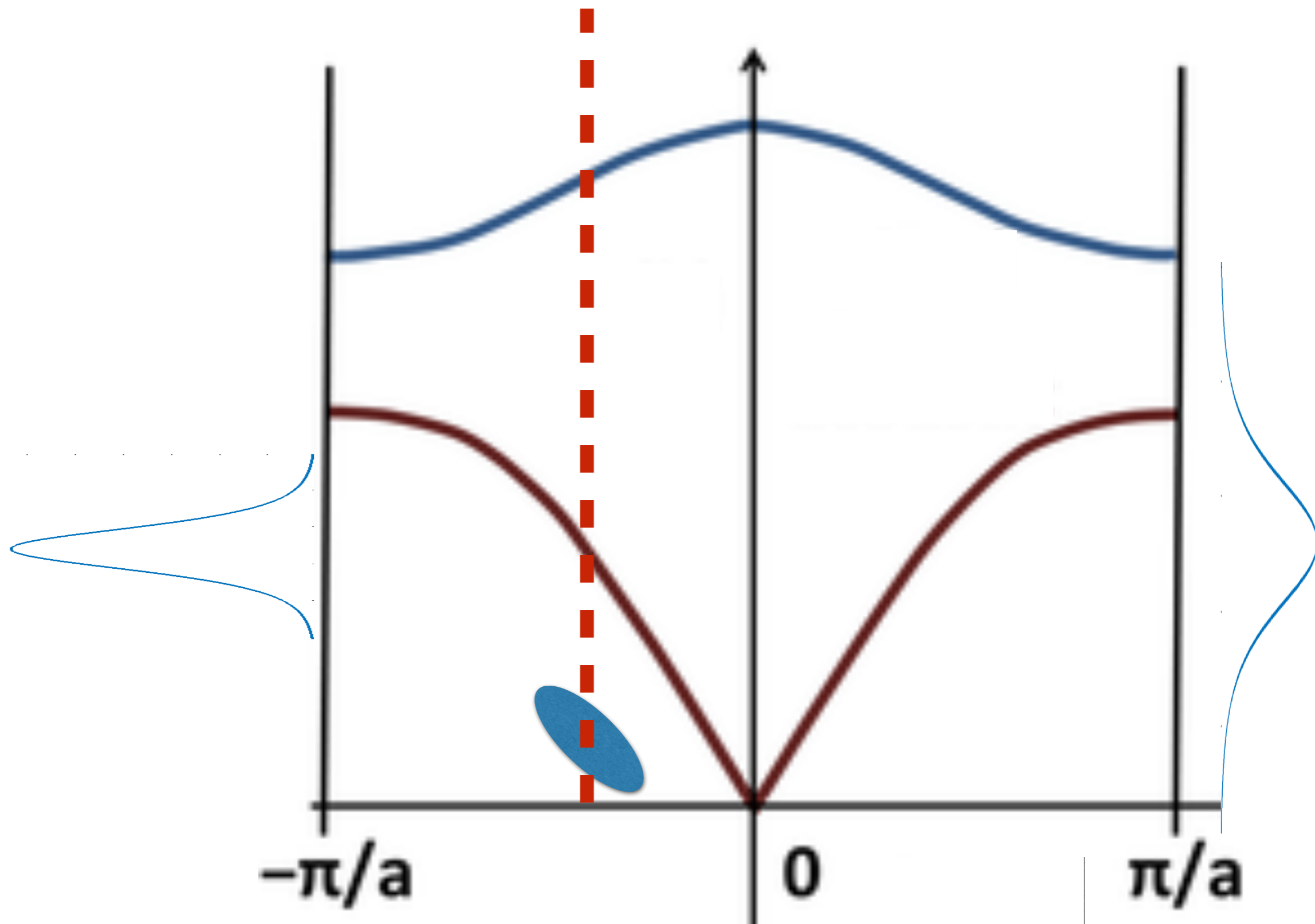
**Resolution “ellipse” is defined by:**

- **Beam divergences**
- **Collimation and distances**
- **Crystal mosaic, sizes**
- **Beam energy**

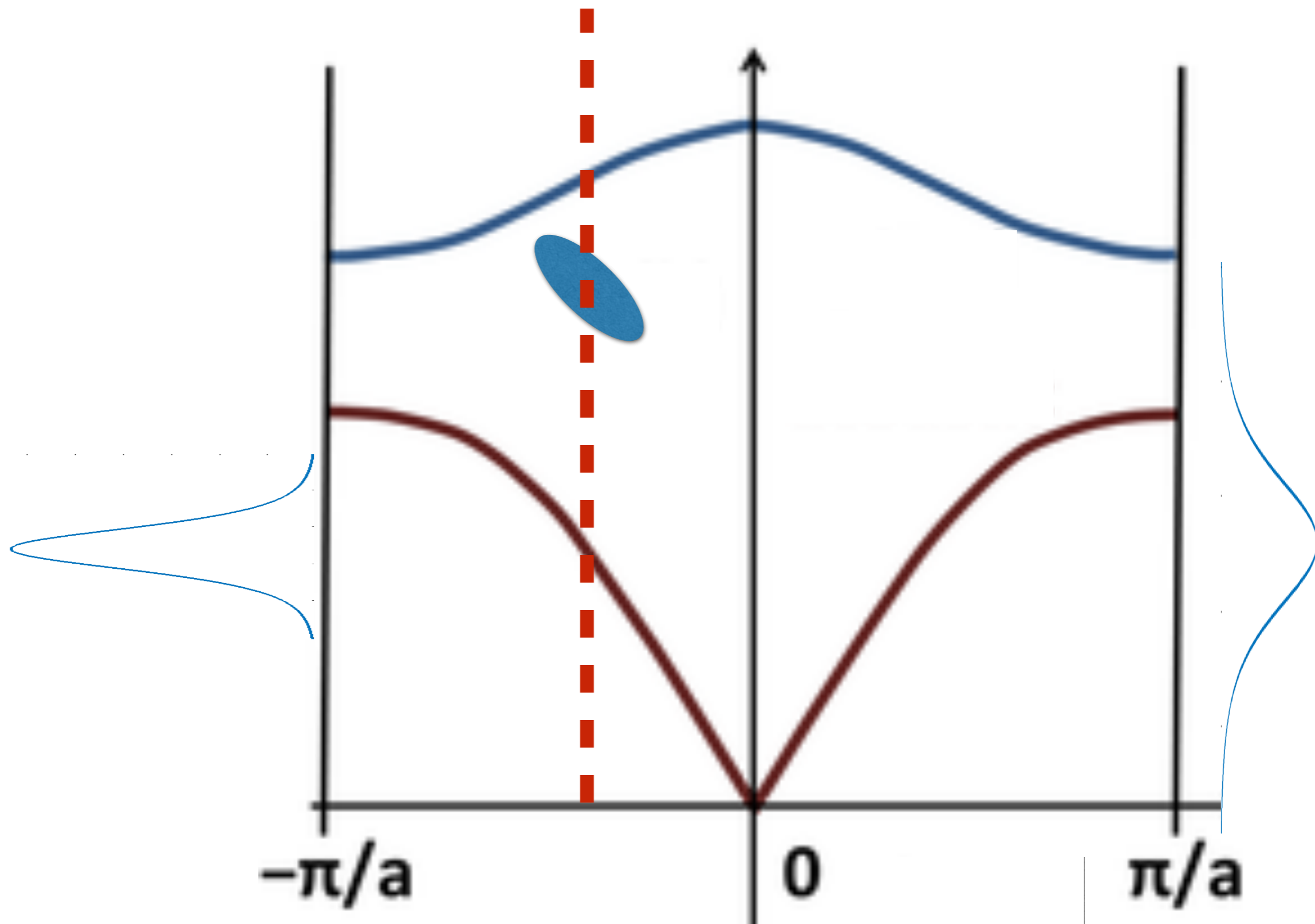


$$I(\vec{Q}_0, \hbar\omega_0) = \int S(\vec{Q}_0 - \vec{Q}, \hbar\omega_0 - \hbar\omega) R(\vec{Q}_0, \hbar\omega_0) d\vec{Q} d\hbar\omega$$

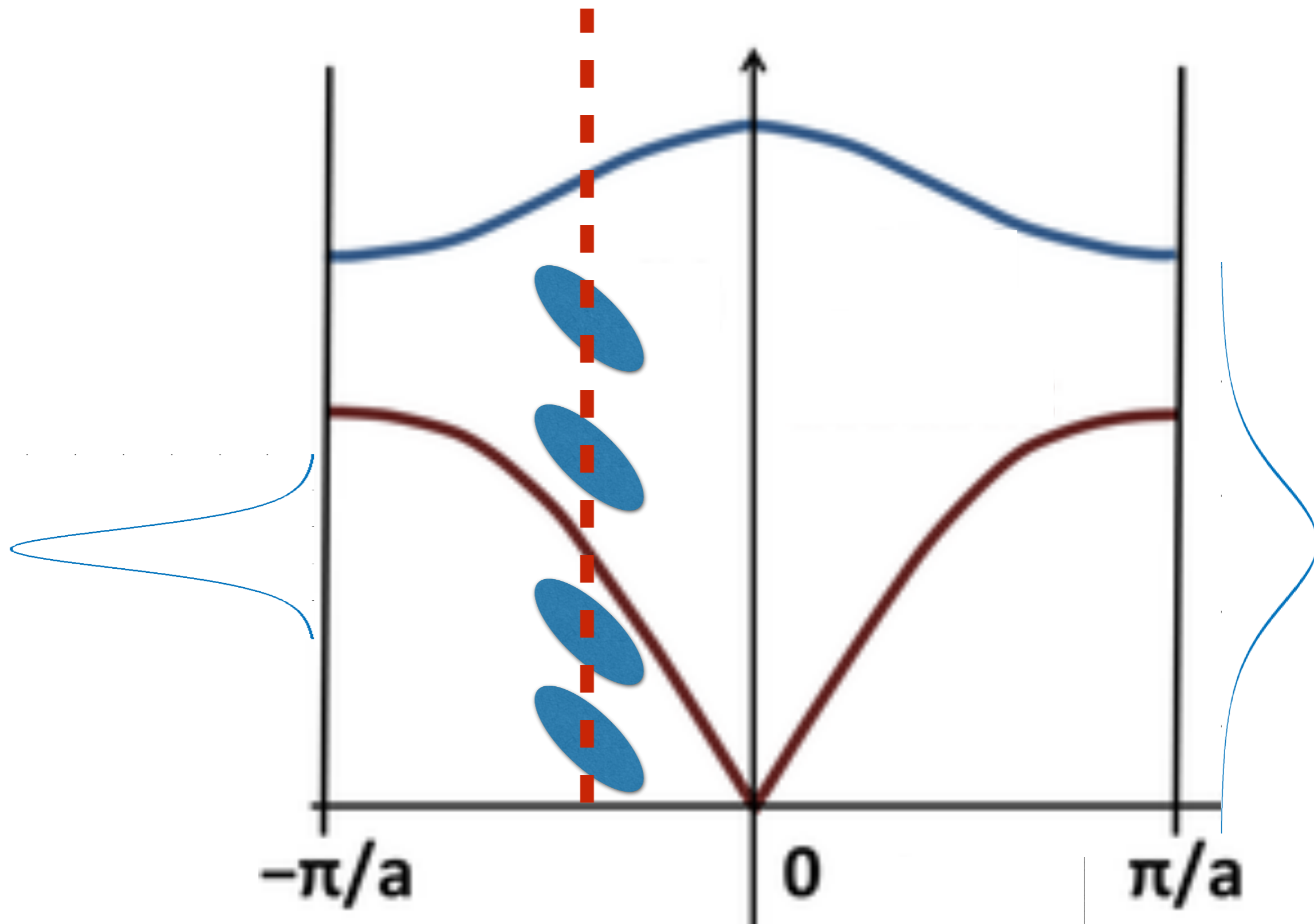
# Resolution focussing



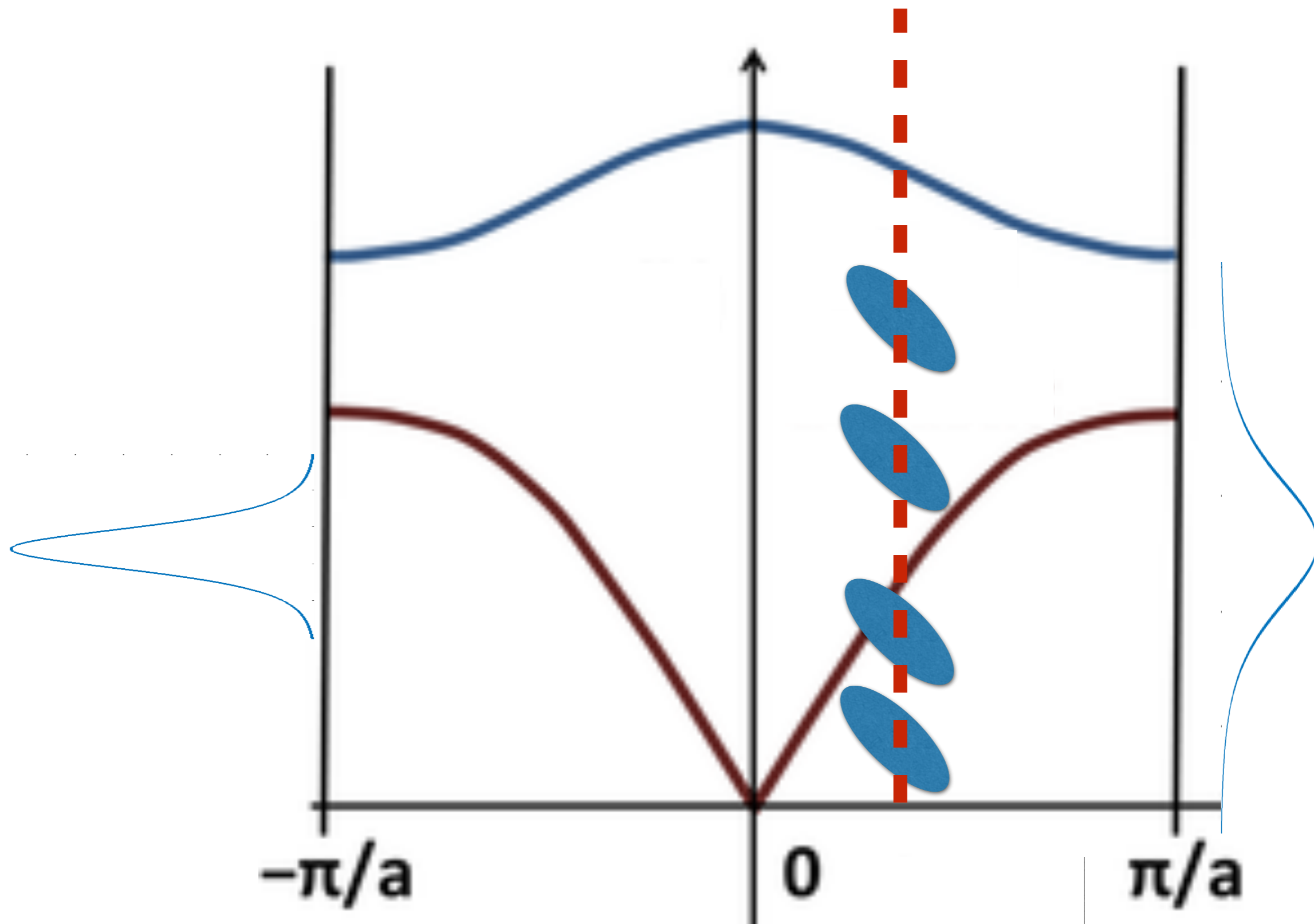
# Resolution focussing



# Resolution focussing



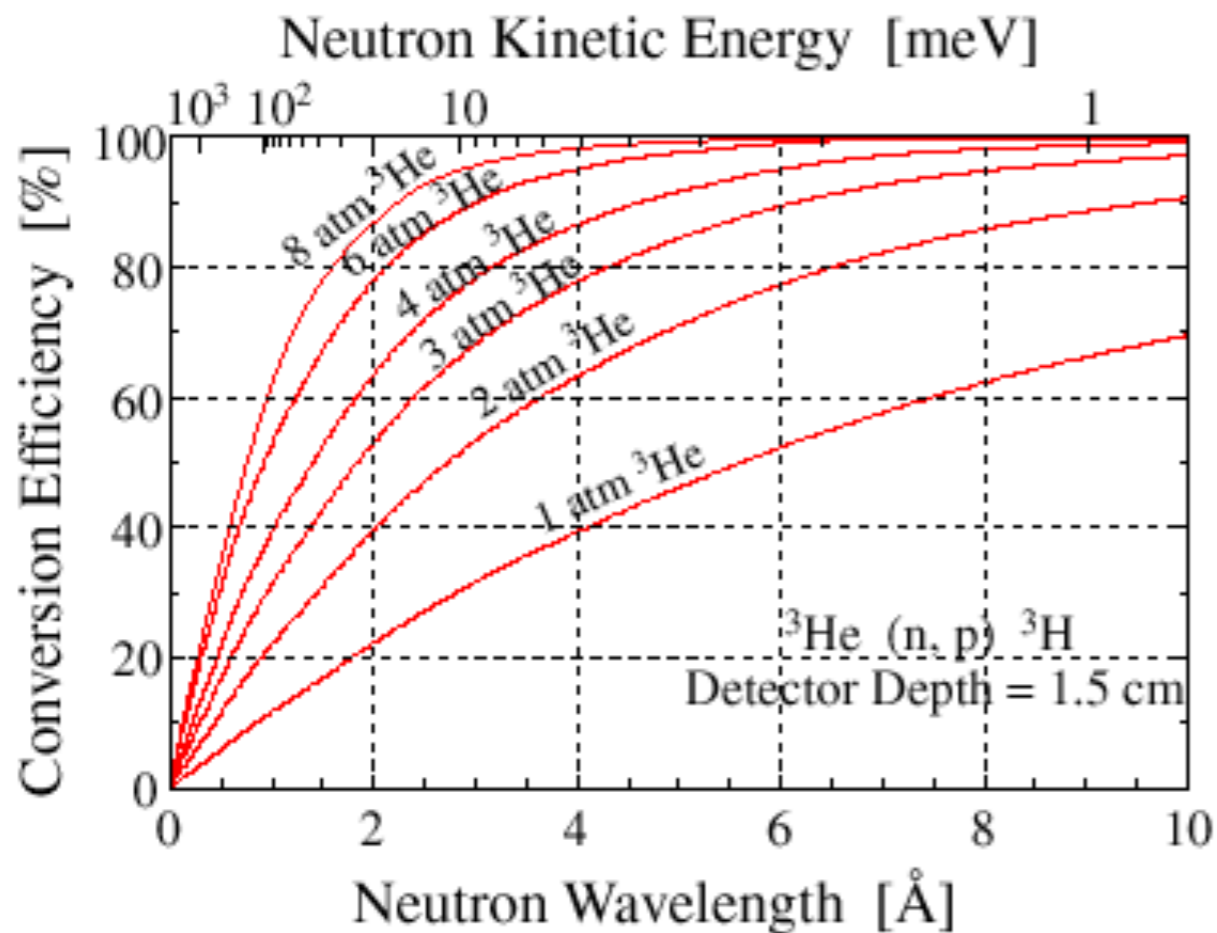
# Resolution focussing



# Neutron Detectors

## Gas Detectors

- $n + {}^3\text{He} \rightarrow {}^3\text{H} + p + 0.764 \text{ MeV}$
- ionization of gas
- high efficiency



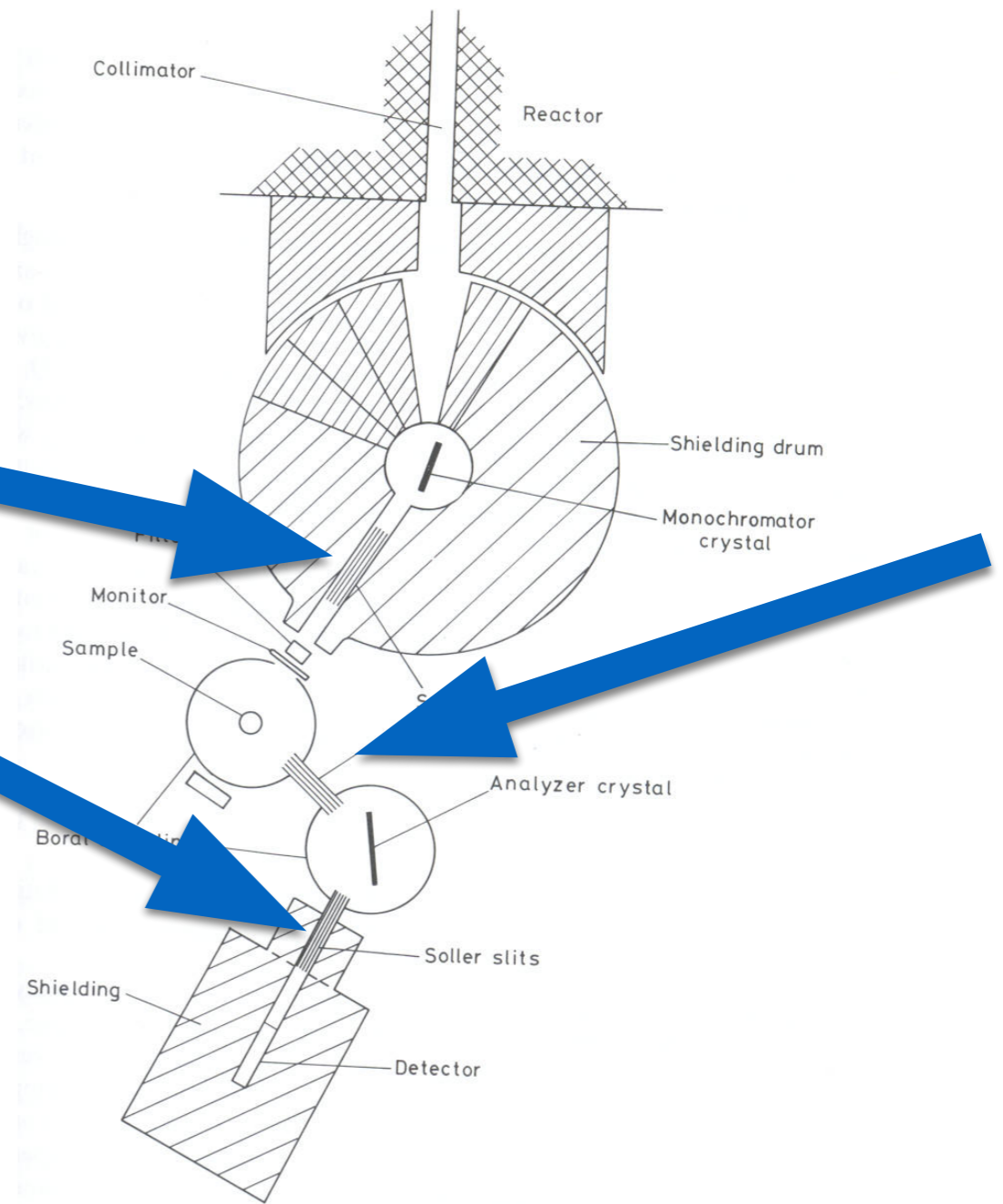
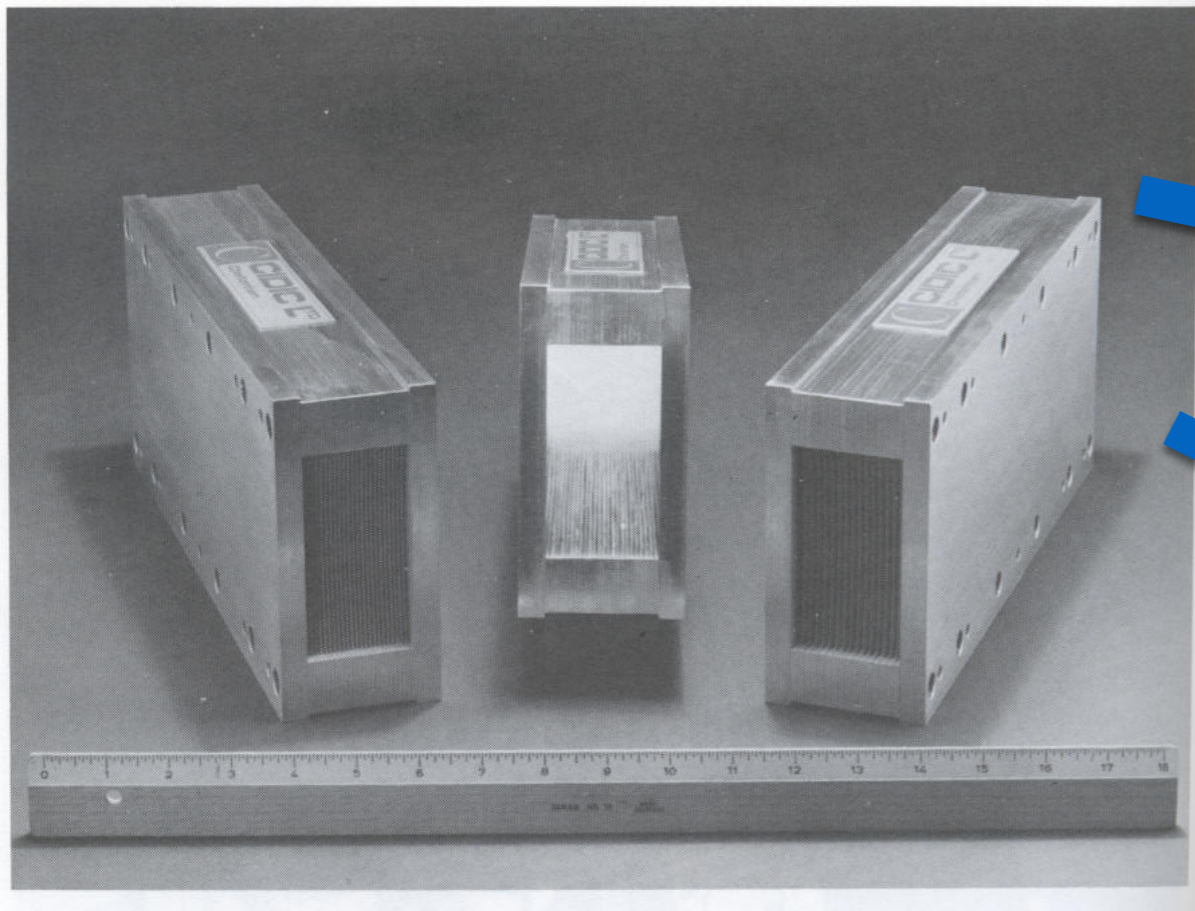
## Beam monitors

- low efficiency detectors for monitoring beam flux



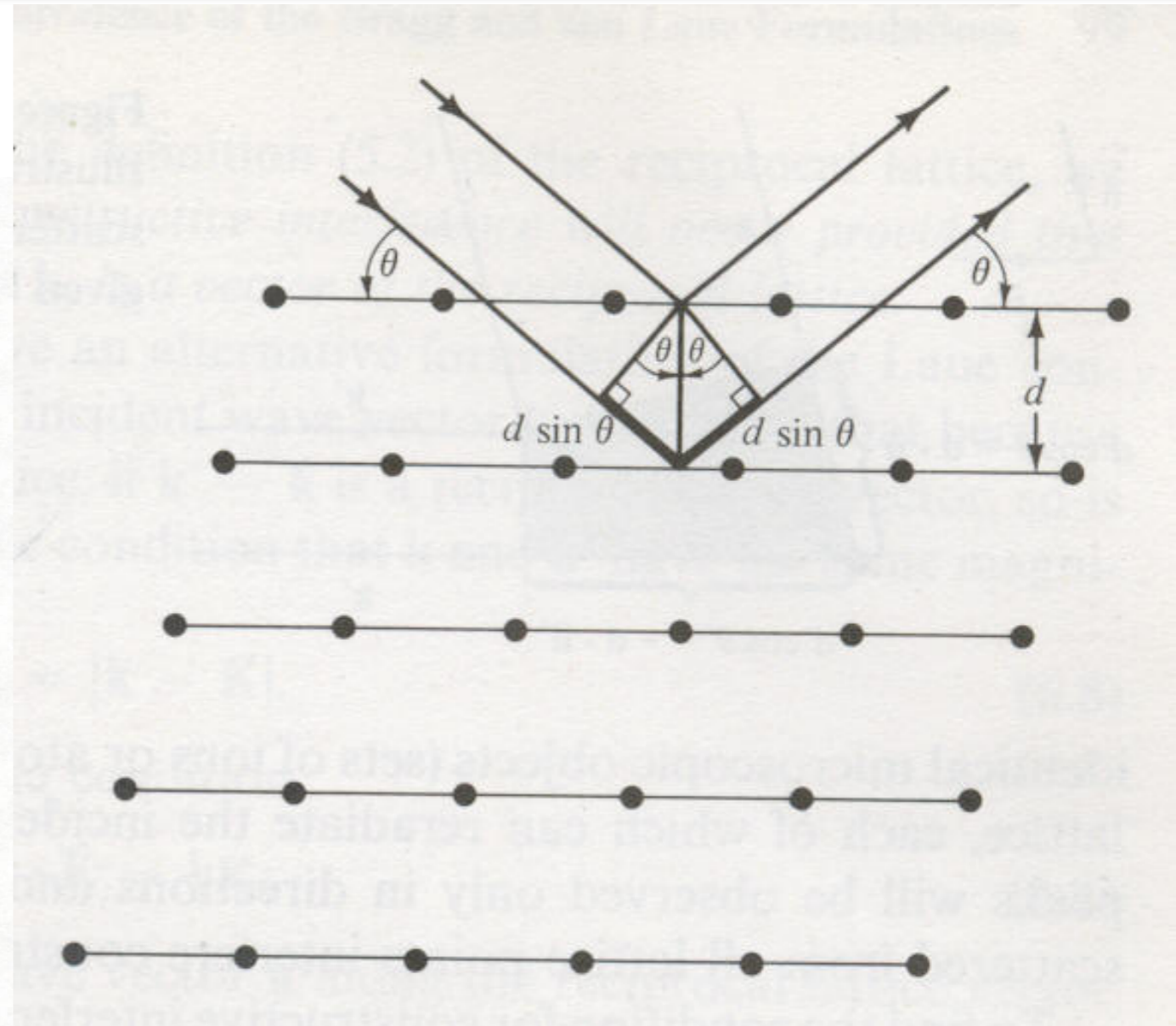
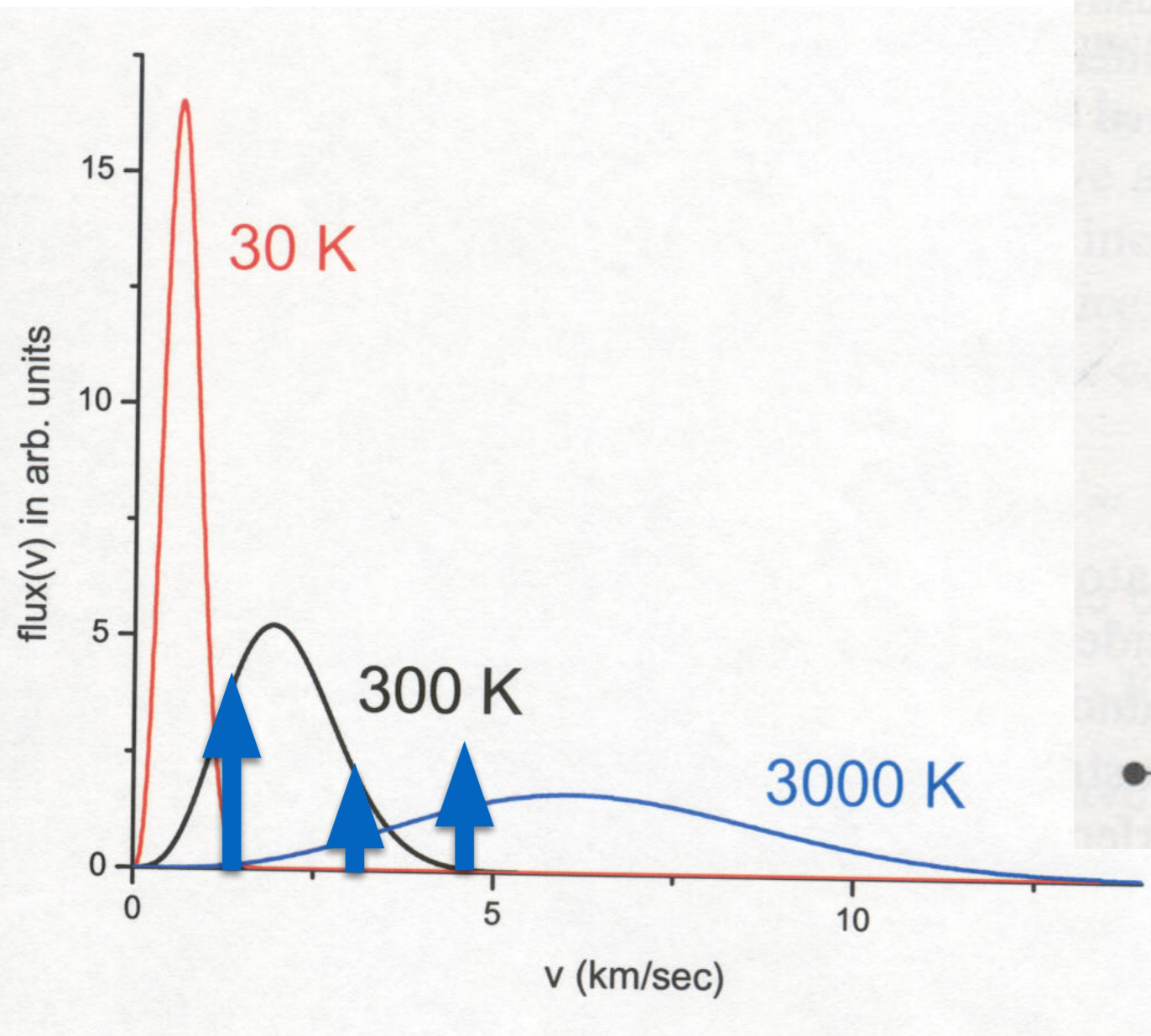
# Q or angular resolution improved by using collimation (Soller slits)

Soller slit collimators  
neutron channels  
with absorbing walls



Allows the *angular*  
resolution of  $k_i$ ,  $k_f$  to be selected

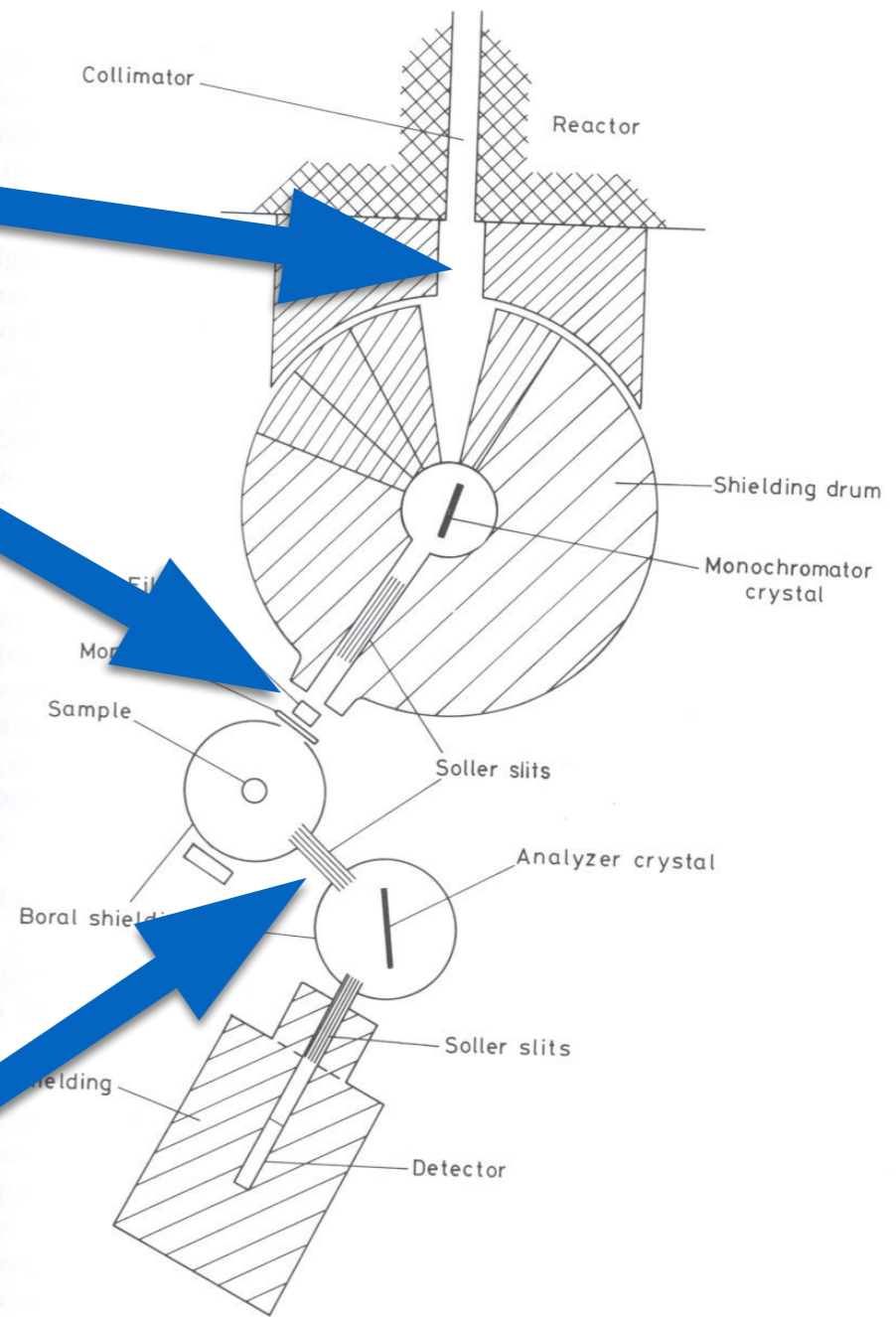
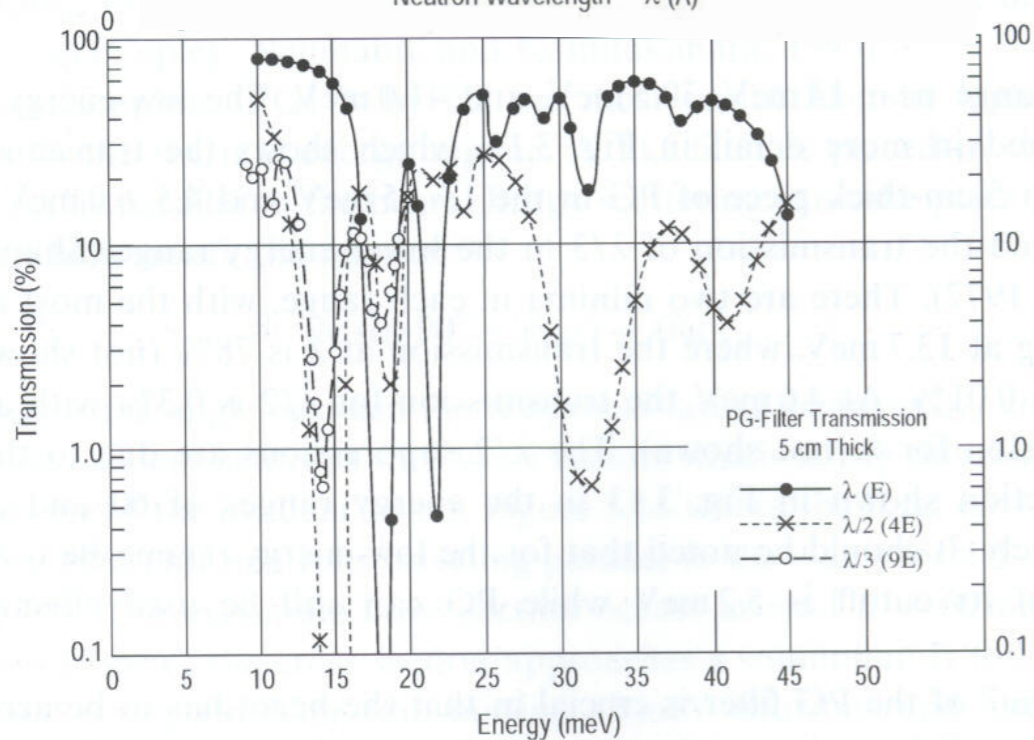
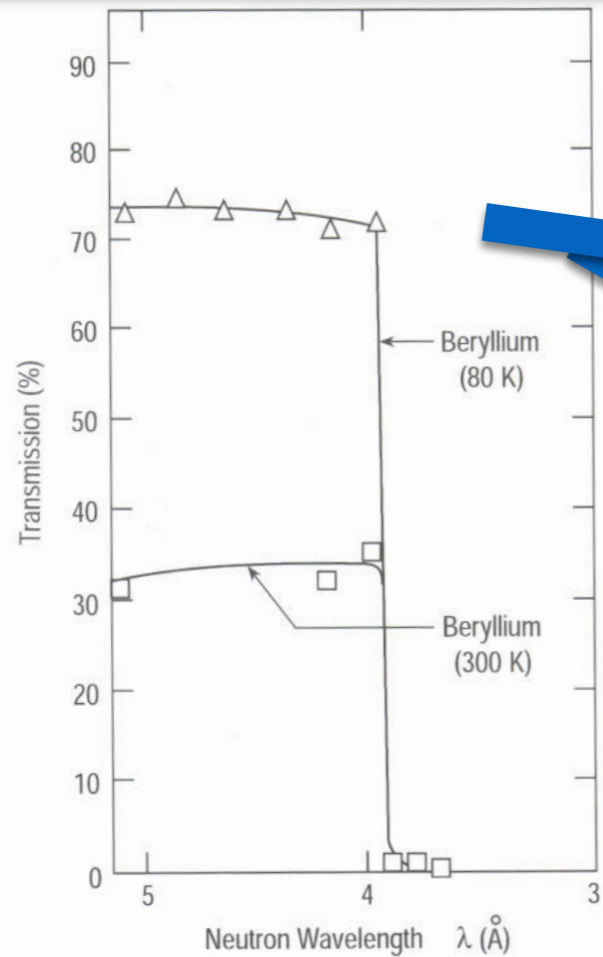
# Harmonic contamination from crystal monochromators



$$n\lambda = 2d \sin \theta$$

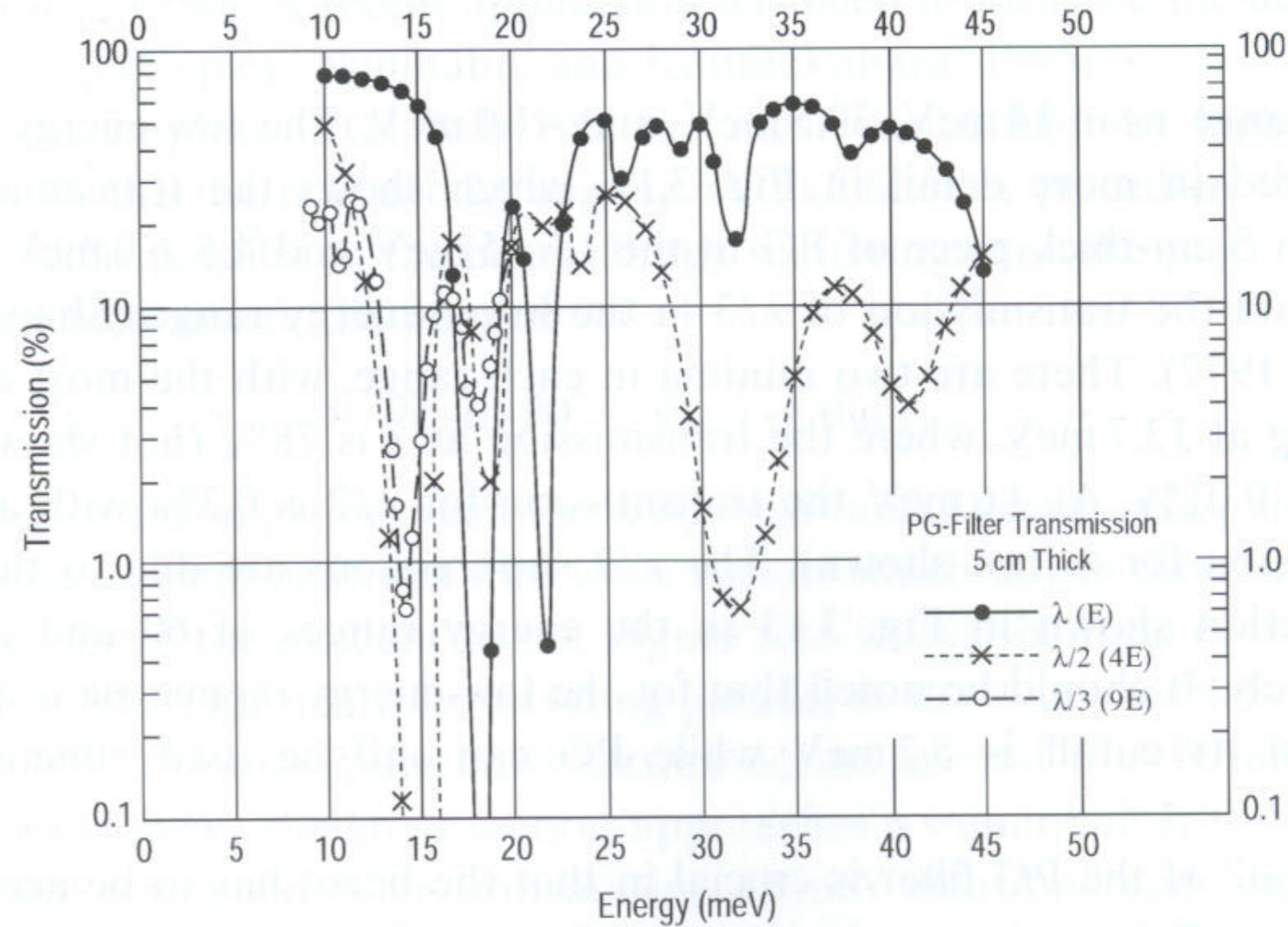
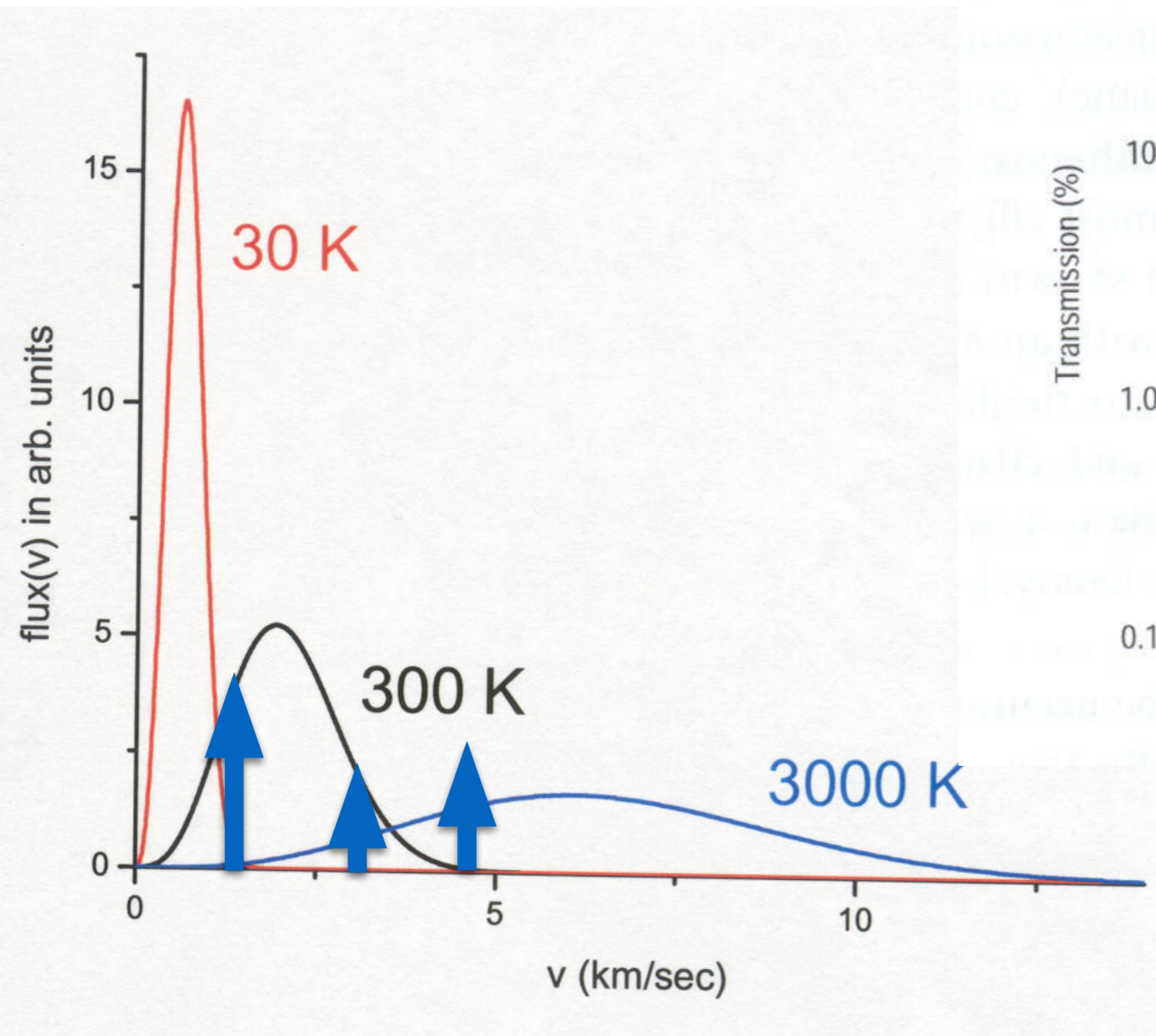
$\lambda$ ,  $\frac{\lambda}{2}$ , and  $\frac{\lambda}{3}$  appear at the same  $\theta$  with different  $n$

# Neutron filters remove $\lambda/n$ from incident or scattered beam, or both.



$$n\lambda = 2d \sin \theta$$

# Harmonic contamination from crystal monochromators: Pyrolytic Graphite



$$E = 14.7 \text{ meV}$$

$$\lambda = 2.37 \text{ \AA}$$

$$\nu = 1.6 \text{ km/s}$$

$$2 \times \nu = 3.2 \text{ km/s}$$

$$3 \times \nu = 4.8 \text{ km/s}$$